

## A Low-Memory-Requiring and Fast Approach to Cluster Large-Scale Decoy Protein Structures

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## **ABSTRACT**

This work demonstrates the so-called PCAC (Protein principal Component Analysis Clustering) method, which clusters large-scale decoy protein structures in protein structure prediction based on principal component analysis (PCA), is an ultra-fast and low-memory-requiring clustering method. It can be two orders of magnitude faster than the commonly-used pairwise rmsd-clustering (pRMSD) when enormous of decoys are involved. Instead of N(N-1)/2 least-square fitting of rmsd calculations and  $N^2$  memory units to store the pairwise rmsd values in pRMSD, PCAC only requires N rmsd calculations and  $N \times P$  memory storage, where N is the number of structures to be clustered and P is the number of preserved eigenvectors. Furthermore, PCAC based on the covariance Cartesian matrix generates essentially the identical result as that from the reference rmsd-clustering (rRMSD). From a test of 41 protein decoy sets, when the eigenvectors that contribute a total of 90% eigenvalues are preserved, PCAC method reproduces the results of near-native selections from rRMSD.

**Keywords:** Protein Structure Predicition; Protein Structure Cluster; Principal Component Analysis; Low-Momery-Requiring Clustering; Ultra-Fast Clustering

## 1. Introduction

In *ab initio* protein-structure prediction, usually a large amount of protein conformations (decoys) are generated. Clustering of similar predicted protein structures is a commonly adopted procedure [1-4]. The clustering procedure simplifies data analysis by reducing the enormous number of decoys generated from the large-scale conformational search and provides information of the distribution of the structures in conformational space. In order to compare clustering results from diversed proteins, an adaptive cluster cutoff method is recommended [5] instead of K-means algorithm. The main drawback of K-means clustering [6,7] is that a pre-determined number of clusters is required, which is not suitable for decoy clustering.

Structural clustering (e.g., leader algorithm [8]) is usually based on the pairwise root-mean-squared distance (pRMSD), which is a more accurate similarity measure than other measures, e.g., distance of internal coordinates [9,10]. The pRMSD requires N(N-1)/2 least-square fitting of rmsd calculations for N structures. It is time-consuming when a large amount of decoys are involved. Li *et al.* have developed a fast decoy clustering method

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(SCUD) that is based on reference root-mean-squared distance (rRMSD), which only requires N rMSD calculations to a reference conformation [11]. A randomly selected reference conformation is used to remove overall translational and rotational motion for all the decoys and rmsd between any two conformations is determined without further reorientation. From a test of 53 decoy sets of or proteins, the near-native selections of rRMSD is similar to that of pRMSD. SCUD is 8 times faster without significant change in the accuracy of near-native selections. However, both pRMSD and rRMSD methods require  $N^2$  memory units to store the rmsd values of each pair of structures in order to speed up calculation, which may exceed the computer's available memory limit when the number of decoys ranges from  $10^4$  to  $10^6$  [12].

Principal Component Analysis (PCA) is one of the most valuable results from linear algebra. It can be used to reduce the number of variables from a linear Gaussian data set or to classify them [13]. PCA was first introduced to biosystem analysis by Gower in 1966 [14,15]. It has been successfully implemented to describe molecules' energy landscape [16-18], nonlinear motions in proteins [19-21], as well as many other bioinformatics fields [22-28]. From a sample data set with *p* variables and *N* 

individuals, there are two ways to build the PCA matrix. One method constructs a  $p \times p$  matrix to measure the discrepancy of the individuals along principal axes in the p-dimensional space. The other method, i.e., principal coordinate analysis, builds a  $N \times N$  matrix to analyze the similarity of the individuals [14,29]. Normally, a covariance matrix [19] is constructed, since its eigenvalue is the variance of the N individuals along the corresponding eigenvector. The matrix can also be constructed as a distance matrix [29], in which the eigenvalue is not directly related to the variance of the individuals any more. The elements of the matrix can be calculated either from the Cartesian coordinates, internal coordinates (e.g., pair distances between two atoms [30], bond angles or dihedral angles [31]), their derivations [32], or any other reasonable measures [23].

In this study, we describe that a clustering method based on PCA, which is called PCAC (Protein principal Component Analysis Clustering), is also a powerful tool for clustering the predicted protein structures. PCAC clustering based on Cartesian coordinates is identical to rRMSD clustering when all the eigenvectors are preserved. From a test of 41 proteins 5 with 2000 folding decoys each, PCAC results in similar near-native selections as rRMSD method when the eigenvectors (about 17) that contribute a total of 90% eigenvalues are preserved. The method needs only N least-square fitting of rMSD calculations instead of N(N-1)/2 in pRMSD. Furthermore, other than  $N^2$  memory units needed in pRMSD and rRMSD clustering, PCAC requires only  $N \times P$  memory units to store the preserved eigenvectors, where P is the number of preserved eigenvectors that is usually a fixed number less than 100 and independent to the number of decoys N. Consequently, it can be hundreds of times faster than pRMSD method when a large number of decoys are studied and the computer cannot store the  $N^2$  pairwise rmsd values in memory. PCAC may be implemented to cluster other large-scale database as well, e.g., compound library for virtual screening.

## 2. Methods

# 2.1. Constructing Covariance Matrix in Cartesian Coordinates

The covariance matrix [13] of C atoms in Cartesian coordinates is used in the PCA calculation. The element  $\sigma_{ij}$  (covariance of two coordinates) in the  $3p \times 3p$  matrix (p number of C atoms in protein that have a total of 3p Cartesian coordinates) is defined as

$$\sigma_{ij} = \frac{1}{N-1} \sum_{l=1}^{N} (x_{li} - \overline{x}_i) (x_{lj} - \overline{x}_j)$$
 (1)

where N is the number of decoys for a specific protein, l

is the decoy index, i and j are the coordinate indices of a total of 3p Cartesian coordinates, and  $x_i$  and  $x_j$  are the average of the conformations along ith and jth coordinates, respectively. Before the covariance matrix is constructed, the decoys are translated and rotated to match a reference conformation: thus the rMSD in between the decoy and the reference is minimized. A total of N rMSD calculations is required to remove the overall rotation.

The eigenvalues are sorted in descending order when the covariance matrix is diagonalized. Only the eigenvectors that have significant eigenvalues are preserved for further analysis. We either preserve eigenvectors with the highest eigenvalues, or set an eigenvalue-percentage-cutoff value (the fraction of the preserved eigenvalues over the total eigenvalues) to select the number of preserved eigenvectors.

## 2.2. PCAC: Clustering in PCA Space

PCAC is based on pairwise distance of decoys in PCA space. The PCA distance,  $d_{mn}$ , between structures m and n is defined as

$$d_{mn} = \sqrt{\sum_{k=1}^{P} (m_k - n_k)^2}$$
 (2)

where P is the number of preserved important eigenvectors ( $P \le 3p$ ), and  $m_k$  and  $n_k$  are the coordinates of the two decoys projected on the kth eigenvector. During clustering, the projected coordinates of each decoy is stored in  $N \times P$  memory units.

The PCA-distance  $d_{mn}$  is normalized to a scaled Cartesian PCA-distance in order to compare it with the rRMSD method

$$d_{mn}^s = \frac{1}{\sqrt{p}} d_{mn} \tag{3}$$

where p is the number of C atoms to build the Cartesian covariance matrix. All the decoys close to each other within a cluster cutoff in PCA space are clustered to one family.

Due to the diversity of protein decoy sets, an adaptive cluster cutoff value is strongly recommended. The cluster cutoff value is calculated when the number of decoys in the top 3 largest clusters includes 5% of the total decoys (*T*35 value [11]). The top 5 largest clusters are selected as the best prediction for a specific protein and the value of the structure selection listed in tables is the one that has minimum rMSD from native among the 5 selected structures.

## 3. Discussion

The decoy set to test PCAC method is obtained from the energy-minimization of 41 helical proteins [5]. The num-

ber of residues in the proteins ranges from 40 to 124 and the number of helices is from 2 to 6. For each protein, at least 2000 initial structures are produced. The initial structures are constructed with random dihedral angles for the residues in nonhelical regions and native dihedral angles for the residues in helical regions [33,34]. The DFIRE energy function [35], together with improper torsion energy and a simple repulsive potential, are employed to minimize the initial structures in dihedral space to fold the protein. As proved by Equation (5), PCAC generates the exactly same results as rRMSD when all the eigenvalues are preserved, no further decoy sets are needed on test of the new methodology.

#### 3.1. PCA-Distance and rRMSD

The rRMSD value used in SCUD,  $rMSD_{mn}^r$ , is the directly calculated rMSD of two decoys indexed as m and n when both of conformations minimize their rMSD values to the reference conformation [11],

$$rMSD_{mn}^{r} = \frac{1}{\sqrt{p}} \sqrt{\sum_{k'=1}^{3p} (m_{k'} - n_{k'})^{2}}$$
 (4)

where p is the total number of C atoms involved in calculation, and  $m_{k'}$  and  $n_{k'}$  are the k'th laboratory Cartesian coordinates (after least-square fitting to the reference conformation) of the two decoys.

Since the decoy's coordinates measured in PCA space are the projections of laboratory coordinates on the PCA eigenvectors, the Cartesian distance of any two C atoms remains constant in both laboratory coordinates and PCA coordinates. Thus, from Equations (2)-(4), we have,

$$rMSD_{mn}^{r} = d_{mn}^{s} \left( when P = 3p \right) = \frac{1}{\sqrt{p}}$$
 (5)

Apparently, from the above equation, we can see that the rRMSD value is identical to the scaled PCA-distance when all the eigenvectors resulted from PCA are considered

## 3.2. Cluster Cutoff

Normally a pre-determined cutoff value is selected to cluster the structures. However, it is difficult to set one cutoff value for the diverse proteins because this will lead to too few clusters for some proteins and too many clusters for the others [5,36].

**Figure 1** depicts the effect of cluster cutoff on the fraction of the number of clusters produced and the number of decoys included in the top 3 largest clusters. We can see that the fraction of the number of clusters strongly depends on the number of decoys, whereas the fraction of the number of decoys included in the top 3 largest clusters is independent. A well-defined cluster cutoff value should be constant as the number of ana-

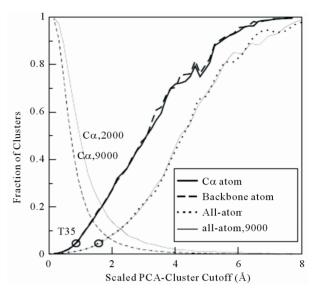


Figure 1. The fraction of decoys in the top 3 largest clusters (two lines end at right-top corner) and number of clusters (two lines start at left-top corner) over the total number of decoys as a function of PCA cluster cutoff value from  $1GAB_{10-51}$  decoy set (scaled by Equation (3)). The eigenvalue-percentage-cutoff is 70%. The solid lines are from 2000 decoys, whereas the dashed lines are from 9000 decoys. The circle at the left-bottom corner of the figure illustrates the selected cluster cutoff T35 value (see text for detail).

lyzed decoys varies. Hence, we select the cluster cutoff as *T*35 value, *i.e.* the number of decoys in the top 3 largest clusters accounts for 5% of the total decoys. *T*35 value is selected as cluster cutoff based on the fact that a statistically significant amount of decoys are included in the top largest cluster (over 40 for a 2000 decoy set), meanwhile, most of the diverse decoys (about 50%) are conserved. Li *et al.* have tested the effect of using different cutoffs as 1%, 3%, 5%, 10%, 15% and 20% of all decoy structures contained in top three clusters [11]. It shows that a cutoff between 3% to 5% produces the best near-native selections.

## 3.3. Eigenvectors to Be Preserved

Only the eigenvectors with highest eigenvalues contribute significantly to determining structure similarity in PCA. The eigenvalue distribution of protein  $1GAB_{10-51}$  is illustrated in **Figure 2**. The top 5 and 10 eigenvectors from  $1GAB_{10-51}$  contain 70% and 85% of the eigenvalues, respectively. We can also see that the curves from 2000 and 9000 decoys are almost identical, implying the eigenvalue distribution is independent to the number of decoys.

As listed in **Table 1**, the near-native selection result improves steadily as the eigenvalue-percentage-cutoff increases, up to 95%. The 90% eigenvalue-percentage-cutoff value can be sufficient to generate near-native pre-

diction similar to rRMSD, which preserves only 17 eigenvectors on average. **Table 2** compares the best structure selection of 41 proteins from rRMSD and PCAC at 90% eigenvalue-percentage-cutoff. The average nearnative selection of top 5 clusters from PCAC is 6.0 Å, which is very close to the 5.9 Å value from rRMSD method. At 99% eigenvalue-percentage-cutoff (on average 51 eigenvetors are preserved), the average near-native selections from the two methods are identical.

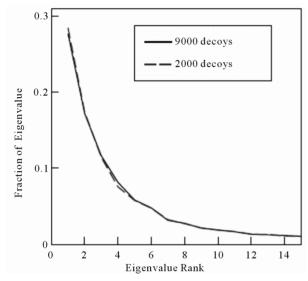


Figure 2. The eigenvalue distribution of protein  $1GAB_{10.51}$ . The solid line and dashed line represent the distribution from 2000 and 9000 decoys, respectively.

Table 1. Average structure selections of 41 proteins using different numbers of principal axes.

Cutoffa	Axes <sup>b</sup>	Top 5C <sup>c</sup>
1	1	7.9
2	2	7.4
3	3	6.7
4	4	6.5
5	5	6.5
70%	7.4	6.6
80%	10.3	6.4
85%	12.7	6.0
90%	16.6	6.0
95%	24.8	5.8
99%	50.9	5.9
$rRMSD^d$	-	5.9

<sup>&</sup>lt;sup>a</sup>The number of principal axes preserved (number) or the eigenvalue-percentage-cutoff (percentage); <sup>b</sup>The average number of principal axes selected; <sup>c</sup>The minimum rmsd value from native of the top five structures ranked by cluster size after clustering (in Å); <sup>d</sup>The result from rRMSD method using the same reference conformation.

Table 2. Structure selections of 41 proteins from rRMSD method and PCA-clustering.

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PDB	N <sub>res</sub> <sup>a</sup>	rRMSD <sup>b</sup>	PCA <sup>c</sup>			
1G6U	48	0.8(1)	0.7(2)			
2ERL	40	2.9(4)	3.8(1)			
1LP1	55	2.9(1)	2.9(1)			
1EZ3	124	5.1(1)	5.6(1)			
$1LVF_A$	106	3.2(1)	3.1(1)			
1BW6	56	3.6(1)	3.7(1)			
1DV0	45	3.6(4)	3.8(2)			
1EDK	56	8.5(1)	2.8(4)			
1EF4	55	5.1(4)	5.9(4)			
1IDY	54	5.9(1)	5.9(1)			
1BDD	60	6.6(4)	6.6(4)			
1MBE	53	8.5(4)	7.2(3)			
$1PRB_{10-53}$	44	2.6(2)	2.6(3)			
1PRU	56	5.7(3)	6.4(5)			
2SPZ	58	1.9(5)	2.8(3)			
2HOA	68	12.2(4)	12.4(3)			
1CKT	71	10.4(1)	11.1(4)			
1DV5	80	7.4(3)	7.4(1)			
$1GAB_{10-51}$	42	2.7(1)	2.4(2)			
$1LBU_{17-76}$	60	7.8(3)	7.7(5)			
1LEA <sub>6-52</sub>	47	4.1(5)	4.7(1)			
1LRE	81	5.3(2)	5.4(1)			
2OCC	79	7.7(2)	8.4(3)			
4Helix	106	7.1(1)	6.3(4)			
$1A04_{A158-213}$	56	2.5(2)	2.5(4)			
1A6S	87	7.7(1)	8.1(3)			
1C5A	65	8.0(3)	8.2(4)			
$1FFH_{2-88}$	87	3.1(2)	3.1(3)			
1NKL	78	4.2(4)	4.2(1)			
2ABD	86	8.1(1)	8.1(1)			
$1AIS_{B1109-1196}$	88	5.1(2)	7.7(5)			
$1B0N_{\rm A1\text{-}68}$	68	5.4(3)	5.4(4)			
$1B0X_{A916-977}$	62	3.1(1)	5.2(3)			
$1UNK_A$	7	10.5(1)	9.5(2)			
1CTJ	89	8.6(4)	9.6(1)			
$1KDX_A$	81	7.1(1)	6.3(5)			
$1BMT_{A651-740}$	90	5.1(3)	3.2(3)			
1QC7 <sub>235-320</sub>	86	4.9(1)	8.8(3)			
1BXM	98	8.8(3)	8.8(1)			
1NGR	85	9.8(4)	9.9(5)			
1RZL	91	7.9(5)	7.8(3)			
Ave.	71.4	5.9(2.4)	6.0(2.7)			
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<sup>&</sup>lt;sup>a</sup>The number of residues; <sup>b</sup>The minimum rmsd value from native (and the rank) of the top five structures ranked by cluster size from rRMSD clustering (in Å); <sup>c</sup>The minimum rmsd value from native (and the rank) of the top five structures ranked by cluster size from PCA clustering (in Å).

PCAC method is a sufficient method to cluster structures as rRMSD is further displayed in **Figure 3**. The figure shows the relationship of the scaled PCA-distance and the least-square fitting rMSD value of protein  $1GAB_{10-51}$  at different eigenvalue-percentage-cutoffs. The correlation coefficient increases from 0.88 to 0.99 at 47% and 99% eigenvalue-percentage-cutoffs. As the scaled PCA-distances at 99% eigenvalue-percentage-cutoff are almost identical to the rmsd values within the cluster cutoff region, the clustering results from the two methods are expected to be almost identical as well.

#### 3.4. Choose the Reference Conformation

Results from principal component analysis can be af-

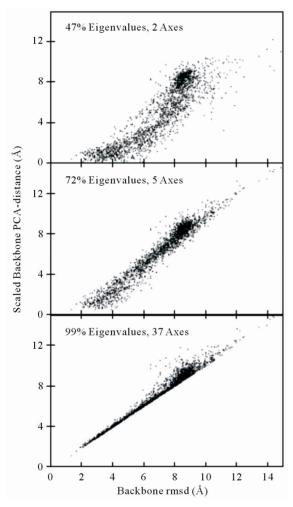


Figure 3. Backbone rmsd from the structure in largest cluster of 2000  $1GAB_{10.51}$  decoys vs the scaled backbone PCA-distance to that structure at different eigenvalue-percentage-cutoff values. The PCA reference conformation is the random-selected energy-minimized structure. The eigenvalue-overall-cutoff values are of 47% (2 most important principal axes are calculated from a total of 126 axes), 72% (5 axes) and 99% (37 axes) for the three plots, respectively.

fected by the selection of the reference structures [37]. As shown in Table 3, the near-native selection result from using native as reference is artificially enhanced. We must avoid selecting the native as a reference conformation in PCAC. However, it is shown that the average near-native selection result is not sensitive to a randomly selected structure, even if the unfolded initial structure is picked as reference [5]. As listed in **Table 3**, we tested using 3 randomly selected energy-minimized structures (on average 10 Å rMSD from native) and 2 initial unfolded structures (16 Å from native) as reference states. The resulting near-native selections are similar. Therefore, in terms of near-native structure selections, using any structure that is not close (within the cluster cutoff) to the native (and/or close to any of the top 5 clusters) can produce similar and unbiased results.

#### 3.5. An Ultra-Fast Method

As discussed above, PCAC from covariance matrix in Cartesian coordinates produces essentially the same result as the rRMSD method. Moreover, PCAC can be hundreds of times faster when thousands or more decoys are calculated. The pairwise rmsd clustering requires

N(N-1)/2 calculations of pairwise least-square fitting rmsd values. We also need  $N^2$  memory units to store the resulting rmsd values, which can easily surpass computer memory when tens of thousands of decoys are included. For example, upto 1,000,000 loop decoys were generated by Jacobson *et al.* [12]. On the other hand, PCAC only needs N least-square fitting rmsd calculations and  $N \times P$  memory units to store the preserved P eigenvectors.

The overhead of PCAC method is the PCA calculation, which includes constructing the covariance matrix (needs one round calculation of *N* least-square fittings to the reference conformation), diagonalizing the matrix, and

Table 3. Average structure selections of 41 proteins using different reference conformations.

Reference <sup>b</sup> -	90 % Cutoff <sup>a</sup>		99 % Cutoff <sup>a</sup>	
	Top 5C <sup>c</sup>	Axes <sup>d</sup>	Top 5C <sup>c</sup>	Axes <sup>d</sup>
final(1) <sup>e</sup>	6.0	16.4	5.9	50.9
final(2)	5.8	16.5	5.4	50.7
final(3)	5.9	16.5	6.1	50.6
initial(1)	5.9	16.6	5.5	50.9
initial(2)	5.8	16.6	5.8	51.1
native	5.3	16.4	5.1	50.6

<sup>&</sup>lt;sup>a</sup>Eigenvalue-percentage-cutoff; <sup>b</sup>Reference conformation: three random-selected energy-minimized (final) structures, two random-selected initial (initial) structures, and native; <sup>c</sup>The minimum rmsd value from native of the top five structures ranked by cluster size from PCA clustering (in Å); <sup>d</sup>The number of principal axes preserved; <sup>c</sup>This random-selected energy-minimized structure is the one used in other tables.

projecting the structures on the preserved eigenvectors.

In the test of clustering on 9000 1GAB<sub>10-51</sub> decoys, 324 Mb memory storage is needed for the pairwise rMSD values (each rMSD value occupies 4 bytes storage as a real number). If the required memory storage can not be satisfied, each pair of rMSD values must be recalculated when needed. The computing time for traditional pRMSD method to cluster the 9000 decoy set is 53,500 seconds (including a total of 10 rounds of clustering to search the cluster cutoff T35 value). For PCAC, less than 1 Mb memory storage is required for the clustering of 9000 decoys at 90% eigenvalue-percentage-cutoff. It takes a total of 274 seconds, which includes 102 seconds for PCA calculation and 172 seconds for clustering. PCAC method is almost 200 times faster than pRMSD method when the computer memory can not hold  $N^2$  real numbers.

The more decoys analyzed, the faster PCAC method becomes, since the fraction of the overhead PCA calculation drops accordingly. Because the eigenvalue distribution is almost independent to the number of decoys (shown in **Figure 2**), another way to speed up PCA calculation is analyzing only a small number of decoys (e.g., 2000) to calculate the PCA matrix and implementing the obtained eigenvectors to a large number of decoys. Consequently, the overhead of PCA calculation can be further reduced.

#### 4. Conclusion

This work demonstrates that PCAC is an extremely fast and low-memory-requiring algorithm to cluster large-scale predicted protein structures. PCAC method can be over 100 times faster than pairwise-rMSD clustering method. The computer memory requirement also drops from  $O(N^2)$  to O(N), where N is the size of the dataset. PCAC algorithm may be implemented to cluster other large-scale bioinformatics dataset as well when the dataset can be effectively described in PCA space.

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