

# Assessment of Psychological Distress among **Parents of Children with Cancer**

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# Abstract

Background and Aims: The diagnosis of pediatric cancer is a potentially traumatic event and a major source of psychological distress for parents. The aim of our study was to determine the prevalence and the risk factors of psychological distress among parents of children diagnosed with cancer. Methods: This is a descriptive study carried out on parents of children diagnosed with cancer and treated in the department of medical oncology at the Habib Bourguiba Hospital in Tunisia. Over a period of four months, from the beginning of December 2020 until the end of March 2021, forty-three parents (34 mothers and 9 fathers) were assessed, using psychometric scales of anxiety, depression and post-traumatic stress according to respectively to the Hamilton anxiety rating scale (HAM), the Beck Depression Inventory (BDI) and the Impact of Event Scale (IES-R). Results: Sixteen percent of the parents had scores indicating acute stress and 21% had scores indicating post-traumatic stress. Eighty six percent of the parents had mild to severe depression and 95% had minor to major anxiety. Post-traumatic stress and anxiety are significantly correlated with the female sex of parents. Significant correlations are also found between post-traumatic stress scores and symptoms of depression and anxiety. However, our study did not show a significant correlation between the others factors studied and the parents' psychological distress. Conclusion: The prevalence of anxiety and depressive disorders was high among parents of children diagnosed with cancer and a significant correlation was found between stress and anxiety with the female sex of parents. Our results were similar to several studies although other risk factors for psychological distress in parents of children with cancer were described in the literature.

# **Keywords**

Pediatric Cancer, Parents, Anxiety, Depression, Post Traumatic Stress

#### **1. Introduction**

Childhood cancer is relatively rare and accounts for only 1 to 3% of cancers in children under the age of 15 [1].

It is the second leading cause of death for children above the age of 3 years, after a mortal accident [2].

Significant biomedical advances over the past several decades have increased the survival rate for children with cancer to over 70% (SEER 2007).

However, improved prognosis and survival require prolonged, complicated, and intensive treatment protocols specially the chemotherapy and also radiotherapy, surgery and bone marrow transplantation. These procedures and the many side effects of treatment, impact quality of life not only for the child with cancer, but also for the whole family [3].

When a child is diagnosed with cancer a process known as psychological stress starts which interferes with the family daily's life for a long period of time [4].

The family adaptation to this diagnosis, its treatment and its prognosis has been the focus of some scientific research in the last years [3].

In addition, the data in the literature in terms of the mental health of parents of children with cancer is contradictory and inconclusive. Some studies report the absence of risk of additional psychological damage [5]. However, the majority of studies report worsening symptoms of anxiety, depression, and post-traumatic stress disorder compared to the general population [6]. These studies also identified several risk factors for the development of psychological disorders in parents of children with cancer.

Moreover, this subject remains little studied in our Tunisian context. This is why we deemed it appropriate to carry out this study to describe the prevalence and type of psychological problems faced by parents of children recently diagnosed with cancer and to identify the risk factors inducing these disorders among these parents.

# 2. Ethical Authorization

The verbal and informed consent of the parents was obtained before the questionnaire and after a detailed clarification of the objectives of the study and its conduct.

### 3. Patients and Methods

#### 3.1. Patients

This is a descriptive study carried out on parents of children diagnosed with cancer in the department of medical oncology at the Habib Bourguiba Hospital in Tunisia over a period of four months, from the beginning of December 2020 until the end of March 2021. Given the absence of studies addressing this subject in Tunisia, we conducted this preliminary study that we will be the basis for a further study of a larger sample by estimating the number of subjects required.

We interrogate the parents of children aged less than 18 years old who are undergoing curative or palliative treatment and we excluded the parents of children who refused to be part of the study.

#### 3.2. Methods

The parents were interviewed during the hospitalization of their children.

We have collected primary the data about sociodemographic status of the parents and the clinical information about the child in particular the age, the gender, the date of diagnosis, the type of cancer, clinical and histological stage and the treatment options and secondary we have carried a psychometric assessment using various tools:

#### - The Impact of Event Scale-Revised (IES-R):

IES-R is the most widely used instrument for the assessment of post-traumatic stress disorder (PTSD) and acute stress disorder (ASD). [5] The authors currently choose to take a total score above 22 less than one month after the event as being in favor of significant symptoms of acute stress and a score above 36 more than one month after the event as suggesting the presence of post-traumatic stress disorder.

#### - The Beck Depression Inventory (BDI):

BDI is designed to assess the subjective aspects of depression: it is a subjective measure of depression, initially consisting of 21 items, the form of which was reduced by the original author to 13 items. The inventory measures depressive thinking's by providing, for each item, a series of four statements representing increasing degrees of symptoms. For each item, the score ranges from zero to three. The higher the global score, the more depressed the subject is (0 to 39). It alerts the clinician, who uses the different severity levels, to the diagnosis of depression from a score of four and to judge the intensity of this depression:

- 0 to 3: no depression.
- 4 to 7: minor depression.
- 8 to 15: moderate to severe depression.
- 16 and over: severe depression.
- The Hamilton anxiety rating scale (HAM):

Is one of the first rating scales developed to measure the severity of anxiety symptoms. The scale consists of 14 items, each defined by a series of symptoms, and measures both psychic anxiety (mental agitation and psychological distress) and somatic anxiety (physical complaints related to anxiety). Although the HAM-A remains widely used as an outcome measure in clinical trials, it has been criticized for its sometimes poor ability to discriminate between anxiolytic and antidepressant effects, and somatic anxiety versus somatic side effects. Despite this, the reported levels of inter-rater reliability for the scale appear to be acceptable [6].

#### 3.3. Statistical Assessment

Data input and statistical treatment was done with SPSS software Version 20. To

identify the relationship between the sociodemographic and clinical characteristics of the parents and the psychological disorder we have used the correlation test which concludes the significance when the p is <0.05.

# 4. Results

This is a descriptive trial carried out on 43 parents of children with cancer undergoing treatment at the medical oncological department of the Habib Bourguiba Hospital in Tunisia over a period of four months.

#### 4.1. Characteristics of the Study Population

 

 Table 1 illustrates the socio-demographic characteristics of the study population (age, sex, and marital status, and geographic origin, level of scholarity, professional activity, and number of children).

As for the clinical characteristics of the study population and their children, **Table 2** illustrates their different features.

#### 4.2. The Psychiatric Assessment

According to the IESR-R score, 37% of the parents had scores in favor of significant symptoms of post-traumatic stress disorder. Sixteen percent of the parents assessed before one month had acute stress and 21% of the parents assessed after one month had post-traumatic stress. The majority of parents have scores not suggestive of post-traumatic stress disorder (63%).

Then, according to the BDI depression score, 87% of the parents had minor to severe depression of which: 34% had minor depression, 46% had moderate depression and 7% of the parents had severe depression only 14% had a BDI score that did not suggest depression.

For the psychiatric assessment of anxiety, it was according to the Hamilton test and it showed minor anxiety in 34% of the parents and major anxiety in 61% of the parents. Only 5% of the parents had scores that did not suggest anxiety.

 Table 3 illustrates the psychiatric assessment using different scores.

| Extremes: 18 - 68 years; Average age: 37 years                 |
|--|
| Female: 79.5%; Male: 20.5%                                     |
| Married: 98%; Divorced: 2%                                     |
| Urban: 57%; Rural: 43%   |
| Analphabet: 9%; Schooled: 91%                                  |
| Regular activity: 23%; Irregular activity: 4%; Unemployed: 73% |
| <3 children: 89%; >3 children: 11%                             |
| <500 dinars: 22%; >500 dinars: 78%                             |
| 25% of the population  |
| 14% of the population  |
|  |

 Table 1. Socio-demographic characteristics of the study population.

|                              | <4 years: 48%              |
|------------------------------|----------------------------|
| A so of shildren with someon | 4 - 8 years: 25%           |
| Age of children with cancer  | 8 - 12 years: 11%          |
|                              | >12 years: 16%             |
| Say of children with concor  | Female: 57%                |
| Sex of children with cancer  | Male: 43%                  |
|                              | Neuroblastoma: 9 children  |
|                              | Meduloblastoma: 7 children |
|                              | Nephroblastoma: 5 children |
|                              | Ewing sarcoma: 5 children  |
| Type of cancer               | Osteosarcoma: 2 child      |
| Type of cancer               | Rabdomyosarcoma: 2 child   |
|                              | Hepatoblastoma: 1 child    |
|                              | Pinealoblastoma: 1 child   |
|                              | Cerebral PNET: 1 child     |
|                              | Germinal tumor: 1 child    |
| Com com etc des              | Localized: 54.5%           |
| Cancer State.                | Disseminated: 45.5%        |

Table 2. The clinical characteristics of the study population and their children.

Table 3. Psychiatric assessment.

| -the time between the announcement of the diagnosis and the psychiatric assessment | <1 month: 32%<br>>1 month: 68% |
|--|--------------------------------|
|  | No stress: 63%                 |
| -the stress assessment   | Acute stress: 16%              |
|  | Post-traumatic stress : 21%    |
|  | No depression: 14%             |
| 41 - 1   | Minor depression: 34%          |
| -the depression assessment   | Moderate depression: 46%       |
|  | Severe depression: 7%          |
|  | No anxiety: 5%                 |
| The anxiety assessment   | Minor anxiety: 34%             |
| -  | Major anxiety: 61%             |

# 4.3. Correlation between Psychiatric Assessment and Clinical and the Sociodemographic Parameters Used in the Study

The analysis of the different socio-demographic and clinical factors that could be predictive of the occurrence of post-traumatic stress disorder in parents of children with cancer showed that only the female gender was a risk factor (p = 0.045 < 0.05). However, there was no significant relationship between the other factors and the occurrence of post-traumatic stress disorder.

Using the correlation test, our study did not show a significant association

between the different parameters investigated and the occurrence of depression.

Among the socio-demographic parameters studied, only female gender was significantly correlated with anxiety levels in our parents (p = 0.006 < 0.05).

Significant correlations were also found between post-traumatic stress scores and symptoms of depression and anxiety (p = 0.04 and p = 0.003 < 0.05).

 
 Table 4 detailed the correlation between psychiatric assessment and some sociodemographic and clinical factors.

#### **5. Discussion**

Pediatric cancers are rare and heterogeneous diseases representing 1% to 3% of cancers. They are characterized by the heterogeneity of their primary site and histological type. The major progress in cure rates, from 25% before 1970 to more than 75% currently in developed countries, is the result of therapeutic advances, and the development of multi-center clinical trials [7].

In Tunisia, the incidence of childhood cancer is estimated at 400 new cases per year, *i.e.* 18/100,000 children under 15 years of age according to World Health Organization statistics [8].

The most common types of pediatric cancer are leukemia, brain cancers, lymphomas and solid tumors such as neuroblastoma and Wilms' tumor [9].

In our study, the neuroblastoma is described as the most common tumor (28%) followed by nephroblastoma, which represent 22% of cases and after that follow the diagnostic of meduloblastoma and Ewing sarcoma each of which represents 16% of cases.

When parents are confronted with the diagnostic of cancer in their child, a process starts, involving the appraisal of the stressor, followed by strain, and stress reactions, or the manifestations of strain, which become manifest as uncertainty, anxiety, depressive symptoms, and PTSS.

These feelings are, especially identified at critical moments (initial diagnosis, treatment, but also relapses) [10].

Learning that one's child has a life-threatening disease is a qualifying event for PTSD or PTSS [11]. Approximately 68% of mothers and 57% of fathers of child-ren currently in treatment report PTSS in the moderate to severe range [12].

 Table 4. Correlation between psychiatric assessment and some sociodemographic and clinical factors.

|                          | Post-traumatic stress disorder | anxiety   | Depression |
|--------------------------|--------------------------------|-----------|------------|
| Sex                      | P = 0.045                      | P = 0.006 | P = 0.76   |
| Age of parents           | P = 0.92                       | P = 0.065 | P = 0.53   |
| Level of schooling       | P = 0.18                       | P = 0.45  | P = 0.41   |
| Professional activity    | P = 0.93                       | P = 0.41  | P = 0.57   |
| Monthly income           | P = 0.31                       | P = 0.62  | P = 0.62   |
| Previous traumatic event | P = 0.96                       | P = 0.4   | P = 0.12   |
| Cancer stage             | P = 0.71                       | P = 0.1   | P = 0.71   |

In our study, only 16% of parents report a PTSS.

Sub-clinical PTSS such as intrusive thoughts about cancer, physiological arousal at reminders, and avoidance of treatment-related events have been found to be even more prominent [13].

Acute stress disorders occur in the first month after the trauma event. Their criteria are based on intrusive symptoms (such as involuntary rememorize of the traumatic event, also known as reliving), negative mood, dissociative symptoms (such as second state) the avoidance and waking disorders (ranging from sleep disruption to hyper vigilance).

These disorders are often associated with concentration difficulties.

In the case of post-traumatic stress disorder, there are also cognitive alterations (such as forgetting of the features of the trauma) [14].

In our study, a 21% report a PTSD.

**Table 5** illustrates the prevalence of stress in parents of children with cancer in the comparison with the results of the literature.

In our trial, the prevalence of acute stress is relatively high, about 37% of the parents (2% of the fathers against 35% of the mothers).

This prevalence of acute stress significantly exceeds that of parents of injured children, which is still the leading cause of pediatric mortality which does not exceed 25% [18].

This is due to the parents' perception of cancer as a source of childhood suffering, pain (disease, treatment, medical procedures) and its high associations with death [19].

In our study, 21% of the parents assessed after one month of diagnosis had scores in favor of post-traumatic stress. These findings are comparable to those cited in the literature, particularly those of Dunn *et al.* [20] and McCarthy [17].

These results may be attributed also to the fact that the parents in our study were predominantly represented by mothers and, following the results of the literature, the prevalence of post-traumatic stress symptoms in mothers is 2.49 times higher than in fathers [15].

It is considered that the chronicity of the disease, both in term of treatment and possible relapse is probably the most important stressor [21].

The therapeutic procedure for the acute stress include the Cognitive-behavioral therapy, which is particularly efficient in the treatment of acute stress and the antidepressants, including selective serotonin reuptake inhibitors, which are sometimes prescribed to reduce the severity of PTSD symptoms.

Table 5. Prevalence of stress in parents of children with cancer in the literature.

| Authors         | country   | Year | Size of sample | Prevalence of stress       |
|-----------------|-----------|------|----------------|----------------------------|
| Our study       | Tunisia   | 2021 | 43             | 37%                        |
| Iranmanesh [16] | Iran      |      | 200            | 75%                        |
| Kazak [17]      | USA       | 2008 | 249            | 65%                        |
| McCarthy [18]   | Australia | 2012 | 145            | Fathers 60%<br>Mathers 61% |

Parents may show depressive symptoms in reaction to their child's cancer diagnosis.

These symptoms may include: a depressed mood; persistent sorrow, anxiety or emptiness, feelings of hopelessness or pessimism, feelings of guilt or helplessness, tiredness or low energy, significant decrease in interest or pleasure, difficulty in concentration or in making decisions, agitation, insomnia or hypersomnia [14].

Our study showed a high prevalence of depression in parents of children with cancer. About 86% of our parents had mild to severe depression (67% of mothers and 19% of fathers). Our results indicate that about 7% of the parents had scores in favor of severe depression and 46% had scores in favor of moderate depression. These findings are similar to the results of previous studies including that of SK Al-Maliki in Iraq which found an estimated prevalence of 70.5% (77.2% among fathers and 57.1% among mothers) [22] and Battcharya K in India which found a prevalence of depression about 78.3% [23].

This prevalence was lower in the study by K. Dietrich in Germany, which found significant depression scores in about 42% of the parents [24]. These results were similar to those of Kostak's study in Turkey which found depressive symptoms in about half of the mothers and one third of the fathers [25]. This difference may be due to the difference in the assessment tools employed and the delay after the announcement of the diagnosis [7].

Table 6 detailled the comparison between our results and the findings in the literature in terms of depression in parents of children with cancer.

The announcement of a child's cancer is a difficult moment. The family lived in a world of confusion and anxiety where all the members take care obsessively with their unique child in order to heal his suffering and thus to decrease their distress and anxiety.

In our study, we found a significant increase in anxiety among parents of children with cancer after the diagnosis (95%) using HAM scale.

We found that the majority has major anxiety (61%) and 34% has minor anxiety.

Our results are similar to those of Lichtenthal, who assessed the need of parents of children with cancer for mental health support and reported that 85% of parents had high anxiety scores [27].

Table 6. Prevalence of depression in parents of children with cancer in the literature.

| Authors           | Country   | year | Size of sample | Prevalence of depression |
|-------------------|-----------|------|----------------|--------------------------|
| Our trial         | Tunisia   | 2021 | 43             | 86%                      |
| Battcharya K [23] | India     | 2016 | 58             | 78.3%                    |
| AL-Maliki SK [22] | Iraq      | 2016 | 336            | 70.5%                    |
| Rodriguez EM [26] | USA       | 2016 | 100            | 38%                      |
| K. Dietrich [24]  | Allemagne | 2016 | 213            | 42%                      |
| Kostak MA [25]    | Turquie   | 2013 | 44             | 36%                      |
|                   |           |      |                |                          |

| The authors     | country   | year          | size of sample | Prevalence of anxiety |
|-----------------|-----------|---------------|----------------|-----------------------|
| Our trial       | Tunisia   | 2021          | 43             | 95%                   |
| Lichtenhal [27] | Australia | 2015          | 120            | 85%                   |
| Boman [28]      | Suéde     | 2003          | 264            | 66%                   |
| Duetrich [24]   | Allemagne | 2016 (1 year) | 213            | 54%                   |
| Dunn [20]       | USA       | 2013          | 286            | 37%                   |
| Dahliquist [34] | USA       | 1993          | 226            | 13%                   |

Table 7. Prevalence of anxiety in the parents of child with cancer in the literature.

Moreover Boman K found high levels of anxiety in two thirds of the parents [28]. Similarly, Dietrich K found 54% of the parents have the diagnostic of anxiety [24].

These findings differ from those observed by Dunn *et al.*, Dahlquist LM where only 37% and 13% respectively of parents have scores in favor of anxiety.

Anxiety is variable over time; it most often occurs at the time of diagnosis and decreases over time. Parents of children newly diagnosed or in active therapy report more anxiety symptoms than parents of children not in treatment, in remission, or in relapse [29] [30] [31].

Parents of relapsed children show higher levels of anxiety than parents of survivors or dead children [32].

Most anxiety reactions in parents occur at the time of diagnosis, with predominance in mothers. It can persist even up to five years or more after diagnosis [33].

**Table 7** illustrates the prevalence of anxiety in our trial in comparison with the findings in the literature.

In our study, among the socio-demographic factors, female sex of parents was correlated with a significant risk of stress and anxiety with a p < 0.05.

Our sample of mothers was large enough this is because we have found a significant correlation.

Our findings are similar to several trials reporting an increased risk of psychological distress in mothers [35].

Our study has certain limitations. One of these limitations is the number of fathers which is significantly underrepresented.

#### **6.** Conclusions

The diagnosis of pediatric cancer is a potentially traumatic event and a major source of psychological distress for parents.

The objective of our study was to evaluate the psychological distress in parents of children with cancer. Our results showed a high prevalence of anxiety and depressive disorders among parents of children diagnosed with cancer and a significant correlation between stress and anxiety with the female sex of parents.

Our results were similar to several studies although other risk factors for psy-

chological distress in parents of children with cancer have been described in the literature.

These results suggest also that psychosocial support should be offered to parents of children diagnosed with cancer in order to optimize cancer management.

#### **Conflicts of Interest**

The authors declare no conflicts of interest regarding the publication of this paper.

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(event)

# Appendix

# **Appendix 1. Impact of Events-Scale-Revised**

#### IMPACT OF EVENTS SCALE-Revised (IES-R)

INSTRUCTIONS: Below is a list of difficulties people sometimes have after stressful life events. Please read each item, and then indicate how distressing each difficulty has been for you DURING THE PAST SEVEN DAYS with respect to \_\_\_\_\_\_

| that occurred on                              | (date). | How much have you been |
|---|---------|------------------------|
| distressed or bothered by these difficulties? |         | -                      |

|  | Not at all | A little bit | Moderately | Quite a bit | Extremely |
|--|------------|--------------|------------|-------------|-----------|
| 1. Any reminder brought back feelings        | 0          | 1            | 2          | 3           | Δ         |
| about it                                     | 0          | 1            |            |             |           |
| 2. I had trouble staying asleep              | 0          | 1            | 2          | 3           | 4         |
| 3. Other things kept making me think         | 0          | 1            | 2          | 3           | 4         |
| about it.                                    | 0          | 1            | <i>L</i>   | 5           |           |
| 4. I felt irritable and angry                | 0          | 1            | 2          | 3           | 4         |
| 5. I avoided letting myself get upset when   | 0          | 1            | 2          | 3           | Δ         |
| I thought about it or was reminded of it     | 0          | 1            |            |             |           |
| 6. I thought about it when I didn't mean     | 0          | 1            | 2          | 3           | Δ         |
| to   | 0          | 1            | 2          | 5           | 7         |
| 7. I felt as if it hadn't happened or wasn't | 0          | 1            | C          | 2           | 1         |
| real.  | 0          | 1            | 2          | 5           | 7         |
| 8. I stayed away from reminders of it.       | 0          | 1            | 2          | 3           | 4         |
| 9. Pictures about it popped into my mind.    | 0          | 1            | 2          | 3           | 4         |
| 10. I was jumpy and easily startled.         | 0          | 1            | 2          | 3           | 4         |
| 11. I tried not to think about it.           | 0          | 1            | 2          | 3           | 4         |
| 12. I was aware that I still had a lot of    |            |              |            |             |           |
| feelings about it, but I didn't deal with    | 0          | 1            | 2          | 3           | 4         |
| them.  |            |              |            |             |           |
| 13. My feelings about it were kind of        | 0          | 1            | 2          | 3           | 1         |
| numb.  | U          | 1            | 2          | 5           |           |
| 14. I found myself acting or feeling like I  | 0          | 1            | 2          | 2           | 1         |
| was back at that time.                       | 0          | 1            | 2          | 5           | 7         |
| 15. I had trouble falling asleep.            | 0          | 1            | 2          | 3           | 4         |
| 16. I had waves of strong feelings about     | 0          | 1            | 2          | 2           | 1         |
| it.  | U          | 1            | 2          | 5           | 4         |
| 17. I tried to remove it from my memory.     | 0          | 1            | 2          | 3           | 4         |
| 18. I had trouble concentrating.             | 0          | 1            | 2          | 3           | 4         |
| 19. Reminders of it caused me to have        |            |              |            |             |           |
| physical reactions, such as sweating,        | 0          | 1            | 2          | 2           | 1         |
| trouble breathing, nausea, or a pounding     | 0          | 1            | 2          | 5           | 4         |
| heart.                                       |            |              |            |             |           |
| 20. I had dreams about it.                   | 0          | 1            | 2          | 3           | 4         |
| 21. I felt watchful and on-guard.            | 0          | 1            | 2          | 3           | 4         |
| 22. I tried not to talk about it.            | 0          | 1            | 2          | 3           | 4         |

Total IES-R Score:

INT: 1, 2, 3, 6, 9, 14, 16, 20 AVD: 5, 7, 8, 11, 12, 13, 17, 22 HYP: 4, 10, 15, 18, 19, 21

 Weiss, D.S. (2007). The Impact of Event Scale-Revised. In J.P. Wilson, & T.M. Keane (Eds.)

 Assessing psychological trauma and PTSD: a practitioner's handbook (2<sup>nd</sup> ed., pp. 168-189). New York: Guilford Press.

 AETR2N
 22

 1/13/2012

Revised Impact of Event Scale (22 questions):

The revised version of the Impact of Event Scale (IES-r) has seven additional questions and a scoring range of 0 to 88.

On this test, scores that exceed 24 can be quite meaningful. High scores have the following associations.

#### Score (IES-r) Consequence

| 24 or more      | PTSD is a clinical concern. <sup>6</sup> Those with scores this high who do not have full PTSD will have partial PTSD or at           |
|-----------------|---|
|                 | least some of the symptoms.   |
| 33 and<br>above | This represents the best cutoff for a probable diagnosis of PTSD. <sup>7</sup>  |
| 37 or more      | <b>This is high enough to suppress your immune</b><br><b>system's functioning</b> (even 10 years after an impact event). <sup>8</sup> |

The IES-R is very helpful in measuring the affect of routine life stress, everyday traumas and acute stress

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# Appendix 2. Hamilton Anxiety Rating Scale (HAM-A)

# Hamilton Anxiety Rating Scale (HAM-A)

Reference: Hamilton M. The assessment of anxiety states by rating. Br J Med Psychol 1959; 32:50–55.

Rating Clinician-rated

Administration time 10–15 minutes

Main purpose To assess the severity of symptoms of anxiety

Population Adults, adolescents and children

# Commentary

The HAM-A was one of the first rating scales developed to measure the severity of anxiety symptoms, and is still widely used today in both clinical and research settings. The scale consists of 14 items, each defined by a series of symptoms, and measures both psychic anxiety (mental agitation and psychological distress) and somatic anxiety (physical complaints related to anxiety). Although the HAM-A remains widely used as an outcome measure in clinical trials, it has been criticized for its sometimes poor ability to discriminate between anxiolytic and antidepressant effects, and somatic anxiety versus somatic side effects. The HAM-A does not provide any standardized probe questions. Despite this, the reported levels of interrater reliability for the scale appear to be acceptable.

# Scoring

Each item is scored on a scale of 0 (not present) to 4 (severe), with a total score range of 0-56, where <17 indicates mild severity, 18–24 mild to moderate severity and 25–30 moderate to severe.

# Versions

The scale has been translated into: Cantonese for China, French and Spanish. An IVR version of the scale is available from Healthcare Technology Systems.

# **Additional references**

Maier W, Buller R, Philipp M, Heuser I. The Hamilton Anxiety Scale: reliability, validity and sensitivity to change in anxiety and depressive disorders. J Affect Disord 1988;14(1):61–8.

Borkovec T and Costello E. Efficacy of applied relaxation and cognitive behavioral therapy in the treatment of generalized anxiety disorder. J Clin Consult Psychol 1993; 61(4):611–19

# Address for correspondence

The HAM-A is in the public domain.

| _   |   |  |                                     |                        |  |  |
|---|---|--|-------------------------------------|------------------------|--|--|
| F   | lamilton Anxiety Rating   | g Scale (HAM-A)  |                                     |                        |  |  |
| Belo<br>to v  | ow is a list of phrases that describ<br>which he/she has these conditions                         | e certain feeling that peo<br>. Select one of the five re        | ple have. Rate s<br>sponses for eac | the<br>ch              | e patients by finding the answer w<br>of the fourteen questions.   | hich best describes the extent                                 |
| 0 =   | Not present,  | I = Mild,  | 2 = Moderat                         | te,                    | 3 = Severe,  | 4 = Very severe  |
| ī   | Anxious mood  | 0 1 2 3 4  | 8                                   |                        | Somatic (sensory)  | 0 1 2 3 4  |
| Wo  | rries, anticipation of the worst, fe  | earful anticipation, irritabil                                   | lity. Ti<br>pr                      | inn<br>rick            | itus, blurring of vision, hot and co<br>king sensation.  | ld flushes, feelings of weakness                               |
| <b>2</b><br>Fee<br>easi   | <b>Tension</b><br>lings of tension, fatigability, startle<br>ly, trembling, feelings of restlesso | 0 1 2 3 4<br>response, moved to tear<br>ess, inability to relax. | rs <b>9</b><br>Ta                   | ach                    | <b>Cardiovascular symptoms</b><br>ycardia, palpitations, pain in chest                                       | 0 1 2 3 4<br>, throbbing of vessels, fainting                  |
| 3<br>Of crow  | Fears<br>dark, of strangers, of being left alo<br>wds.  | 0 1 2 3 4<br>one, of animals, of traffic,                        | of I(<br>Pr                         | 0<br>res:              | <b>Respiratory symptoms</b><br>sure or constriction in chest, cho  | 0 1 2 3 4<br>king feelings, sighing, dyspnea.                  |
| 4   | Insomnia  | 0 1 2 3 4  | 11                                  | I                      | Gastrointestinal symptoms  | 0 1 2 3 4  |
| Diff<br>on v  | iculty in falling asleep, broken slee<br>waking, dreams, nightmares, night                        | ep, unsatisfying sleep and t<br>terrors.                         | fatigue D<br>ab                     | odo                    | culty in swallowing, wind abdomin<br>minal fullness, nausea, vomiting, b<br>als loss of weight, constitution | al pain, burning sensations,<br>porborygmi, looseness of       |
| <b>5</b>  |   | 0 1 2 3 4  | 12                                  | 2                      | Genitourinary symptoms   | 0 1 2 3 4  |
| Diff  | icuity in concentration, poor men   | nory.  | Fr                                  | req                    | uency of micturition, urgency of r   | micturition, amenorrhea,                                       |
| 6   | Depressed mood  | 0 1 2 3 4  | m<br>lib                            | ieno<br>bido           | orrhagia, development of frigidity,  | premature ejaculation, loss of                                 |
| Los:<br>diur  | s of interest, lack of pleasure in h<br>mal swing.  | obbies, depression, early  | waking,                             | 3                      | Autonomic symptoms   | 0 1 2 3 4  |
| 7   | Somatic (muscular)  | 0 1 2 3 4  | D                                   | ry                     | mouth, flushing, pallor, tendency  | to sweat, giddiness, tension                                   |
| Pains and aches, twitching, stiffness, myoclonic jerks, grinding of |   | of he  | ead                                 | ache, raising of hair. |  |  |
| teet  | h, unsteady voice, increased muse   | cular tone.  | 14                                  | 4                      | Behavior at interview  | 0 1 2 3 4  |
|   |   |  | Field                               | dge<br>raiı            | eting, restlessness or pacing, trem<br>ned face, sighing or rapid respirat                                   | or of hands, furrowed brow,<br>ion, facial pallor, swallowing, |

etc.

# Appendix 3. The Beck's Depression Inventory (BDI)

Beck's Depression Inventory

This depression inventory can be self-scored. The scoring scale is at the end of the questionnaire.

- 1.
- 0 I do not feel sad.
- 1 I feel sad
- 2 I am sad all the time and I can't snap out of it.
- 3 I am so sad and unhappy that I can't stand it.
- 2.

3.

- 0 I am not particularly discouraged about the future.
- 1 I feel discouraged about the future.
- 2 I feel I have nothing to look forward to.
- 3 I feel the future is hopeless and that things cannot improve.
  - 0 I do not feel like a failure.
    - 1 I feel I have failed more than the average person.
    - 2 As I look back on my life, all I can see is a lot of failures.
    - 3 I feel I am a complete failure as a person.
- 4.
- 0 I get as much satisfaction out of things as I used to.
- 1 I don't enjoy things the way I used to.
  - 2 I don't get real satisfaction out of anything anymore.
- 3 I am dissatisfied or bored with everything.
- 0 I don't feel particularly guilty
  - 1 I feel guilty a good part of the time.
  - 2 I feel quite guilty most of the time.
  - 3 I feel guilty all of the time.
- 6.

5.

- 0 I don't feel I am being punished.
- 1 I feel I may be punished.
- 2 I expect to be punished.
- 3 I feel I am being punished.
- 7.
- 0 I don't feel disappointed in myself.
- 1 I am disappointed in myself.
- 2 I am disgusted with myself.
- 3 I hate myself.
- 8.
- 0 I don't feel I am any worse than anybody else.
- 1 I am critical of myself for my weaknesses or mistakes.
- 2 I blame myself all the time for my faults.
- 3 I blame myself for everything bad that happens.
- 9.
- 0 I don't have any thoughts of killing myself.
- 1 I have thoughts of killing myself, but I would not carry them out.
- 2 I would like to kill myself.
- 3 I would kill myself if I had the chance.
- 10.
  - 0 I don't cry any more than usual.
  - 1 I cry more now than I used to.
  - 2 I cry all the time now.
  - 3 I used to be able to cry, but now I can't cry even though I want to.

| 11. |   |
|-----|---|
| 0   | I am no more irritated by things than I ever was.                             |
| 1   | I am slightly more irritated now than usual                                   |
| 2   | I am guite approved or invitated a good deal of the time                      |
| 2   |   |
| 3   | I feel irritated all the time.  |
| 12. |   |
| 0   | I have not lost interest in other people.                                     |
| 1   | I am less interested in other people than I used to be.                       |
| 2   | I have lost most of my interest in other people.                              |
| 3   | I have lost all of my interest in other people                                |
| 12  | Thave lost an of my interest in other people.                                 |
| 15. | I males desisions also et as mall as I array sould                            |
| 0   | I make decisions about as well as I ever could.                               |
| 1   | I put off making decisions more than I used to.                               |
| 2   | I have greater difficulty in making decisions more than I used to.            |
| 3   | I can't make decisions at all anymore.  |
| 14. | ·   |
| 0   | I don't feel that I look any worse than I used to.                            |
| 1   | Lam worried that Lam looking old or unattractive                              |
| 2   | I faal there are permanent changes in my appearance that make me look         |
| 2   | Theer there are permanent changes in my appearance that make the look         |
| 2   | unattractive  |
| 3   | I believe that I look ugly.   |
| 15. |   |
| 0   | I can work about as well as before.   |
| 1   | It takes an extra effort to get started at doing something.                   |
| 2   | I have to push myself very hard to do anything.                               |
| 3   | L can't do any work at all  |
| 16  | realities any work at an.   |
| 10. |   |
| 0   | I can sleep as well as usual.   |
| 1   | I don't sleep as well as I used to.   |
| 2   | I wake up 1-2 hours earlier than usual and find it hard to get back to sleep. |
| 3   | I wake up several hours earlier than I used to and cannot get back to sleep.  |
|     |   |
| 17. |   |
| 0   | I don't get more tired than usual.  |
| 1   | I get tired more easily than I used to  |
| 2   | I get tired from doing almost anything  |
| 2   | i get tred from doing annost anything.  |
| 3   | I am too fired to do anything.  |
| 18. |   |
| 0   | My appetite is no worse than usual.   |
| 1   | My appetite is not as good as it used to be.                                  |
| 2   | My appetite is much worse now.  |
| 3   | I have no appetite at all anymore.  |
| 19  | ukh u ur ur ur ur ur  |
| 0   | I haven't lost much weight if any lately                                      |
| 1   | I have lost more than five nounds   |
| 1   | Thave lost more than rive pounds.   |
| 2   | I have lost more than ten pounds.   |
| 3   | I have lost more than fifteen pounds.   |

| 20. |   |
|-----|---|
| 0   | I am no more worried about my health than usual.  |
| 1   | I am worried about physical problems like aches, pains, upset stomach, or constipation. |
| 2   | I am very worried about physical problems and it's hard to think of much else.          |
| 3   | I am so worried about my physical problems that I cannot think of anything else.        |
| 21. |   |
| 0   | I have not noticed any recent change in my interest in sex.                             |
| 1   | I am less interested in sex than I used to be.  |
| •   |   |

- 2 I have almost no interest in sex.
- 3 I have lost interest in sex completely.

#### INTERPRETING THE BECK DEPRESSION INVENTORY

Now that you have completed the questionnaire, add up the score for each of the twenty-one questions by counting the number to the right of each question you marked. The highest possible total for the whole test would be sixty-three. This would mean you circled number three on all twenty-one questions. Since the lowest possible score for each question is zero, the lowest possible score for the test would be zero. This would mean you circles zero on each question. You can evaluate your depression according to the Table below.

| Total Score | Levels of Depression |
|-------------|----------------------|
|             |                      |

- 1-10\_\_\_\_\_ These ups and downs are considered normal
- 11-16\_\_\_\_\_ Mild mood disturbance
- 17-20\_\_\_\_\_Borderline clinical depression
- 21-30\_\_\_\_\_Moderate depression
- 31-40\_\_\_\_\_Severe depression
- over 40\_\_\_\_\_Extreme depression