Scientific
Research

A Workflow-Based Failure Recovery in Web Services Composition

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ABSTRACT

In previous researches in the field of supporting reliability and fault tolerance in web service composition, only low level programming constructs such as exception handling (for example in WSBPEL) were considered. However we believe that the reliability and fault tolerance for composite services must be handled at a higher level of abstraction, *i.e.* at the workflow level. Therefore a language and technology independent method for fault-tolerant composition of web services is needed. To do this, a fault tolerant workflow is built in which the execution order of the services is determined such that upon a service failure a recovery process with the lowest cost is started. The cost of a service failure includes the cost of failed service and the total costs of roll-baking the previously executed services which are dependent on the failed service. In this article a FSP language is applied to formally specify the workflow.

Keywords: Fault Tolerance; Service Oriented Architecture; Service Composition; Finite State Process

1. Introduction

Nowadays SOA architecture is used as a platform for accessing to data and services in distributed form. Service Composition in this architecture is a way to obtain more complicated services by combining the functionality of individual services [1,2]. The main problem here is the fault tolerance and recovery of failures while executing a composite service [3,4]. In the situation of using a composite service, different faults may occur that mainly causes a service to fail [5]. However a fault-tolerant service composition is the one that ends up the whole transaction in a safe state upon a service failure where the related services are also rolled-back appropriately. Consider a service composition in arranging an itinerary. If the "Flight Reservation" service is failed all other committed services such as "Hotel Reservation" should be roll-backed. Therefore in a fault-tolerant service composition each set of related services may form a transaction for which the atomicity property is a must and by failing one of them, others have to be rolled-back. However for some services the roll-back operation may not be available or only available partially. Therefore failing a service may cause the whole composition ended up in an inconsistent execution due to the violation of atomicity property. To model this limitation, each service within the composition is associated with a "roll-back cost" which its value is an indicator of the amount of impact on

the whole composition resulted from requesting the "roll-back" operation for that service. If a service supports the roll-back operation, its associated roll-back cost is zero otherwise some value is considered for this cost. For example if the "Flight Reservation" is not a roll-back supporting service, its rollback cost will be equal to the whole ticket price. In addition to the roll-back costs, for each service within the composition it should be determined on which services this service is dependent. The dependency here is the roll-back *dependency* and is defined as follows: Service S_j is *dependent* on service S_i when failure of S_i must start roll-backing of S_j (if already executed).

The main question of our research is how to automatically build a workflow, considering the *roll-back dependencies* among services, that sequences the execution of the services in a way that upon a service failure, the mean roll-back cost of the service composition becomes minimal. In this context, we call such a workflow a "fault-tolerant" workflow. In brief, we are trying to provide some degree of *atomicity property* in the execution of services within a service composition. Our solution to this problem should answer the following questions: 1) To what extent the workflow generation can be automated, 2) To what extent the solution can be language independent and 3) Is the solution founded on a rigorous theory (and hence it is easily verifiable)? In the previous works in this field

these important questions are not addressed. There are many previous works that apply fault tolerance techniques at different levels of abstractions to create a fault-tolerant web service composition [6-8]. For example in some languages such as WS-BPEL [1] low level programming constructs like exception handling is provided to support fault tolerance for service composition. However, we believe that the support for reliability and fault tolerance should be considered at the higher level of abstraction: the workflow level. In addition to this shortcoming, the previous studies poorly addressed the robust recovery management once a failure happens.

In this paper these shortcomings are addressed by proposing a method to automatically build a workflow considering the services transactional properties that not only is specified at the high degree of abstraction (and hence language independent) but also supports robust failure recovery.

2. Related Work

In the field of web services reliability and fault tolerance, the previous researches are divided into four main groups [6]:

1) Improvement of the web service reliability in the architectural definition level;

2) Assessment of the system fault tolerance with error injection;

3) Analysis of properties of the second generation web services;

4) Definition of the reliability assessing models of the web service-based systems.

Articles related to the first category generally have used the old reliability techniques for web services which increase the fault tolerance by using redundant services in the architecture; moreover none of them presented a formal method. In the second category error injections for the fault tolerance support have been used [9,10]. Studies in the third category basically include reliability evaluation of the second generation web services, *i.e.* WS-Reliable Messaging [11], WS Security and WS-Atomic Transaction [11]. In the fourth category, web service based systems generally are created by composing the simpler services according to a work-flow. The reliability of the workflow is evaluated considering the individual services reliability in the workflow. In some researches, the "Markov chain" has been used to model the system behaviour. The probability that the Markov chain comes to a final state from a start state with some limited state depends on the other movements, which means it depends on the reliability of the other states [12]. In [13] to automatically compute the overall QoS of a workflow, a mathematical model and an algorithm (SWR algorithm) are proposed. To support the composition of Web services,

they also have presented an ontology-based solution in which a discovery mechanism is applied to find Web services with desired interfaces and operational metrics, and to assist designers in resolving heterogeneity issues among Web services. In [14] to achieve a higher reliability in a composite web service system, it is proposed to decrease the failure rate and increase the repair rate. In this paper a method for calculating the MTTF (Mean Time to Failure) of composite web based on the workflow composition pattern is presented. In [14] a formal verifycation approach of the workflow-based composite web services is presented. And it has been translated to the BPEL4WS primitives. In [8] they have used EXTRA (Exception handling + TRAnsaction), a hybrid fault-tolerant mechanism which combines exception handling and transaction techniques to improve the reliability of composite services. The first one (exception handling) tries to repair fault and let composite services to continue. The second one (transaction) ensures composite services to terminate in a consistent state when faults are not repairable. They have also presented FACTS framework, which present an integrated environment for specification, verification, and execution of fault tolerant composite services. However, in their work the termination cost of non-cancellable service in a service composition is not taken into account.

3. The Methodology

Our proposed methodology for creating a fault-tolerant workflow for web service composition is illustrated in **Figure 1**. The first step is to create a *Rollback graph* considering the service dependencies. Service S_j is *dependent* on service S_i when failure of S_i must start rollbacking of S_j (if already executed). The set of all dependent services on S_i is depicted by Rollback [i]. In the *Rollback graph* each vertex S_i represents a service and each edge (S_i, S_j) represents the existence of a *rollback* relationship between S_i and S_j. The second step is to remove
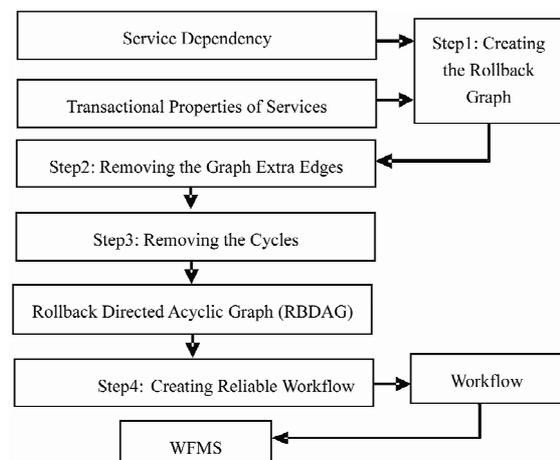

Figure 1. Transformation algorithm.

the useless edges from the *Rollback graph* to avoid defining unnecessary dependencies in the subsequent steps. If there is a path from A to C via other services in the *Rollback graph* and there is also a direct edge (A, C), this direct edge should be deleted (**Figure 2**). The third step is to remove the cycles within the rollback graph, first the cycles are located, and then for each cycle, the order of the services for which the average rollback cost is the lowest, is determined considering the failure probability and the rollback cost corresponding to each service. At the end of the third step a Rollback DAG (RBDAG) is obtained. The final step is to use the prerequisite dependencies in the RBDAG to create the fault-tolerant workflow which is specified in FSP language [15,16].

4. Cycle Elimination

Each cycle within the *Rollback graph* represents a set of services with the atomicity property, *i.e.* once one of them fails; the previously executed ones should be roll-backed. To minimize this rollback cost the order of services in the cycle with the minimum cost is selected and then the cycle is eliminated to form a DAG. For each permutation r of the services, the probability of the failure at position k is denoted by $P(r, k)$ and is computed as follows:

$$P(r,k) = \prod_{(i=1)}^{(k-1)} (1 - P_i) * P_k \qquad (1)$$

where P_i is the failure probability of service S_i. The average rollback cost for permutation r then is calculated as follows:

$$\text{Avg\_Cost}(r) = \sum_{k=1}^{n} P(r,k) * \left[\sum_{k-1}^{m=1} C_m \right] \qquad (2)$$

where C_m denotes the rollback cost corresponding to service S_m.

　Now it is possible to determine the permutation r_{opt} for which the value of Avg_Cost (r_{opt}) is minimum. After the best order is specified we can remove that cycle from Rollback graph.

5. Workflow Creation RULES

In order to translate services to an FSP model, the following rules are used:

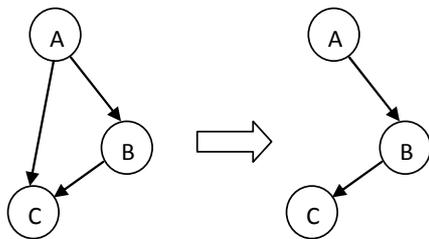**R1:** Corresponding to each service in RBDAG create a FSP process.

R2: If service w_j is prerequisite for service w_i in the RBDAG, create another process called *lock*. The lock process prevents process i from starting until j is finished.

　For each service w_k in RBDAG, If the immediate predecessor of k, denoted by *Pred* (w_k) is a member of Rollback [w_k], it must be roll-backed once k is failed:

R3: To start roll-backing of *Pred* (w_k) only after w_k enters its failed state, a *lock* process named $Rlock_k$ is created and added to the FSP model. This process prevents *Pred* (w_k) from entering to its roll-back state unless w_k enters its failed state.

　If there are more than one successor for a given service w_i, (**Figure 3(a)**) the corresponding lock process (step 2) should be created such that all the successors start theirs executions just after w_i is finished successfully. If there is more than one predecessor for w_i (**Figure 3(b)**), the lock process should be created to allow the execution of w_i only when all its predecessors are finished successfully. In some cases, the rollback of w_i is dependent on the failure of two or more services together. This concept is shown in RBDAG like **Figure 3(c)**.

　For each service (w_k) an FSP process with four actions is defined as shown in **Figure 4**.

　First the service is in state 0 and moves to state 1 by *start_k* action, and then if it fails, it will move to the final state 3 and if it succeeds, it goes to state 2. If after the successful termination of w_k, another service w_i which belongs to the same cycle as w_k, fails, then w_k should be roll-backed and goes from state 2 to state 3.

　However if w_k is not a member of any cycle in the Rollback graph or it is, but after removing the cycle it was placed at the last position in the optimal order, the rollback never happens after successful termination of w_k because according to rule R2, it starts only after all its dependent services in the cycle are terminated successfully.
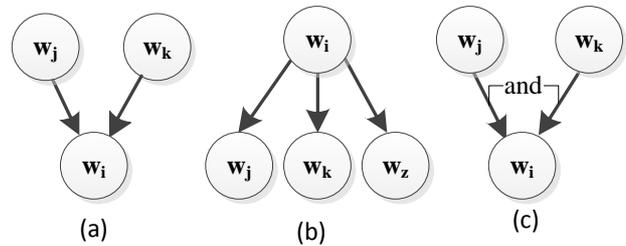

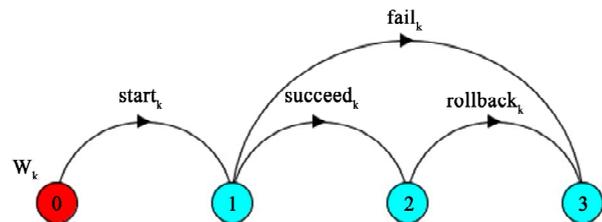Figure 3. Prerequisites states.

Figure 2. Removing extra edges.

Figure 4. Process actions.

This kind of service has actions as shown in **Figure 5**.

The lock process mentioned in rule R2 is a FSP process with two states as shown in **Figure 6**.

The $Rlock_k$ process mentioned in rule R3 is a FSP process with three states as shown in **Figure 7**. The $Rlock_k$ goes to state 1 once w_k is failed or roll-backed. At state 1, the $rollback_i$ action is ready to fire assuming that w_i is the service which is dependent on w_k (*i.e.* w_i has to be roll-backed upon w_k unsuccessful termination).

6. Translation Algorithm

Figure 8 shows the Translation Algorithm in which G is a Rollback Directed Acyclic Graph (RBDAG). This algorithm creates a process for each service. If service w_i is prerequisite for service w_j a lock process is created and added to the FSP model. For each service w_k in RBDAG, a subset of Rollback $[k]$ which is predecessors of w_k in RBDAG, must be rollback. To do this, a Rlock process is also added to the set of FSP processes.
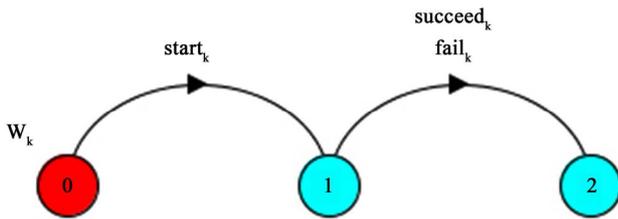
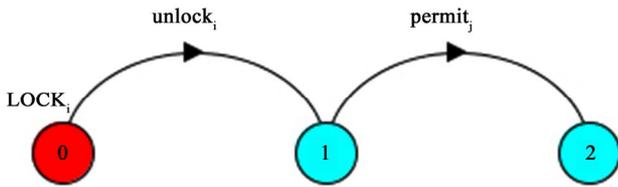**Figure 5. Process for last service in a cycle.**

Figure 6. Lock process actions.
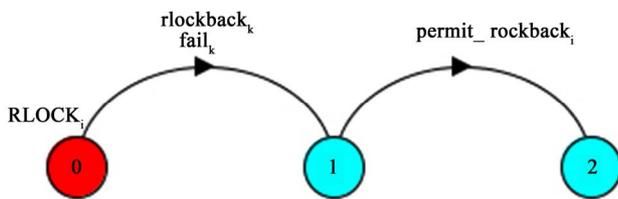
Figure 7. Rollback process.

```
Algorithm FSPmodel DAGtoFSP(RBDAG  G)
  Begin
   FSPmodel f=create  FSPmodel();
   For each  service w in G
        f.AddService(w)
        f.AddLock(w)
        f.AddRLock(w)
   end
```

Figure 8. Transformation Algorithm.

6.1. AddService() Function

The procedure AddService(), adds a service to the FSP model according to the rule R1, which described in Section 5. It gets a service like w_k and checks whether w_k is the last service in the order of services in its cycle. If so, then it creates a process without a *rollback* action:

$$w_k = (start_k \rightarrow (fail_k \rightarrow STOP | succeed_k \rightarrow STOP)).$$

when w_k is not a member of any cycle the created process is also as above.

If w_k is not the last service in the order of services in its cycle, it should be roll-backed once its successor services in RBDAG (belonging to a same cycle) fail:

$$w_k = (start_k \rightarrow (fail_k \rightarrow STOP | succeed_k \rightarrow rollback_k \rightarrow STOP)).$$

6.2. AddLock() Function

The function AddLock(), adds a Lock process to the FSP model according to the rule R2, which described in Section 5. The getAllpre (w_k) function, gets all the prerequisite services of w_k in RBDAG. If the result is only one process w_i, the following code is added to the FSP model:

$Lock_k = (unlock_i \rightarrow permit_k \rightarrow STOP)/\{succeed_i/unlock_i, start_k/permit_k\}).$

If there is more than one prerequisite service, the start of the w_k depends on the successful termination of all its prerequisite services:$(w_1 \cdots w_n)$:

$Lock_k = (unlock_1 \rightarrow \ldots \rightarrow unlock_n \rightarrow permit_k \rightarrow STOP)$

$/\{succeed_1/unlock_1, \ldots, succeed_n/unlock_n, start_k/permit_k\}.$

6.3. AddRLock() Function

The AddRLock() function, adds the $Rlock_k$ processes to the FSP model according to the rule R3, described in Section 5. This lock controls the execution of the rollback action of w_k. If w_k, is the last service in the order of services of a cycle or it is not a member of any cycle, no *Rlock* process is needed because there is no *rollback* action in the definition of w_k process. Otherwise the rollback action of w_k should be executed once the service on which w_k depends, fails or rollbacks:

$RLock_k = (r\_unlock_k \rightarrow permit\_rollback_k \rightarrow STOP)$

$/\{fail_i/r\_unlock_k, rollback_i/r\_unlock_k, rollback_k/permit\_rollback_k\}.$

There are situations where the rollback of w_k only is required when a set of services $(w_1 \cdots w_n)$ fail together (**Figure 3(c)**). At these situations, the following Rlock process is added to the FSP model:

$RLock_k = (r\_unlock_1 \rightarrow r\_unlock_2 \rightarrow \ldots \rightarrow r\_unlock_n \rightarrow permit\_rollback_k \rightarrow STOP)$

$/\{fail_1/r\_unlock1, fail_2/r\_unlock_2, \ldots, fail_n/r\_unlock_n, rollback_k/permit\_rollback_k\}.$

7. Case Study: Travel Agency

A travel agency uses the following services to arrange an itinerary:

w_1: Granting Visa Service
w_2: Flight Reservation Service
w_3: Hotel Reservation Service

In order to create a fault-tolerant workflow corresponding to the composition of these services, the methodology described in Section 3 is used. For each service the rollback set is defined as follows:

Rollback[w_1] = {w_2,w_3}
Rollback[w_2] = {w_1,w_3}
Rollback[w_3] = {w_1,w_2}

Using the above Rollback sets the Rollback graph is created (**Figure 9**):

The next step is to remove the useless edges from the Rollback graph. After removing these edges the graph shown in **Figure 10** is resulted.

In the next step, first the cycles in the above graph are located, and then the average rollback cost corresponding to each order of services in a cycle is calculated. The following failure probabilities and rollback costs for services are assumed:

$P_1 = 40\%$, $P_2 = 50\%$, $P_3 = 10\%$
$C_1 = 100$, $C_2 = 80$, $C_3 = 30$

There are three services in this example therefore 3! different orders of services are possible. Corresponding to each order a rollback cost is calculated using formula (2) as listed in **Table 1**.

According to the above table the best order for composition of w_1, w_2 and w_3 is: w_2, w_1, w_3. The resulted RBDAG is shown in **Figure 11**.

By using the prerequisite dependencies in the resulted RBDAG, the workflow specified in FSP language is created as follows:
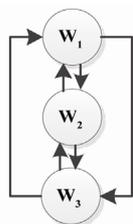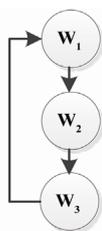

Figure 9. Prerequisites states.

Figure 10. Edges deleted graph.

Table 1. Calculated costs.

	Services execution order	Cost
1	$w_1\, w_2\, w_3$	35.4
2	$w_1\, w_3\, w_2$	76.2
3	$w_2\, w_1\, w_3$	21.4
4	$w_2\, w_3\, w_1$	53.5
5	$w_3\, w_1\, w_2$	45.9
6	$w_3\, w_2\, w_1$	33.3

Figure 11. Resulted RBDAG.

7.1. Creating Processes

w_1 = (start$_1$ → (fail$_1$ → STOP |succeed$_1$ → rollback$_1$ → STOP)).
w_3 = (start$_3$ → (fail$_3$ → STOP |succeed$_3$ → STOP)).
w_2 = (start$_2$ → (fail$_2$ → STOP |succeed$_2$ → rollback$_2$ → STOP)).

7.2. Creating Locks

Lock$_1$ = (unlock$_2$→permit1→STOP)
/{succeed$_2$/unlock$_2$, start$_1$/permit$_1$}.
Lock$_3$ = (unlock$_1$ → permit$_3$ → STOP)
/{succeed$_1$/unlock$_1$,start$_3$/permit$_3$}.

7.3. Create RLocks

RLock$_1$ = (r_unlock$_1$→permit_rollback$_1$→STOP)
/{fail$_2$/r_unlock$_1$, rollback$_2$/r_unlock$_1$, rollback$_1$/ permit_rollback$_1$}.
RLock$_3$ = (r_unlock$_3$→permit_rollback$_3$→STOP)
/{fail1/r_unlock$_3$, rollback1/r_unlock$_3$, rollback$_3$/permit_rollback$_3$}.

Figure 12 shows the final workflow in LTSA, which is achieved from composition of processes in our case study.

8. Discussion

In **Table 2**, three different methods in the field of supporting reliability and fault tolerance in web service composition are compared with our work using six factors.
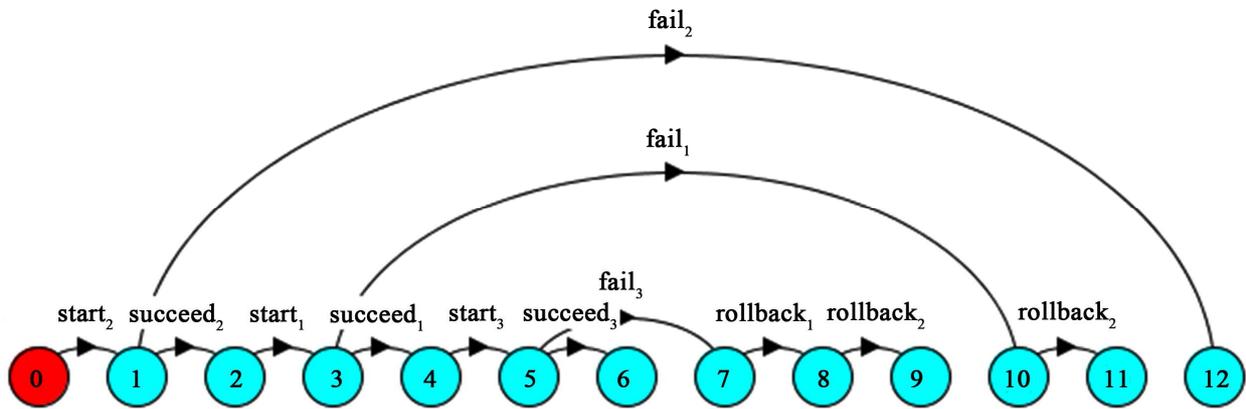
Figure 12. Services composition workflow in LTSA.

Table 2. Comparing different methods for fault-tolerant web service composition.

	Paper [6]	Paper [8]	Paper [2]	Our Work
Language (Technology) Dependency	Independent	Independent	Independent	Workflow-based: Independent
Automated	No	Automatically Generates fault-handling logic in WS-BPEL	Yes	Yes: Generates Reliable Workflow in FSP language
Recovery Support	No Uses Redundant service without any recovery support	Partial: Terminates in a consistent state	No	Yes: Terminates in a consistent state with minimum cost
Fault Tolerance Technique	Redundancy	Exception Handling	Pattern based design	Recovery Process with the Lowest Rollback Cost
Theoretical Foundation	FSP Language	No	No	FSP Language
Transactional Support	No	Yes	No	Yes

The first factor is the *language or technology dependency*. All four methods including ours are language independent. The second factor is the support for an *automated* method to generate the fault-tolerance mechanisms from the high level specifications. Our proposed method supports automatic generation of reliable workflow from rollback dependencies. The third factor is the *recovery support*, which means if a service fails how the composition continues in order to terminate in a consistent state. The first method uses redundant services without any recovery support. The second method tries to terminate the composition in a consistent state. In contrast, in our work a rollback method for failed services with minimum cost is supported. The forth factor is the *fault tolerance technique* applied by each method. The fifth factor is the existence of a *theoretical foundation* to support the fault tolerance or recovery. The first method uses the FSP language to be able to evaluate the correctness of its model. We also have used the FSP language as a formal language as a workflow specification language for assessing the correctness of the resulted workflow. The FSP language chosen in this paper, allowed us to present

an algorithm for automatically creating a fault tolerant workflow from the service dependencies, due to its rigorous theoretical foundation. The last factor is the *transactional support* which indicates whether a method considers the concept of atomic transactions in a composition or not. We have presented a method to automatically build a fault-tolerant workflow considering the service transactional properties (Each cycle in the Rollback graph is composed of a set of services with the atomicity requirement).

9. Verification Approach

The presented algorithm in the previous section for translating RBDAG to FSP verifies that it is possible to fully automate the workflow generation task (our first research question stated in the introduction part). Since FSP is a technology independent workflow specification method and also it is based fully on a rigorous theoretical background, the second and third research questions (mentioned in the introduction section) are also answered.

To prove the correctness of our translation algorithm formally, it should be verified that the generated work-

flow always terminates in a consistent state, *i.e.* that upon the failure of service S_i all its rollback-dependent services like S_j in the RBDAG are terminated in their roll-back state (state 3 in **Figure 4**). To show this, from step III of the translation algorithm, the following process is created for S_j:

RLock$_j$ = (r_unlock$_j \rightarrow$ permit_rollback$_j \rightarrow$ STOP)
/{fail$_i$/r_unlock$_j$, rollback$_i$/r_unlock$_j$,
rollback$_j$/permit_rollback$_j$}.

The synchronization part specifies that upon a failure (*fail$_i$* action) or roll-back (*rollback$_i$* action) of S_i, the *permit_rollback$_j$* action is fired which is synchronized with the *rollback$_j$* action of S_j and it means that eventually after S_j entered to state 2 (succeeded state), it cannot stay in this state due to that fact that *rollback$_j$* action is ready to fire.

10. Conclusions and Future Works

The fault tolerance in the web service composition usually is supported by the exception handling constructs at the language level. However using the workflow technology for composing web services with fault tolerance consideration provides a language independent solution. The FSP language chosen in this paper, allowed us to present an algorithm for automatically creating a fault tolerant workflow from the service dependencies, due to its rigorous theoretical foundation. The resulted workflow executes or rollbacks the services in the composition in an order such that the minimum rollback cost is incurred upon the service failures in the composition.

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