# Development of Iron Based Brake Friction Material by Hot Powder Preform Forging Technique used for Medium to Heavy Duty Applications

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# ABSTRACT

A promising friction material, Iron -based friction material, was prepared by powder metallurgy (PM) processing utilizing hot powder preform forging (near net-shape). The preparation of the product and its characterization are presented in this paper. These products are useful in heavy duty Military Aircraft applications such as AN-32. In order to eliminate costly environmental control systems to protect products during their high temperature processing (as is conventionally practiced employing hydrogen gas), the present investigation relies on carbon (mixed in the brake pad formulation) as reducing agent and high temperature oxidation resistant glassy coating (separately developed) applied over the product's surface after cold compacting. After conducting an initial characterization such as hardness, density and Pin-on Disc tests, the samples were tested in sub-scale dynamometer under Rejected Take Off conditions. It was observed that the obtained density in the present investigation is higher than the reported density obtained by sintering route, and wear is on the lower side of the range as per the Aeronautical Standards. Optical metallography was used to investigate the microstructure of friction, interface and backing layer. It was observed that the distribution of ingredients in matrix was homogeneous. The results also indicate that the coefficient of friction is more stable, and wear is lower with respect to temperature rise. .

Keywords: Forging, Powder Metallurgy, Friction material, brake pad

# **1. INTRODUCTION**

Friction materials which are made by powder metallurgical technique, have been widely used in commercial/fighter aircrafts; high speed trains; brakes; clutches and gear assemblies of different kinds of automobiles [1]. The traditional powder metallurgical friction materials mainly include iron and copper based, which are prepared by pressing and sintering of metal powders with the addition of solid lubricants (BaSO<sub>4</sub>, Sb<sub>2</sub>S<sub>3</sub>) and abrasives. [2]. In recent years, the speed and load of vehicles and engineering machines have increased greatly, which brings forward higher requirements for friction materials [3]. So it is important to develop alternative approach with good combination of properties. Iron based friction materials, retain some metallic characteristics (stable thermal stability, and hardness), as well as some particular properties such as high density level, favorable oxidation and corrosion resistance [4].

In this paper, Iron, as the friction material matrix is chosen due of its stability under higher temperatures (1100°C) and can be applied under heavy duty dry operating conditions because of its high melting point and other improved properties which include strength, hardness, ductility, heat resistance and stability etc. [5]. Friction elements based on metallo-ceramic constituents contain number of materials such as metals, lubricants, abrasives etc. These are known for high strength, high temperature stability, oxidation resistance, high thermal conductivity, wear resistance, and fade resistance. The chemistry of the friction elements obviously becomes extremely complex because such expectations cannot be met with restricted number of constituents. In view of this, it becomes necessary to process these starting from powder mixture having different constituents in suitable proportions.

Compacting and sintering technology [6] is one such technology through which these products are currently being made. However, it suffers from certain major limitations such as inadequate joining of friction element with backing plate, poor density levels achieved in friction element owing to limited application of pressure during compacting, poor thermal conductivity due to high levels of porosity in the product, poor strength due to segregation of the impurities along prior particle boundaries (PPB's), anisotropy in the strength of the product owing to preferred direction due of pressing, and, wide variations in final characteristics due to large number of variables involved.

Besides above, cost of raw material and consumables along with heavy capital equipments makes this technique costly and only large scale production is possible where these costs are distributed in the large volume of production. In contrast to these limitations, the present technique can made brake pads of much simpler chemistry but with improved performance on account of simultaneous application of pressure and temperature and with better control of variables. The technique does not involve any custom built equipments and is also likely to become economical even with small volume production.

Chemistry in the present investigation relies on use of ferro-phosphorus which forms phosphide eutectic network along the grain boundaries of alloyed ferrite [7]. It is expected that this will provide improved frictional characteristics in the brake pad. Use of copper in the brake pad helps in increasing thermal conductivity and stabilization of coefficient of friction [8]. Concerns have been shown that increasing content of copper may cause growth during sintering. However, this problem is not likely to occur in the present investigation since

sintering has been replaced by hot powder preform forging with built in powder based backing plate, which is purely solid state processing. Solid lubricants such as graphite, sulphates and sulphides give rise to improved frictional stability [9] and damping of vibration during engagement, apart from anti-seizure characteristics at higher temperatures [10]. Due to these reasons, iron as base metal matrix have been chosen in the present investigation.

# 2. EXPERIMENTAL DETAILS

Brake pads made in the present investigation consist of two major parts namely friction layer and backing plate which are formed simultaneously, such that these two layers do not separate out during operation. Joining of these two layers by sintering is usually difficult because of widely varying chemistry and processing involved. So the present investigation relies on manufacturing of these two parts simultaneously employing different chemistry of powders but having similar constituents. This results in better joint quality between them. Table 1 provides details of chemistry of friction layers along with backing plate. Five compositions along with backing plate namely FA01, FA02, FA03, FA04 and FA05 were formulated. Sequence of manufacture is as described below:

### (a) Powder processing:

### 1. For backing plate:

Powder mixture for backing plate as per Table1, is subjected to mechanical alloying in the Attritor Mill with a ball to charge ratio in the range of 10:1 and duration of Mechanical alloying is 2hours and rotational speed of mill is 200 rpm.

Powder mixture for friction layer as per the chemistry given in Table 1 is prepared as per the following sequence.

- 2. For Friction Materials
- SIC powder is mechanically alloyed with sulphide / sulphate powders and of graphite powder (having size range 0-120micron as given in Table 2.). The parameters of mechanically alloying are:
- Attritor speed (200rpm), Ball to charge ratio (10:1), Duration (60mins.). This will ensure coating of soft powders on hard SiC powder.
- Entire amount of iron and other powders as per the chosen chemistry is mechanically alloyed with graphite powder in Attritor Mill as mentioned above.
- The two powder mixtures so prepared are then mechanically mixed with each other

# (b) Powder compacting:

Powder compaction takes place in a suitably designed die in accordance with the given shape of disc brake pad for example, suitable for AN-32 Transport aircraft. Requisite quantity of backing plate powder mixture is first filled upto a uniform height. Thereafter, friction layer powder mixture in requisite quantity is filled in the die. The filling of these layers of powder

is done on fixed weight basis as per the design (say in Fig.1) and densities of respective layers. Both the layers of powder mixture are simultaneously pressed in a Screw forging press with the help of upper and lower punches at a pressure in the range of 450 to 600MPa. The compact so pressed is ejected out of the die. The die is suitably lubricated employing graphite /Zinc stearate in the suspension of methyl alcohol/ethyl alcohol/acetone prior to filling for easy ejection without cracking of green compact.

	Chemistry (wt%)							
Symbol	Metallic	Ceramic	Lubricants	Backing Plate				
	constituents	Constituents						
EA01	Fe-70.3, Cu-10,	P-0.7,SiC-6	Graphite-7,					
FA01	Sn-1		$Sb_2S_3-5$					
	Fe-68.1, Cu-10,	P-0.4,SiC-3.5,	Graphite-					
FA02	Sn-2	Ceramic wool-1	8,Sb <sub>2</sub> S <sub>3</sub> -1,					
			BaSO <sub>4</sub> -6					
			Graphite-8,	C- 0.3,Cu – 1.5, P -				
EA02	Fe-68.7, Cu-10,	P-0.8,SiC -3.5	$Sb_2S_3-1$ ,	0.3, Fe –97.9				
rA03	Sn-1		BaSO <sub>4</sub> -6					
	Fe-69.6, Cu-10,	P-0.4,,SiC -6,	Graphite-					
FA04	Sn-1	Ceramic wool-1	8,Sb <sub>2</sub> S <sub>3</sub> -					
			1,BaSO <sub>4</sub> -3					
	Fe-73.7, Cu-10,	P-0.8,SiC -3.5,	Graphite-					
FA05	Sn -3,		$6, Sb_2S_3-$					
			1,BaSO <sub>4</sub> -3,					
			CaSO <sub>4-</sub> 1					

Table 1. Chemistry of friction elements along with backing plate:

Table 2.	Sizes	of	powders	employed	Ŀ
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S.No.	Powder	Size range	Source
1	Iron powder	-120µm	Hoganas Industries Ltd.
2	Copper powder	-120µm	Electrolytic
3	Graphite	-200 to +150µm	Natural Crystalline
4	Ferro-phosphorus	-45µm	Commercial grade
5	Tin powder	-75µm	Atomized
6	Silicon Carbide	-180 to +150µm	Chemical grade
7	Calcium Sulphate/	-45µm	Chemical grade
	Barium Sulphate/		
	Antimony Trisulpide		



Fig.1. Die and Punch set up for Military air craft brake pad.

# (c) Preform coating:

The green compact so produced is coated with an indigenously developed high temperature oxidation resistant ceramic coating. This is used to protect our samples from oxidation when subjected to heating. The coating is baked to remove moisture from it at a temp of  $120 \,^{\circ}$ C for 2 hrs in an oven.

# (d) Heating:

The coated green compacts are heated in a furnace. The operating temperature of the furnace ranges from 1050°C for iron based brake pads, holding time 1 hr.

# (e) Hot pwder preform forging:

The hot powder performs are taken out from the furnace and quickly transferred into the hot die duly lubricated with graphite and fitted in the forging press. The forging is done at a speed of 500mm/s. The preform fully consolidates to its near theoretical density on forging. The forged component is later on ejected out of the die.

# (f) Annealing:

The forged pads are subjected to high temperature resistant ceramic glassy coating again and, then annealed at a temperature of  $710^{\circ}$ C for 2 hours to adjust hardness of friction layer to < 100 BHN.

On cooling the coating peels off by itself and residual coating if any is removed by minor surface finishing operations. [11].

# 2.1. Density and Hardness Measurement:

Densities of the friction material were estimated by measuring the volume of the given piece of the material by water displacement method. Prior to density measurement the sample is wax coated to seal surface pores.

Hardness measurements were carried using Brinell's hardness testing machine employing a 31.25 kg Load with ball diameter of 2.5mm and kept constant throughout the experiment for all friction materials. The load was applied for 30 seconds on a sample. At least 5 indentations were made on each sample as shown in Table 3.

Samples	FA01	FA02	FA03	FA04	FA05
Density $\rho(gm/cm^2)$	5.2	5.4	5.7	5.8	5.7
Hardness (BHN)	94	69	78	79	84

Table3. Density and Hardness measurement:

# 2.2. Pin on Wear Disc Test:

To analyze the wear studies of friction materials, an initial laboratory level based pin-on-disc wear test was performed under different set of parameters (applied load, sliding speed, and sliding time/distance) according to ASTM G99 standard test procedure. The pin circular or square or rectangular cross section can be used to clamp between the fixed jaw and the removable jaw.

A pin made from friction material of square size of 30mmx7mmx7mm was used in the present study. The counter face disc is made of EN-32 steel hardened to 45 HRC. To ensure

proper contact between the test pin and the steel disc, test pin adjusting screw is provided. Normal loading on the test piece is provided by the slotted weight, which are hanged on pan. This load is transferred through the frictionless wheel to the specimen holder. Electric motor is directly connected to the steel disc and the speed of the disc can be controlled by variable regulator. It may be noted that the wear in case of GCI (Grey cast iron) is excessively high in comparison to friction materials developed in present investigation. It is justifiable since GCI

comparison to friction materials developed in present investigation. It is justifiable since GCI does not contain wear resistant constituents in it, so we will test samples on steel disc. The pin on contact side of the disc was rubbed on polish paper of 1/0, 2/0 before clamping and counter disc is also made smooth surface finish by the polish paper of 2/0 at each cycle. The load applied is 8kg respectively. Sliding speed of 9m/s ( $1140 \pm 10$  rpm at a track radius of 75mm) was kept constant for the whole experiment. Sliding time is 50 minutes. The wear loss after each cycle, the frictional force generated at every 1 minute was recorded by indigenously designed pin on disc wear testing machine. The noise generated during the wear process is also recorded by means of special microphone based noise level meter in decibels (dB). This gives an idea about the noise behavior of friction materials during the test. The density and hardness of the hot powder preform forged samples are measured; the values are given in Table 3.

Measurement of rise in temperature of the wear surface as a function of time and during wear test was carried out using a thermocouple made of Chormal-Alumel wire is placed at 5mm away from the wearing surface by making hole of 2mm diameter with 3mm depth approximately. The rise in temperature is recorded till the temperature at the wear surface becomes stable. Care must be taken while brazing thermocouple bead inside the hole at friction material. Simultaneous measurement of coefficient of friction and temperature rise during the test would provide brake fading [12].

### 3. RESULTS AND DISCUSSIONS

Density and Hardness of Friction Materials are summarized in Table 3. Both these parameters depend on forging and annealing treatments. This also depend upon, chemistry of friction materials. The technology developed in the present investigation is capable of developing these parameters in wide ranges which is not possible in sintering technology. It is amply clear that the processing technique employed in the present investigation is superior with regard to density and hardness in comparison with the compacting and pressure sintering technology. Although hardness obtained in these brake pads are similar to the conventional brake materials, higher hardness of present materials are primarily due to higher density levels and therefore are likely to show improved performance. An initial laboratory level based pin-on-disc wear test has been performed under the parameter of applied load 8kg and the sliding speed 9m/s, as tabulated in Table 4. The noise generated during the wear process is also recorded by placing a microphone based noise level meter in decibels (dB) at a distance of 25 mm from the point of contact between friction pin and rotor disc. Rise in temperature during the wear test is also measured by placing thermocouple 5mm away from the initial wearing surface. It has been inferred that the performance of our brake pads is

better than that of brake pads made by compacting and sintering technology [13]. The results of wear test are summarized in Table 4.

samples	Wear	Coefficient of			Temperature	Noise	Level,	(dB)
	(gm)	Friction (COF)						
		Max. Min. Avg.			°C	Max.	Min	Avg.
FA01	0.63	0.38	0.35	0.365	116	38	34	36.0
FA02	0.49	0.58	0.53	0.555	168	35	32	33.5
FA03	0.61	0.39	0.38	0.385	145	30	29	29.5
FA04	0.42	0.48	0.47	0.475	169	36	30	33.0
FA05	0.64	0.46	0.45	0.455	151	32	28	30.0

Table 4. Pin-On-Disc Wear Test results at 8 kg Load, 9m/s Sliding speed: Area of the pin =  $49mm^2$ 

Figure 2 shows that the sample FA02 and FA04 has the higher wear resistance than Sample FA01. FA02, and has higher coefficient of friction (COF) shown in Fig. 4, which is suitable for heavy duty applications. The range of coefficient of friction for our composites varies from about 0.16 to 0.55, which in the Aeronautical standard range except for the samples FA02 and FA04 respectively. It is noticed that the composites have better combination of solid lubricants (graphite,  $BaSO_4$ ,  $Sb_2S_3$ ) with addition of SiC powder, showed better overall performance. It may be concluded that frictional characteristics can be improved with the addition of solid lubricants in bulk amount but on the other hand, its excessive amount weakens their stiffness resulting in cracks during testing. It may be inferred from the graphs that wear is within the Aeronautic standard range. In our compositions, Phosphorous (0.4-0.7) is present which develops wear resistance, but some wear resistant constituents were incorporated such as Silicon carbide. This is apparent because wear resistant materials, like silicon carbide, increase COF, then the net wear loss. The noise level during the test is not significantly altered. This is because of the fact that the noise level is related to metal content of the brake elements which in our case varies from 82% to 90%. The noise level for the samples FA03, FA05 is marginally constant; as shown in Fig 5. The temperature is also marginally increases in samples FA02 and FA04. For the friction materials developed in the present investigation, temperature rise is low due to high thermal conductivity of matrix material. The temperature rise ranges from 101°C to 168 °C. It is noticed that higher wt. % of metallic matrix improves heat transfer rate (due to higher thermal conductivity), resulting lower temperature rise.



Fig.2. Wear (gm) for friction materials



Fig.3. Temperature rise for friction materials



Fig 4. Coefficient of Friction for friction material



Fig.5. Noise level for friction materials

#### 3.1. Sub Scale Dynamometer Test

The test input parameters for Military transport such as AN-32 rotor aircraft applications is shown in Table 5. The required test output parameter values are shown in Table 6. The test results are tabulated in Table 7. The run down time are nearly in the specified ranges as that of output parameters (Table 6). These pads seem to be suitable for Military transport rotor aircraft applications. The test results for AN-32 aircraft for rotor application are best suitable in terms of run down time; wear in thickness, coefficient of friction.

Table 5. Test input parameters:

Brake pad	contact area:	$25.8 \text{ cm}^2$

		neters	
Applications	Kinetic energy,	Brake Speed,	Brake Force, kgf
	kgfm	rpm	
Heavy duty air	r craft 17300	1000	160
Rotor			

RD Time, sec			COF		Vear for 50 cycles
Min	Max	Min	Max	gm	Pad thickness, mm
6	12	0.18	0.4	14	1.25

				2	· ·			11		
	50	חת	RD		Mean	Peak	Mean	Peak	Wear	Temp
Sample	50 Cura	KD	time,	COF	Torque,	Torque,	Drag,	Drag.	Thick.,mm	°C
	Cys.	revi.	sec.		kgfm	kgfm	kgf	kgf	Pad1 Pad2	Pad1 Pad2
FA01	Max	120	14.4	0.28	27	65	148	359		
	Min	96	11.6	0.22	21	43	119	240	0.11 0.07	389 423
	Avg	107	12.8	0.25	24	52	133	290		
FA02	Max	106	12.7	0.28	28	62	154	343		
	Min	94	11.2	0	0	41	0	229	0.04 0.05	238 309
	Avg	101	12.1	0.28	26	51	143	282	1	

Table 7.Test results for Heavy duty Military air craft rotor application:

# **3.2. Metallographic Analysis**

On the basis of detailed metallographic examination carried out and shown in Fig.6., we have observed that the microstructures of friction layer as well as backing plate are homogeneous in this hot powder preform forged sample, backing plate in this sample which is shown in Fig.6(c)., is essentially a low carbon steel but with very fine ferrite grains, and it is Widmanstattan ferrite. This is because of high speed forging employed during processing providing fast cooled fine grained structure which in turn yields high strength backing plate without resorting to higher carbon steel. It is also expected to have better toughness of backing plate due to fine ferrite grain structure which may help better engagement of brakes in actual applications. Further, friction material which is shown in Fig.6 (a) is well distributed structure. Friction layer consists of largely continuous coarse iron matrix separated by coarse graphite flakes.

Yellow colored particles are clearly appearing in the friction as well as backing layer, which is probably a Copper phase. Their revelation has been highlighted by adjusting the contrast and intensity of the image and recorded pictures separately. SiC particles in friction material are embedded in the regions of graphite flake and are clearly visible like a junky particle and are recorded in microstructures [as shown in Fig.6 (a)]. The distribution of other constituents in this sample is also uniform; at interface carbon can easily diffuse towards the backing plate side leading to development of fully pearlitic structure in the adjacent backing plate.

Microstructures of hot powder preform forged sample at the interface indicate strong bonding between backing plate and friction element because of enhanced diffusion of carbon from friction element side to backing plate side, and interface is clearly defined with diffusion of SiC across the interface, signifying adequate adhesion.



Fig.6.(a)Friction Layer(FA05 at 100µm) (b)Interface (FA05 at 100µm) (c)Backing Layer (FA05 at 100µm)

#### 3.3 SEM with EDAX Analysis

The surface morphology of the friction material, backing plate, and interface layer are analyzed through SEM. EDAX analysis of fracture surface of different constituents present in the samples, which have been done to check homogeneity of the constituents in friction elements and backing plate as shown in Fig. 7(a) to Fig.7 (b).To identify the element wherever required was carried with the help of point/surface area analysis of EDAX analysis.

QUANTA FEG 200 FEI (Netherland make) was used in the present study.

- 1. Elements added in the iron matrix during powder mixing are retained after powder preform forging.
- 2. Development of reaction/secondary phases has been arrested.
- 3. The fracture surfaces exhibit a ductile appearance (dimples); indicative of micro-void coalescence.

#### 4. CONCLUSIONS

- 1. Hot powder preform forging (with built-in powder based backing plate) near net-shape, a process, for fabricating iron based brake pads has been successfully developed.
- 2. Complete elimination of H<sub>2</sub> gas by protective high temperature oxidation resistant ceramic/glassy coating on iron based preform like paints.
- 3. The technology completely eliminates the problem for joining of backing plate to friction element on account of simultaneous processing.
- 4. Higher density levels can be achieved by hot powder pre-form forging technique.

(a)Friction layer (FA05) 100µm





(b)Interface (FA05) 100µm





(c)Backing plate (FA05) 100µm





Figure 7(a-c).SEM and EDAX of Fracture surface of hot powder preform forged brake pad.

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