

Comparison of Radial Basis Function Neural Network and Response Surface Methodology for Predicting Performance of Biofilter Treating Toluene

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ABSTRACT

Biofiltration is emerging as a promising cost effective technique for the Volatile Organic Compounds (VOCs) removal from industrial waste gases. In the present investigation a comparative modeling study has been carried out using Radial Basis Function Neural Network (RBFN) and Response Surface Methodology (RSM) to predict and optimize the performance of a biofilter system treating toluene (a model VOC). Experimental biofilter system performance data collected over a time period by daily measurement of inlet VOC concentration, retention time, pH, temperature and packing moisture content was used to develop the mathematical model. These independent variables acted as the inputs to the mathematical model developed using RSM and RBFN, while the VOC removal efficiency was the biofilter system performance parameter to be predicted. The data set was divided into two parts: 60% of data was used for training phase and remaining 40% of data was used for the testing phase. The average % error for RSM and RBFN were 7.76% and 3.03%, and R^2 value obtained were 0.8826 and 0.9755 respectively. The results indicated the superiority of RBFN in the prediction capability due to its ability to approximate higher degree of non-linearity between the input and output variables. The optimization of biofilter parameters was also done using RSM to optimize the biofilter performance. RSM being structured in nature enabled the study of interaction effect between the independent variables on biofilter performance.

Keywords: VOC; Toluene; Biofilter; Response Surface Methodology; Radial Basis Function Neural Network

1. Introduction

Biofiltration is a promising cost effective technique for the treatment of waste gases containing VOCs, especially at low concentration and high flow rate [1,2]. The uncomplicated flexible design, low operational and maintenance costs along with high removal efficiency gives biofilter an edge over other physico-chemical techniques for the VOC removal. The biofilter system is operated over a time period and VOC removal efficiency is monitored as a function of operational parameters. After the system becomes stable, one of the most important stages in a biological process is modeling and optimization to increase the efficiency of the process.

There are two ways in which a biofilter model can be developed. It can be derived in deductive manner using laws of nature called mechanistic modeling or it can be

built using set of data obtained during practical experimentation with the system called statistical modeling. Traditionally biofilter performance has been modeled using process based models that are based on reaction kinetics, mass balance principles and plug flow in air stream [2-4]. However, this depends on numerous model parameters and obligates information on specific growth rate of micro-organisms, biofilm thickness, half saturation constant, diffusion coefficient, partition coefficient, yield etc. The accurate estimation of some of these parameters requires elaborate technical facilities and expertise, the absence of which hinders the model's precision and limits its application and reliability. Moreover in the experimental process optimization, one parameter is varied at a time and keeping the other constant. This technique is not only time-consuming but also does not depict the complete effects of the parameters in the process and ignores the combined interactions between the phys-

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icochemical parameters.

In present investigation, we have applied data driven approach in order to predict and optimize the removal efficiency of biofilter treating VOC using radial basis function neural network (RBFN) and response surface methodology (RSM). Response Surface Methodology is a collection of mathematical and statistical techniques that are useful for modeling and analysis of problems in which a response of interest is influenced by several variables and the objective is to optimize this response [5, 6]. Being structured in nature RSM is effective in studying the effect of the independent variables, alone or in combination, in the processes as well as sensitivity analysis of the system. Although RSM has many advantages it is hard to say that it is applicable to all optimization and modeling studies as it is applicable only to quadratic approximation and cannot approximate high degree of nonlinearity [7]. The last decade has seen a lot of biologically inspired computing techniques being developed [8-10]. Artificial Neural Networks (ANN) is an information processing system that is loosely modeled on the organization of the human brain, and which possesses highly interconnected processing elements. ANN is now the most widely used computing tool used in biotechnology with applications ranging from Recognizing Genes, Pattern Recognition to Biological Systems Analysis etc [11,12]. ANN is generic in structure and possesses the ability to learn from the past data. Compared to RSM it does not require to specify suitable fitting function as well as it has got universal approximation capability, *i.e.* it can approximate almost all kinds of non-linear functions including quadratic functions, which is not the case with RSM.

Toluene is a model VOC and listed as a priority pollutant by the US Environmental Protection Agency [13, 14]. In the present investigation, toluene was treated in biofilter system and experimental data was collected from the system during actual experiment with biofilter system. The input system parameters namely; toluene inlet concentration, retention time, temperature, moisture content of the biofilter bed, pH and output parameter-toluene removal efficiency were monitored on daily basis. The prediction of toluene removal in biofilter using RBFN and RSM based models has been attempted and discussed in detail in this paper.

2. Materials and Methods

2.1. Biofilter Bench Scale Unit

The biofilter was fabricated with a cylindrical acrylic pipe of 94 cm in total height and 19.4 cm in internal diameter. The unit had three sampling ports, one each at the top, middle and bottom for taking the samples of bed

medium for analysis. Gas sampling ports were also located at the bottom and top of the column. The total packed height was 42.5 cm corresponding to a filter media volume of 12.6 L. The biofilter was fed with toluene vapors generated by vaporization of liquid toluene with a small air stream in an impinger maintained at a constant temperature of $35^{\circ}\text{C} \pm 2^{\circ}\text{C}$.

2.2. Biofilter Media

Cow-dung compost having a maturity of five months and C/N ratio of 0.37 was obtained from a dairy plant in Nagpur, India. Woodchips (1 - 1.5 cm \times 1 - 1.5 cm \times 0.1 - 0.2 mm) collected from a local furniture shop were used as bulking agent with compost in equal volumetric proportion. The bottom portion 6 mm of the biofilter were packed with woodchips to minimize clogging of the inlet port and ensure proper air distribution. Above this, a mixture of compost and woodchips (1:1 v/v) was packed as the medium for biofiltration. The bed included a 2 cm layer of woodchips in the middle to allow for sampling at half-height. Initially, 100 ml mineral medium was added to the packing material, and the damp packing was placed into the biofilter. The mineral medium contained (in $\text{g}\cdot\text{L}^{-1}$ in distilled water) K_2HPO_4 0.615, KH_2PO_4 0.385, $\text{MgSO}_4\cdot 6\text{H}_2\text{O}$ 0.25, NH_4NO_3 1, NaCl 1, CaCl_2 0.026, the pH of the medium was adjusted to 7.0 ± 0.1 using dilute HCl (0.1 N). Subsequently, mineral medium (100 - 300 mL) was added weekly from the top of the biofilter ensured moisture content of packing medium in the range of 60% - 70% (wet basis) and provided nutrient to the process. As in most biofilters, nutrient could have been partially limiting pollutant removal, although this was not investigated. Ample nutrient supply has been linked to excess biomass growth and process instabilities. The initial porosity of packing material (determined by water logging of a known packing volume) was 60% [2].

2.3. Biofilter Operation and Analysis

The biofilter was started with an initial toluene loading of $10 \text{ g}_{\text{toluene}}/\text{m}^3_{\text{bed}}/\text{h}$ for acclimatization. After acclimatization period, the biofilter was operated continuously at various retention times in different phases of operation. The samples of waste gas from the inlet and outlet of the biofilter were collected by scrubbing in 20 ml methanol for 10 min. Inlet and Outlet gas concentration was measured using Gas Chromatograph (Perkin Elmer Clarus-5000, USA) equipped with FID detector and 30 meter Equity-5 (Sigma-Aldrich) capillary column. A control dynamics model pH meter was used for monitoring the pH of different liquid samples. The biofilter packing bed sample was periodically withdrawn for analysis and was compensated by addition of additional packing media.

The basic process parameters *i.e.* moisture content, pH, retention time, temperature and inlet concentration were kept in the range during biofilter operation as reported in **Table 1**.

3. Modeling Methodology

Response Surface methodology and Radial Basis Function based predictive models were developed having inputs as retention time, toluene inlet concentration, temperature, pH and moisture content measured on daily basis. The output of the model was toluene removal efficiency in biofilter system. The data generated from the biofilter system was collected over a period of time and was first feed to RSM model and a data set was generated yielding the maximum R^2 value through RSM model and which was further used for training the RBFN network.

3.1. Response Surface Modeling

Response surface methodology is collection of statistical and mathematical techniques used for process optimization and drawing the empirical relationship between independent variables and the response of the system using the quantitative data collected from experiment [5,6]. In biological system like biofilter it is not possible to maintain the levels of independent variables at some pre-defined levels before startup and hence data collected from biofilter over a period of time was used to build model equation for predicting the effect of inlet concentration, retention time, temperature, moisture content and pH on biofilter toluene removal efficiency. Modeling was started with quadratic model including linear, squared and interaction terms. The relationship of independent variables and response was calculated using following second-order polynomial equation

$$y = \beta_0 + \sum_{i=1}^{i=n} \beta_i x_i + \sum_{i=1}^{i=n} \beta_{ii} x_i^2 + \sum_{i < j} \beta_{ij} x_i x_j \quad (1)$$

where y is the predicted response; β_0 a constant; β_i the linear coefficient; β_{ii} the squared coefficient; and β_{ij} the product-coefficient, n is the number of factors [12]. The

Table 1. Range of parameters during biofilter operation.

Parameters	Unit	Range
Inlet concentration	(g/m ³)	0.22 - 4.59
Temperature	(°C)	16.5 - 33.5
pH	-	6.475 - 9.69
Moisture content	%	24.79 - 89.12
Retention time	min	1.04 - 2.51

significant terms were found by analysis of variance (ANOVA) for each response. The P values were used as tool to check the significance of each of the coefficients, which in turn are necessary to understand the pattern of mutual interactions between the test variables. The smaller the magnitude of P, more significant is the corresponding coefficient. The model adequacies were checked by R^2 and predictive error sum of squares (PRESS). A model with large R^2 and low PRESS values is considered to be a good model [6,15,16].

3.2. Artificial Neural Network Modeling

An Artificial Neural Network is an adaptive, most often nonlinear system that learns to perform a function from data. Neural networks as they are commonly referred are composed of simple elements operating in parallel. These elements are inspired by biological nervous systems. As in nature, the network function is determined largely by the connections between elements. We can train a neural network to perform a particular function by adjusting the values of the connections (weights) between elements. Commonly neural networks are adjusted, or trained, so that a particular input leads to a specific target output. After the training phase, the Artificial Neural Network parameters are fixed and the system are deployed to solve the problem at hand *i.e.* the testing phase [8,16].

The nonlinear nature of the neural network processing elements provides the system with lots of flexibility to achieve practically any desired response. The number of input neurons represents the independent variables of the system and the output neurons represent the response of the system.

To model the performance of biofilter, neural based simulations were carried using Radial Basis Function (RBF) Neural Network embedded into a two-layer feed-forward neural network (**Figure 1**) [8]. The network is characterized by sets of inputs and outputs and in between them there is a layer of processing units called

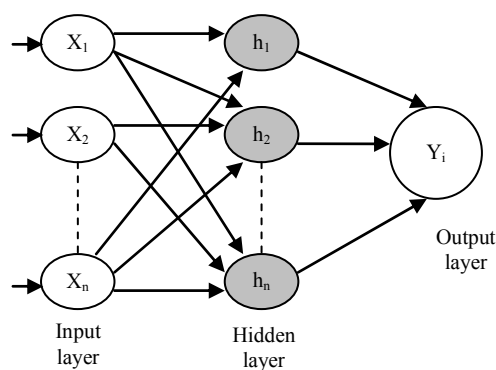


Figure 1. Architecture of radial basis function neural network.

hidden units or nodes. The nodes within each layer are fully connected to the previous layer. The input variables of the system are connected to the input nodes, and are passed to the hidden layer without applying weights. The mechanism of RBF is similar to Gaussian density function which is defined by a “center” position and a “width” parameter. The Gaussian function gives highest response when the distance between incoming variable and centre position is least. It decreases monotonically as the distance increases. The width of RBF unit controls the rate of decrease. In order to determine the parameters of RBF unit three steps are carried out. Firstly, some sort of clustering algorithm is used to determine the unit centers. In the next step widths are determined by nearest-neighbor method and finally multiple regression technique is used to calculate the weights connecting the RBF unit and the output units [8].

3.3. Software Used

Response surface modeling was carried using statistical software MINITAB 15; while MATLAB version 7.0 was used for RBF Neural Network based predictive modeling [8,17].

4. Results and Discussion

4.1. Experimental Biofilter Performance Data

The performance of biofilter for toluene removal was experimentally monitored over a period of time with varying process parameters. The biofilter performance results as a function of varying flow rate, temperature, pH, moisture content, inlet toluene concentration and removal efficiency is illustrated in **Figure 2**. The Pres-

sure drop values were significantly low and did not cause any significant operational problems.

4.2. Radial Basis Function Neural Network Based Biofilter Performance Prediction

Radial basis function neural network (RBFN) is feed forward-type artificial neural network with universal approximation properties [8]. The network consists of three input layers, kernel layer and an output layer. The input layer is simply a fan-out layer and does not do processing. The kernel or hidden layer performs a non-linear mapping from the input space into a usually higher dimensional space in which the patterns become linearly separable. The RBFN are local approximators as compared to other neural techniques which are global approximators. The output of *i*th kernel neuron for *x* input vector of dimension *n* is given by:

$$h_i = \exp\left(-\sum_{j=1}^n \frac{[x_j - \hat{x}_{ij}]^2}{\sigma_i^2}\right) \quad i = 1, 2, \dots, L \quad (2)$$

where \hat{x}_{ij} is the center of the *i*th kernel neuron for the *j*th input variable, *L* is the number of kernel neurons and σ_i is the width of the *i*th kernel neuron. The output value of *i*th output neuron is equal to the summation of the weighted outputs of the kernel neurons and bias term of the output neurons, and is described mathematically as

$$\hat{y}_i = \sum_{m=1}^H w_{im} h_m + w_{io} \quad i = 1, 2, \dots, O \quad (3)$$

where w_{io} is the bias value of the *i*th output neuron and *O* is the number of output neurons.

The inputs to the radial basis function network are the five independent parameters (variables) of the biofilter

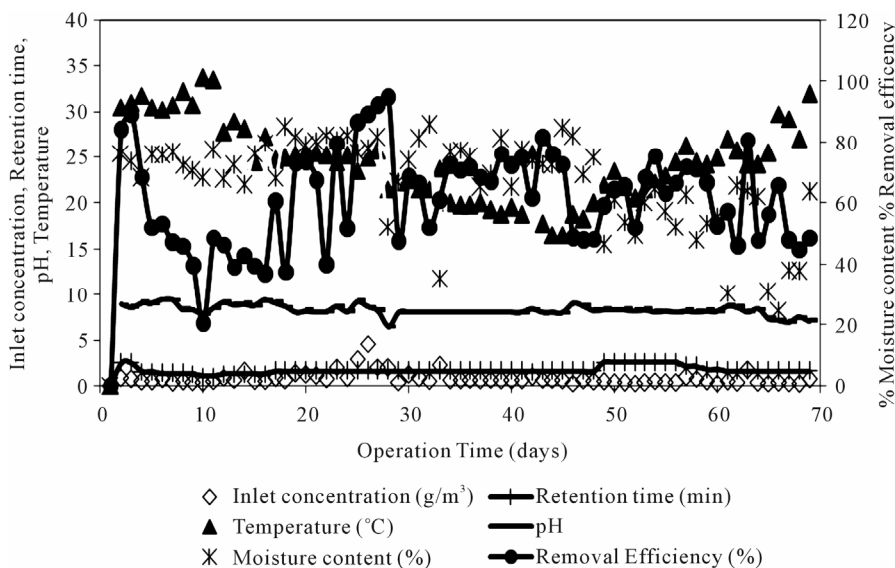


Figure 2. Time course profile of biofilter parameters and performance.

system namely inlet toluene concentration, retention time, pH, moisture content and temperature and the output of the network is the toluene removal efficiency of the biofilter.

A total 67 experimental data set were used, out of which 40 datasets *i.e.* 60% were used in the training phase and remaining 27 datasets *i.e.* 40% were used for testing phase (Table 2). All the samples were normalized in the range of 0 - 1. It was done using following equation [8].

$$y_i = \frac{y_k - y_{\min}}{y_{\max} - y_{\min}} \quad (4)$$

where y_k , y_{\min} , y_{\max} are k th dataset, minimum of data set value and maximum of data set value respectively. The R^2 value obtained was 0.9755; the network parameters used to train RBF neural network are as represented in Table 3.

4.3. Response Surface Methodology Based Biofilter Performance Prediction

Application of RSM implies the following empirical relationship (Equation (2)) between the toluene removal efficiency of the biofilter and independent studied variables:

$$\begin{aligned} R.E = & 65.015 + 51.855A + 146.631B - 26.511C \\ & + 46.2D - 0.605E - 4.995A^2 - 14.035B^2 \\ & + 0.277C^2 - 4.855D^2 - 0.005E^2 + 16.669AB \\ & - 1.187AC - 7.059AD + 0.63AE + 0.598BC \\ & - 14.284BD + 0.401BE + 1.912CD \\ & - 0.058CE + 0.179DE \end{aligned} \quad (5)$$

where A , B , C , D and E are inlet concentration of toluene,

retention time, temperature, pH and moisture content respectively of biofilter system. The fit of the model was also expressed by coefficient of determination $R^2 = 0.8826$, this implies that 88% of variations for the process efficiency are explained by independent variables and also means that model did not explain only about 12% of variations. This indicates that the model adequately represent the real relationships among the selected reaction factors [5]. Contour plots of responses were plotted in order to the study the optimal conditions. The coefficient estimates and the corresponding P values suggested that, among the parameters used in analysis C (temperature), second order interaction between A^2 (inlet concentration) and C^2 (temperature) were found to have significant effect. Similarly mutual interaction between $A \times D$ (inlet concentration and pH), $A \times E$ (inlet concentration and moisture) and $C \times D$ (temperature and pH) were also found to have significant effect on removal efficiency of biofilter. Other interactions were found to be insignificant. Contour plots of the RSM were drawn as a function of two factors at a time, holding all other factors at fixed levels. Those plots are helpful in understanding both the main and the interaction effects of these factors (Figure 3).

4.4. Comparison between RSM and RBFN Model for Biofilter Performance Prediction

RSM and RBFN predictive model were compared on the basis of their prediction accuracy of biofilter performance and insights of direct and interaction effects of the process parameters on the response of the system (toluene removal efficiency in biofilter). The predicted value of removal efficiency was compared with predicted value and the absolute percentage error was computed as:

Table 2. Input and output parameters for training and testing of RBFN.

Parameter	Unit	Testing data $N_T = 40$			Testing data $N_{Te} = 27$		
		Min	Max	Mean	Min	Max	Mean
Inlet							
Inlet toluene concentration to biofilter	g/m ³	0.31	4.59	0.99	0.22	1.71	0.48
Retention time	min	1.04	2.48	1.52	1.57	2.51	1.90
Temperature	°C	18.75	33.75	25.39	16.5	32	23.388
pH		6.475	9.44	8.939	6.93	9.01	8.07
Moisture content	%	35.49	85.71	73.74	24.79	84.54	56.88
Output							
Toluene removal efficiency in biofilter	%	20.63	94.85	61.76	44.84	81.64	61.03

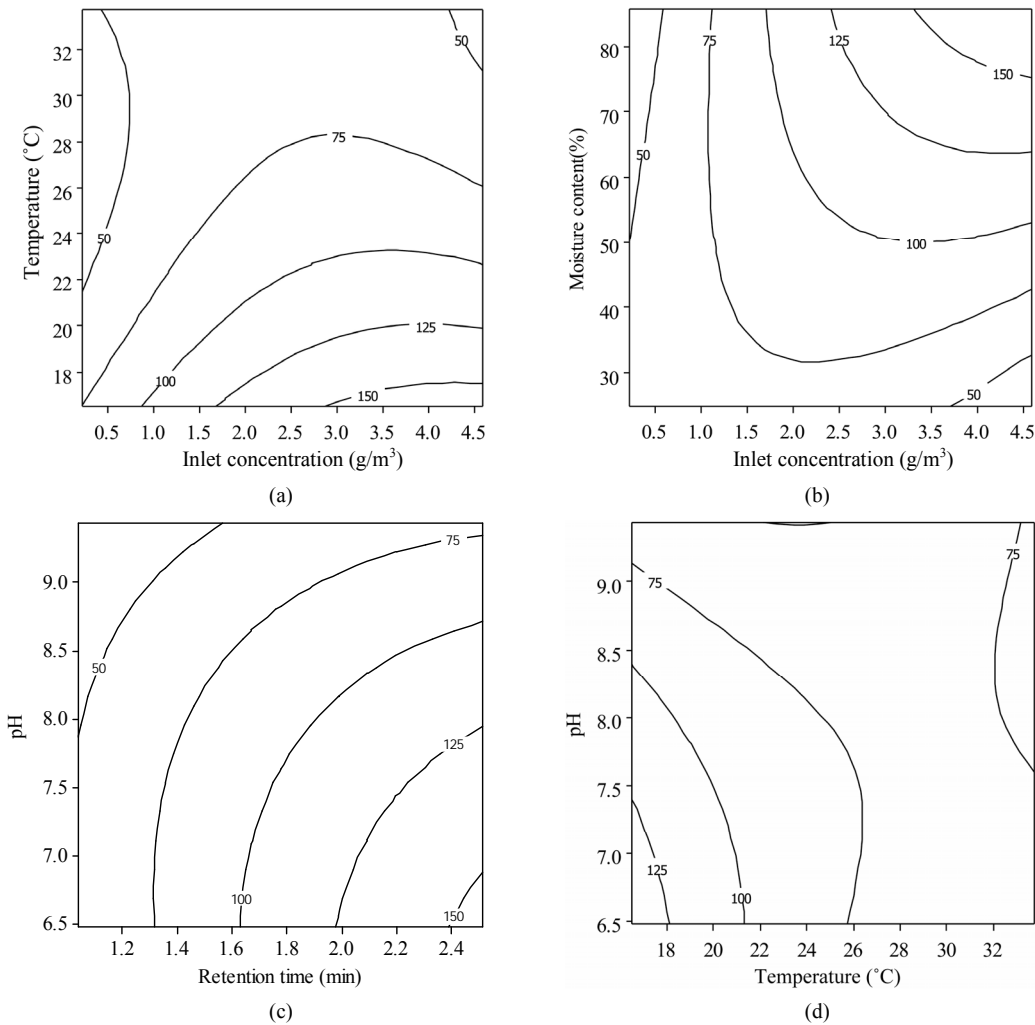


Figure 3. (a) Contour plot of biofilter efficiency as a function of inlet concentration and temperature; (b) Contour plot of biofilter efficiency as a function of inlet concentration and moisture content (%); (c) Contour plot of biofilter efficiency as a function of retention time and pH; (d) Contour plot of biofilter efficiency as a function of temperature and pH.

Table 3. RBFN network parameters.

Parameters	Value
Number of input nodes	5
Number of hidden nodes	20
Spread of Gaussian	10
R^2	0.9755

$$\% \text{Absolute error} = \frac{R.E_{\text{expt}} - R.E_{\text{pred}}}{R.E_{\text{pred}}} \times 100 \quad (6)$$

where $R.E_{\text{expt}}$ is the experimental value and $R.E_{\text{pred}}$ is the predicted value of the response. The absolute error in case of RSM with respect to experimental removal efficiency was found to be in the range of 0.004% to 19.4% with average percentage error of 7.76%. Similarly for

RBFN, it was found to be in the range of 0.06% to 16.05% with average percentage error of 3.03%. Comparison between experimental and predicted values of biofilter performance using RBFN and RSM is as shown in **Figure 4** and **Table 4**.

4.5. Effect of Optimum Operating Conditions on Biofilter Performance

Optimization was done in order to maximize removal efficiency greater than 85% of toluene removal efficiency from biofilter. Biofilter being a semi-controlled system, three optimization conditions were generated which gave the flexibility to optimize parameters such as pH and inlet loading. The desirability function was found to be 0.98 for all the combinations which further supports that the optimized values were ideal. 3D surface graphs were studied which indicated that the highest percentage

Table 4. Comparison between experimental and predicted values of biofilter performance using RBFN and RSM.

Sr. No	Input Parameters					Biofilter Performance, Removal Efficiency (%)		
	Inlet VOC concentration (g/m ³)	Retention time (min)	Temperature (°C)	pH	Moisture content (%)	Experimental	RSM predicted	RBF predicted
1	0.63	1.57	17.75	8	72.91	81.64	76.97	81.28
2	0.63	1.57	16.5	8	72.19	76.04	83.77	76.69
3	0.58	1.57	16.5	8	84.54	72.65	82.73	71.35
4	0.24	1.57	18.75	9.01	81.82	48.88	43.52	47.82
5	0.54	1.57	18.25	8.70	69.33	48.10	60.90	49.91
6	0.46	1.57	20	8.23	75.09	48.22	57.55	54.78
7	0.46	2.51	22	8.34	46.40	59.17	62.32	63.95
8	0.35	2.51	23.5	8.26	60.84	64.38	63.69	62.41
9	0.31	2.51	21.75	8.34	53.75	65.54	59.79	73.42
10	0.24	2.51	20.5	8.21	49.36	52.02	59.29	53.75
11	0.34	2.51	21.5	8.33	60.25	68.48	63.07	68.33
12	0.54	2.51	22.25	8.05	64.21	75.34	77.23	76.36
13	0.31	2.51	23	8.16	57.12	63.15	63.28	69.19
14	0.34	2.51	24.5	8.02	52.19	66.52	65.89	70.68
15	0.94	2.09	26.25	8.21	62.42	71.86	79.03	69.67
16	0.79	2.09	24.5	8.08	48.12	71.20	73.47	70.52
17	0.44	1.79	24.25	8.20	53.39	66.71	58.29	68.75
18	0.24	1.79	25	8.15	55.00	52.53	53.16	52.97
19	0.46	1.57	27	8.72	30.52	57.28	57.02	55.28
20	0.32	1.57	25.75	8.55	65.43	46.09	46.28	46.64
21	1.71	1.57	24.25	8.08	64.07	80.73	77.83	81.06
22	0.29	1.57	24.25	8.42	62.14	47.84	46.37	47.77
23	0.27	1.57	25.5	7.33	30.64	56.19	54.11	55.60
24	0.35	1.57	29.75	7.09	24.79	65.71	61.31	68.73
25	0.28	1.57	29.25	6.93	38.18	48.14	49.65	47.92
26	0.22	1.57	27	7.51	37.48	44.84	53.60	44.54
27	0.70	1.57	32	7.04	63.57	48.65	45.88	47.71

of removal efficiency is obtained, when the temperature is at lower side *i.e.* in between 21°C to 25°C. Whereas, moisture content of the biofilter bed in the range of 54% - 71%, accompanied by pH in the range of 6.5 - 8.00, yielded higher removal efficiency. Further, emphasis was given to lower retention time and based on these assumptions an optimum conditions were predicted (Table 5). The biofilter was operated over a period of time under the predicted optimized conditions. The experimental

results obtained showed good agreement between predicted and experimentally obtained biofilter performance (Table 5).

5. Conclusion

In this study, a mathematical model has been developed by using RSM and RBFN based artificial neural network as a performance prediction tool for biofilter system using toluene as a case study. Further, optimization of the

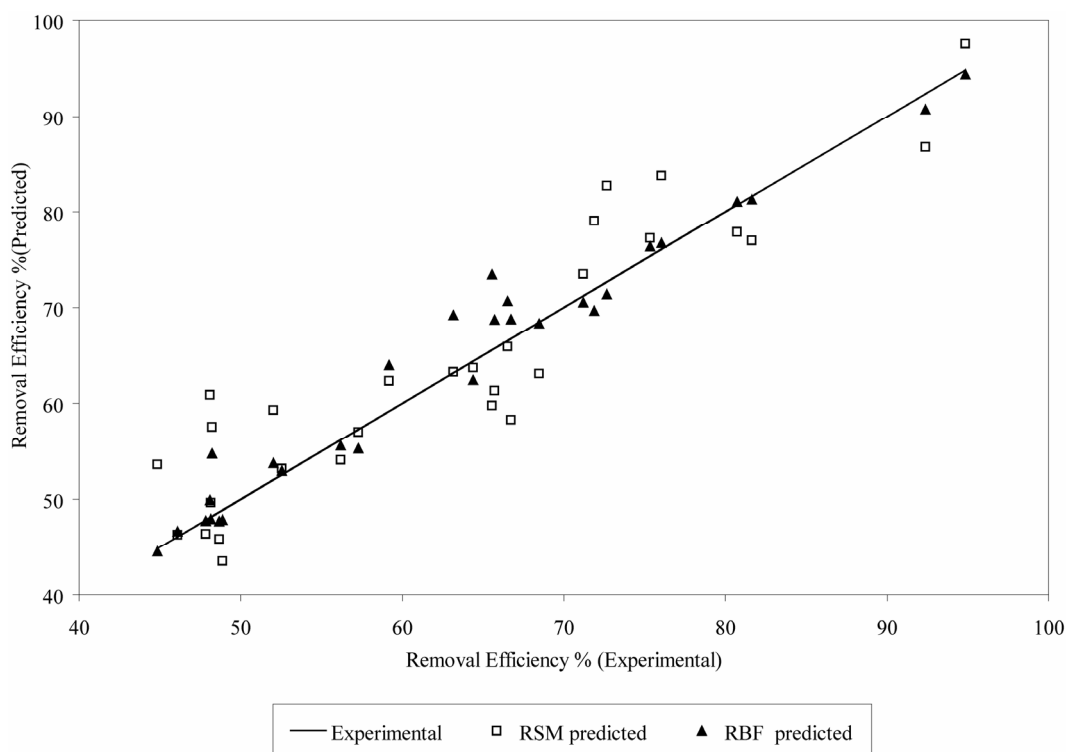


Figure 4. Experimental and predicted biofilter performance for toluene removal by RSM and RBFN.

Table 5. RSM predicted optimum biofilter parameters for maximizing performance.

Parameters	Units	Optimum values predicted*	Removal efficiency*
Inlet concentration	g/m^3	1.9 ± 0.5	
pH	-	6.5 ± 0.4	
Moisture content	%	57.88 ± 7	R.E $\geq 85\%$ (P) = 83 - 87 (E)
Temperature	$^{\circ}\text{C}$	22.37 ± 6	
Retention time	Min	1.5 ± 0.3	

system was done with an aim to optimize the performance of the biofilter. RSM and RBF methodologies were compared for their predictive capabilities. Average % error in case of RSM was found to be 7.76%, whereas for RBF, it was found to be 3.03%. Similarly R^2 for RBF was 0.9755, and for RSM it was found to be 0.8826, indicating the superiority of RBF in the prediction capability due to its ability to approximate higher degree of non-linearity between input and output variables. However, RSM being structured in nature was useful to study the interaction effect between various independent variables. Optimization could be also done using RSM and the predicted data obtained was found to be in good agreement with the experimental observations. The predicted optimum conditions were useful to carry out further studies related to biofilter as they gave the range in which the biofilter should be operated (maintained) to get

maximum removal. The proposed statistical and neural network models based on RSM and RBFN can be better alternatives to the conventional process based models for biofilter performance prediction for VOC removal.

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