

# Leg Atherosclerosis in Japanese COPD Patients: Prevalence of Undiagnosed Peripheral Artery Disease and Association between Leg Atherosclerosis and Clinical Indices

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Received September 25, 2012; revised October 28, 2012; accepted November 7, 2012

## ABSTRACT

**Introduction:** Several studies have suggested that decreased FEV<sub>1</sub> is associated with cardiovascular risk in COPD patients. **Objective:** To identify the prevalence of undiagnosed peripheral artery disease (PAD) and the relationship between leg atherosclerosis and clinical indices, which predict COPD mortality in Japanese COPD patients. **Methods:** We performed a cross-sectional study in 51 COPD patients and 51 age-matched, healthy control smokers. We measured ankle-brachial index (ABI) as a marker of atherosclerosis of the legs, pulmonary function, body mass index, modified Medical Research Council (MMRC) dyspnea scale, and smoking pack-years. We also calculated the ADO index (Age, Dyspnea, and Obstruction), an established predictor of mortality in COPD patients. Co-morbidities including diabetes mellitus, hypertension, and hypercholesterolemia were identified from blood laboratory tests and medical records. **Results:** Five subjects (9.8%) had an ABI < 0.9. ABI was significantly lower in the COPD patients than in the healthy control smokers ( $p < 0.05$ ). The prevalence of PAD was marginally higher in COPD patients than in control smokers ( $p = 0.09$ ), with the prevalence of ABI < 1.0 being significantly higher in COPD patients than in control smokers ( $p = 0.04$ ). In the COPD patients, ABI showed significant correlations with age ( $p = 0.006$ ), FEV<sub>1</sub> ( $p = 0.004$ ), smoking pack-years ( $p = 0.047$ ), MMRC dyspnea scale ( $p = 0.0005$ ), SaO<sub>2</sub> ( $p = 0.001$ ), and ADO index ( $p < 0.001$ ). Multiple linear regression modeling showed the factors associated independently with ABI were age, FEV<sub>1</sub>, smoking pack-years, MMRC dyspnea scale, and SaO<sub>2</sub>. **Conclusion:** The risk of leg atherosclerosis in Japanese COPD patients is higher than in smokers without COPD. Leg atherosclerosis in COPD patients is associated with clinical indices that predict COPD mortality.

**Keywords:** COPD; Peripheral Artery Disease; Leg Atherosclerosis; Ankle-Brachial Index

## 1. Introduction

Tobacco smoking is the most important risk factor for both the development and progression of COPD. Chronic obstructive pulmonary disease (COPD) is the fourth leading cause of death worldwide [1]. Recently, COPD has been recognized as a systemic disease [2,3], and in particular, is associated with a markedly increased risk of cardiovascular disease [4], which accounts for approximately 25% to 40% of mortality in COPD patients [5,6]. COPD is characterized by chronic airflow limitation resulting from an excessive inflammatory response of the lungs to cigarette smoking [7], an established risk factor for cardiovascular disease. However, recent studies have also shown that COPD is associated with cardiovascular

risk independent of classical risk factors [8-10]. Furthermore, several studies have demonstrated that atherosclerosis is associated with FEV<sub>1</sub> [11-14]. These findings indicate that the severity of COPD is associated with atherosclerosis.

Peripheral arterial disease (PAD) is a manifestation of systemic atherosclerosis, and is a common disorder associated with a very high risk of myocardial infarction, ischemic stroke, and death [15]. The prognosis of patients with lower extremity PAD is characterized by an increased risk for cardiovascular ischemic events due to concomitant coronary artery disease and cerebrovascular disease [16,17]. There is evidence that these cardiovascular ischemic events are more frequent than ischemic limb events in cohorts of patients with lower extremity

PAD [18]. Lower extremity PAD should therefore be considered as a sign of potentially diffuse and significant arterial disease [15].

Several studies have reported that the rate of cardiovascular death in the smoking population in Japan is lower than in other developed countries [19,20]. However, there are no data comparing the prevalence of PAD in COPD patients and healthy smokers in the Japanese population, and only limited data on the relationship between leg atherosclerosis and clinical indices in COPD patients.

## 2. Method

### 2.1. Subjects Studied

Subjects with COPD with a smoking history and age-matched control smokers were recruited from an outpatient clinic at Shinko Hospital. The control smokers without COPD were recruited from individuals treated at our hospital for chronic bronchitis without lung function abnormalities, or for health status check-ups. Control smokers were ex-smokers or current smokers without lung function abnormalities. An age-matched (within 1 year) control smoker was selected randomly for each subject with COPD. Subjects with a history of respiratory infection within the previous 4 weeks, asthma, or active malignancy were not included in the study. Cardiovascular comorbidity was recorded carefully. Patients already diagnosed with PAD were excluded from the study.

Body mass index (BMI) was calculated as weight (in kilograms) divided by height squared (in meters). Hypertension was defined as either a systolic blood pressure  $\geq 140$  mmHg, diastolic blood pressure  $\geq 90$  mmHg, or self-reported use of antihypertensive medication. Diabetes mellitus was defined as either a fasting glucose level  $\geq 126$  mg/dl, a non-fasting glucose level  $\geq 200$  mg/dl, a self-reported physician diagnosis, or pharmacologic hypoglycemic treatment. Subjects with a low-density lipoprotein (LDL)-cholesterol level  $\geq 140$  mg/dl or using lipid-lowering drugs were considered to have hypercholesterolemia. The subjects also completed a medical history that included questions about their current smoking status and history.

Spirometry was performed on all subjects using a computed spirometer (CHESTAC-8800, CHEST M. I., Inc., Tokyo, Japan). The protocol for the lung function measurements conformed to the recommendations of the American Thoracic Society [21]. This study was approved by the Ethics Committee of Shinko Hospital, and informed consent was obtained from all subjects prior to enrollment.

### 2.2. Ankle-Brachial Index

The ABI is calculated as the ratio of ankle to arm systolic

blood pressure and is used commonly in clinical practice to assess lower extremity PAD [15]. In all cases, the subjects rested in the supine position for 5 min before measurement of ABI. Using appropriately sized blood pressure cuffs, systolic blood pressure was measured in both brachial arteries and both leg arteries using an automated device. All measurements were performed by staff in a blinded manner. We used the measurement from the leg with the lower ABI in the analyses.

### 2.3. Clinical Evaluation

Patients completed the modified Medical Research Council dyspnea scale (MMRC) questionnaire [22]. The ADO index is calculated using age, MMRC, and FEV<sub>1</sub>, and is a better predictor of mortality from COPD than the traditional BODE index [23]. The ADO score ranges from 0 to 10 points, with higher scores indicating higher mortality.

### 2.4. Statistical Analysis

JMP software (SAS Institute Inc., Cary, NC, USA) was used for the analyses. The results are presented as mean (SEM) or number (percentage). Differences between the COPD patients and control smokers were compared using unpaired Student's t-tests for continuous variables and  $\chi^2$ -tests for categorical data. Spearman's rank test was used to examine correlations between the variables. Multivariate linear regression was performed using each parameter as a dependent variable in order to determine the independent predictors of ABI. Due to the strong association between age and SaO<sub>2</sub>, these variables were included in separate models as candidate variables. *P*-values < 0.05 were considered statistically significant.

## 3. Results

### 3.1. Subject Characteristics

The characteristics of the subjects are shown in **Table 1**. The mean age of the COPD subjects was 72.4 years. The prevalence of ABI < 0.9 was marginally higher in the COPD group than in the control group (9.8% vs 2.0%, *p* = 0.092). The prevalence of ABI < 1.0 was significantly higher in the COPD group than in the control group (19.6% vs 5.9%, *p* = 0.037). FEV<sub>1</sub>, BMI, and ABI were significantly lower in the COPD subjects compared to the control smokers (all *p* < 0.05). Age, gender, smoking status and pack-year histories, and prevalence of comorbidities were similar between the two groups.

The association of ABI with cardiovascular risk factors and clinical indices

In the COPD patients, ABI correlated significantly with age (*r* = -0.37, *p* = 0.006), FEV<sub>1</sub> (*r* = 0.28, *p* = 0.004), smoking pack-years (*r* = -0.28, *p* = 0.047),

**Table 1. Characteristics of the subjects.**

	Control smoker (n = 51)	COPD (n = 51)	P-value
Age (yr)	72.1 (7.4)	72.4 (6.8)	0.76
Male gender, n (%)	45 (88.2)	44 (84.6)	0.56
Current smokers, n (%)	15 (30.0)	8 (15.7)	0.084
Pack-years	55.9 (31.6)	60.0(34.5)	0.66
FEV <sub>1</sub> (%)	95.4 (19.1)	47.2 (20.4)	<0.001
BMI (kg/m <sup>2</sup> )	23.8 (3.4)	21.8 (3.5)	0.0042
ABI	1.13 (0.1)	1.07 (0.1)	0.0054
ABI < 0.9	1 (2.0)	5 (9.8)	0.092
ABI < 1.1	3 (5.9)	10 (19.6)	0.037
Comorbidity, n (%)			
Hypertension	14 (27.5)	19 (37.3)	0.29
Diabetes mellitus	8 (15.7)	4 (7.8)	0.22
Hypercholesterolemia	13 (25.5)	7 (13.7)	0.075
Ischemic heart disease	7 (13.7)	3 (5.9)	0.19

Values are expressed as mean (SD) unless stated otherwise.

MMRC dyspnea scale ( $r = -0.47$ ,  $p = 0.0005$ ), resting SaO<sub>2</sub> ( $r = 0.45$ ,  $p = 0.001$ ) (Table 2), and ADO index ( $r = -0.51$ ,  $p < 0.001$ ) (Figure 1). There were no associations between ABI and BMI, smoking status, prevalence of comorbidities, or history of ischemic heart disease (Table 3).

Multiple linear regression modeling, after adjustment for age, FEV<sub>1</sub>, smoking pack-years, and MMRC dyspnea scale, showed that age ( $p = 0.0046$ ), FEV<sub>1</sub> ( $p = 0.027$ ), smoking pack-years ( $p = 0.0018$ ), and MMRC dyspnea scale ( $p = 0.023$ ) were independent factors associated significantly with ABI (Table 4 (a)). Adjustment for SaO<sub>2</sub>, FEV<sub>1</sub>, smoking pack-years, and MMRC dyspnea scale, showed that SaO<sub>2</sub> ( $p = 0.037$ ), smoking pack-years ( $p = 0.037$ ), and MMRC dyspnea scale ( $p = 0.013$ ) were significant independent determinants of ABI (Table 4 (b)).

#### 4. Discussion

In this study we showed that the prevalence of undiagnosed PAD was approximately 10% in Japanese COPD patients, a rate marginally higher than that of age-matched healthy control smokers. ABI in COPD patients was lower than in healthy smokers. Age, MMRC, FEV<sub>1</sub>, smoking pack-years, and SaO<sub>2</sub> were associated with ABI in COPD patients. There was also a negative correlation between ABI and the ADO index, which predicts COPD mortality. To our knowledge, this is the first report on the prevalence of undiagnosed PAD in Japanese COPD patients and also the relationship between ABI and clinical-

**Table 2. Association between continuous variables and ABI analyzed by spearman's rank test.**

	<i>r</i>	<i>p</i>
Age	-0.37	0.006
FEV <sub>1</sub>	0.28	0.004
BMI	0.079	0.58
Pack-years	-0.28	0.047
MMRC	-0.47	0.0005
SaO <sub>2</sub>	0.45	0.001

**Table 3. Mean difference in ABI between the dichotomous groups.**

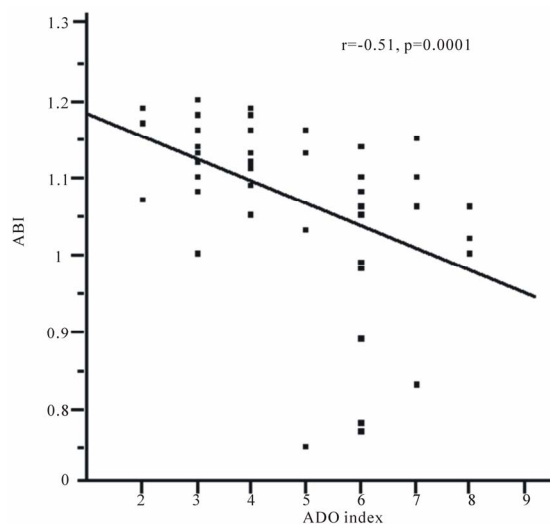
	<i>p</i>	
Current smoking	0.053	0.18
Hypertension	0.0077	0.30
Hyperlipidemia	0.046	0.79
Diabetes mellitus	0.0027	0.96
Ischemic heart disease	0.074	0.25

**Table 4. Multiple linear regression of ABI. (a) Adjusted for age, FEV<sub>1</sub>, pack-years, and MMRC; (b) Adjusted for SaO<sub>2</sub>, FEV<sub>1</sub>, pack-years, and MMRC.**

(a)			
	OR	95% CI	<i>p</i>
Age	0.999	0.991 - 0.998	0.0046
FEV <sub>1</sub>	1.001	1.000 - 1.003	0.027
Pack-years	0.998	0.998 - 0.999	0.0018
MMRC	0.977	0.958 - 0.996	0.023
(b)			
	OR	95% CI	<i>p</i>
SaO <sub>2</sub>	1.016	1.001 - 1.031	0.037
FEV <sub>1</sub>	1.000	0.999 - 1.072	0.34
Pack-years	0.999	0.998 - 0.999	0.037
MMRC	0.973	0.953 - 0.993	0.013

indices associated with COPD mortality.

Approximately 10% of COPD patients in this study had an ABI < 0.9. There are only limited published data on the prevalence of lower extremity PAD in COPD patients. A high prevalence of lower extremity PAD in COPD patients was reported in a study from France, that showed 123 of 151 (81.4%) of patients with moderate-to-severe COPD had pathological ABI values (ABI < 0.9) [24]. On the basis of the findings of the present study it appears that the prevalence of lower extremity PAD in COPD patients in Japan may be lower than that in patients in Europe. One reason for this result may be that we excluded subjects who had already been diagnosed with PAD. Another reason may be that the prevalence of



**Figure 1. Association between ABI and ADO index. ABI showed a significant and negative correlation with ADO index ( $r = -0.51, p = 0.0001$ ).**

PAD is low in both COPD patients and the general population in Japan compared with other developed countries. Several studies have also reported that the rate of cardiovascular death in the smoking population and PAD patients is lower in Japan than in other developed countries [19,20,25,26]. However, in this study, the prevalence of PAD in COPD patients tended to be high, with the proportion of subjects with an ABI  $< 1.1$  being significantly greater than in control subjects. Fowkes *et al.* demonstrated that subjects with an ABI 0.91 to 1.10 had higher mortality and cardiovascular event rates than those with a normal ABI [27]. Therefore, as in other countries, attention should be paid to the risk of cardiovascular diseases in Japanese COPD patients.

Recent studies have demonstrated that atherosclerosis is associated with FEV<sub>1</sub> [11-14]. In the Atherosclerosis Risk in Communities (ARIC) Study, decreased FEV<sub>1</sub> was associated with decreased ABI in smoking subjects even after adjustment for cardiovascular risk factors [12]. Iwamoto *et al.* [14], measured the carotid intima-media thickness and focal atheromatous plaque as indicators of sub-clinical atherosclerosis in patients with airflow limitation and control smokers. They showed that mean carotid intima-media thickness was greater in patients with an airflow limitation than in the controls. Furthermore, their data showed significant associations between thickened intima-media thickness and decreased FEV<sub>1</sub>. Although the mechanism for these associations was unclear, it is possible hypoxia occurring in the later stages of COPD may have induced an abnormal inflammatory response, reflected by increased CRP [28] and oxidative stress [29]. In our study, resting SaO<sub>2</sub> showed a significant and positive correlation with ABI, and was an independent determinant of ABI. This finding indicates that hypoxia may

contribute to atherosclerosis in COPD patients. Further studies are required to conclusively determine the mechanisms of these interactions.

We also showed that MMRC was associated with ABI. The severity of dyspnea has been shown to be a better predictor of mortality in COPD than airway obstruction [20]. COPD patients with the most severe dyspnea were shown to be more likely to die than those with only mild dyspnea [20]. A low ABI is a predictor of systemic atherosclerosis and risk of cardiovascular events [27]. Engstrom *et al.* reported that reduced FEV<sub>1</sub> was associated with an increased incidence of hospitalizations due to heart failure [30]. Therefore, not only poor lung function, but also impaired cardiac function may contribute to dyspnea in patients with a low ABI.

In this study, the presence of cardiac risk factors (hypertension, diabetes mellitus, and hypercholesterolemia) was not associated with ABI. One reason for this result may be that the prevalence of these diseases was low in COPD subjects in this study.

In the present study the ADO index correlated better with ABI than either age, FEV<sub>1</sub>, or MMRC. The ADO index is a multidimensional index developed by Puhan *et al.* [23] that incorporates age, dyspnea, and airflow obstruction. The index predicts 3-year mortality from COPD more accurately than the BODE index, which is currently used to estimate a patient's risk of death from COPD. There is evidence that both these multidimensional indices predict survival better than FEV<sub>1</sub> alone [31]. Several studies have shown that airflow limitation is an independent risk factor for cardiovascular disease. However, there is no established threshold for the relationship between cardiovascular risk and FEV<sub>1</sub>. In this study all patients with an ABI  $< 0.9$  had an ADO index score of 5 points or greater. This result suggests that the ADO index has the ability to predict cardiovascular risk in COPD patients. A study in a large number of subjects is required to determine the cut-off point of the ADO index for screening cardiovascular disease in COPD patients.

Coronary and cerebrovascular diseases frequently co-exist in PAD patients [15]. There is an approximately 2- to 4-fold excess of cardiovascular disease in patients with lower extremity PAD [16,17]. The prognosis of patients with lower extremity PAD is characterized by an increased risk for cardiovascular ischemic events due to concomitant coronary artery disease and cerebrovascular disease [16,17]. These cardiovascular ischemic events are more frequent than ischemic limb events in any cohort of patients with lower extremity PAD [18]. Lower extremity arterial disease should therefore also be viewed as a sign of potentially diffuse and significant arterial disease [15]. Measurement of ABI may be useful for identifying patients at high risk who may benefit from aggressive therapeutic intervention [32-35]. The guidelines of the Ame-

rican College of Cardiology (ACC) and American Heart Association (AHA) for the management of patients with PAD recommends that ABI should be considered as a routine test for all patients who are 49 years of age and younger with a history of diabetes and 1 other risk factor, those 50 to 69 years of age with a history of smoking or diabetes, and those aged 70 years or older [15]. In accordance with these guidelines the majority of COPD patients should have ABI measured.

There were some limitations in this study. The number of subjects was small and therefore a study on a larger number of subjects is needed to conclusively establish the prevalence of PAD in COPD subjects. Although some studies have reported an association between atherosclerosis and nocturnal hypoxia [36], the current study did not evaluate this relationship.

## 5. Conclusion

In this study we showed that the rate of atherosclerosis in COPD patients in Japan was lower than in similar patients in other developed countries. However, we showed the rate of atherosclerosis in COPD patients was higher than in healthy smokers, with this finding being consistent to data of other countries. Leg atherosclerosis was also shown to be associated with clinical indices related to COPD mortality. It is therefore important that more attention is paid to leg atherosclerosis in Japanese COPD patients.

## 6. Acknowledgements

We thank Masahiro Motoki, Takanori Matsutani, Takahiko Ando, Kaori Tai, Megumi Sakano, Nanae Kiyokawa, and Kanako Ichimaru of the clinical laboratory of Shinko Hospital for carrying out the pulmonary function tests and measuring ABI.

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## Abbreviations

COPD: Chronic Obstructive Pulmonary Disease  
 FEV<sub>1</sub>: Forced Expiratory Volume in one second  
 PAD: Peripheral Artery Disease  
 ABI: Ankle-Brachial Index

BMI: Body Mass Index  
 MMRC: Modified Medical Research Council  
 SaO<sub>2</sub>: Arterial Oxygen Saturation  
 Ease of Use (*Heading 2*)