

# Image Classification Based on Histogram Intersection Kernel

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## Abstract

Histogram Intersection Kernel Support Vector Machines (SVM) was used for the image classification problem. Specifically, each image was split into blocks, and each block was represented by the Scale Invariant Feature Transform (SIFT) descriptors; secondly, k-means cluster method was applied to separate the SIFT descriptors into groups, each group represented a visual keywords; thirdly, count the number of the SIFT descriptors in each image, and histogram of each image should be constructed; finally, Histogram Intersection Kernel should be built based on these histograms. In our experimental study, we use Corel-low images to test our method. Compared with typical RBF kernel SVM, the Histogram Intersection kernel SVM performs better than RBF kernel SVM.

## Keywords

Classification, Bag of Word, Support Vector Machine, Kernel Function, Visual Keywords

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## 1. Introduction

With the rapid increase of digital images, it is impossible to label them manually. How to classify these images quickly and accurately becomes an important research topic currently.

Support vector machine (SVM) is a kernel based supervised learning classifier, based on Statistical Learning Theory (SLT), which is a widely used method in classification problems, e.g., natural language processing [1], information retrieval [2] [3] and data mining [4] [5]. However, how to choose a right kernel is a challenge work in the SVM. Wu proposed an interpolation kernel, which has less subjectivity and more predominance of generalization than most of traditional kernel functions while needing vast calculation [6]. Neumann use wavelet kernel SVM as a classifier which solves difficult signal classification problems in cases where solely using a large margin classifier may fail [7]. Radial Basis kernel Function was proved its effective learning performance, while its extrapolation capability decreases with the parameters' increasing. Polynomial kernel function has a good performance in global, while its performance is poor in local. Different kernels result in different results; therefore, it's essential to choose a right kernel for specific classification task.

In this paper, Histogram Intersection Kernel SVM was used in the image classification. Firstly, the original images were split into blocks by the regular grid with equal size of  $B \times B$ . Secondly the Scale Invariant Feature Transform (SIFT) descriptors were extracted from those blocks. In order to get the dictionary of Bag Of Word

(BOW) model, we use k-means clustering algorithm to cluster those descriptors into  $k$  groups, each of them was regarded as a visual keywords which making up the dictionary, and we can get the histogram of each images, that gives the frequency of each visual keywords contained in the dictionary. Finally, Histogram Intersection Kernel should be constructed with these histograms.

## 2. Image Preprocessing and Feature Extraction

### 2.1. Image Preprocessing

We use the Corel-low image to test our method, and the original images were split into blocks which have equal size of  $B \times B$  with the regular grid. **Figure 1** shows the schematic diagram of how to split the original images.

### 2.2. Extracting Feature

Scale Invariant Feature Transform (SIFT) was first proposed by David G.L. in 1999 [8], and was improved in 2004 [9]. SIFT descriptor is an invariant image local feature description operator of invariant image that is based on scale space, image rotation and even affine transformation. The core issue of object recognition is that to match a target to its images no matter if they are at different times, different light, and different poses. However, since the state of target and the impact of the environment, the image in the same type differs from each other greatly in different scenes, and therefore people can identify the same object by the local common character. The so-called local feature descriptor is used to describe the local feature of the image. The ideal local feature should be robust to translation, scaling, rotation, the lighting changes, affine and projector impact. SIFT descriptor is a typical local feature.

Then we extract SIFT descriptor from each block after preprocessing of the original images, **Figure 2** shows the diagram of the extraction of SIFT descriptor, and the green marks are the SIFT descriptor. A SIFT descriptor is denoted by a circle, representing its support region, and one of its radii, representing its orientation.

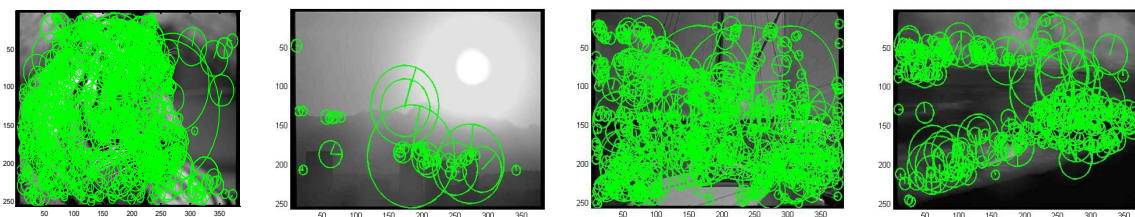
### 2.3. Bag-of-Words Model

Bag-of-Words (BOW) model was used to express a document (or sentence) as a histogram of frequency of words, ignoring the order of these words in natural language processing firstly [10]. It was used to perform the classification of computer images by Li [11]. This model can learn characteristic labels of scenes without human intervention in the training database. But its performance for the images of indoor scenes is lackluster [11].

Since SIFT descriptors were extracted, the local feature of each image was obtained. Then these descriptors were clustered into  $k$  groups via  $k$ -means clustering algorithm. The  $k$  groups are regarded as visual keywords making up the dictionary. In order to let the images represented by the visual keywords of the dictionary, every descriptor of each image is replaced by a nearest visual keyword in Euclidean distance. All the descriptors of the



**Figure 1.** Each image was partitioned into blocks with regular grid.



**Figure 2.** Example of extracting SIFT descriptor.

images can be represented as the visual keywords. After that, the images were expressed with a histogram of the frequencies of the visual keywords. Assume that each image is expressed by a histogram  $h_i \in R_+^d$  ( $i = 1, 2, \dots, l$ ), where  $l$  is the number of all the images.

### 3. Support Vector Machine

Support Vector Machines (SVM) was proposed by Vapnik [12] [13], which is commonly used in classification, regression, and other learning tasks [14]. SVM is built on the theory of max-margin classification hyper-plane.

Given  $(x_i, y_i)$  ( $i = 1, 2, \dots, l$ ), where  $x_i$  is training data and  $y_i$  is the corresponding label. Maximizing the margin is to solve the optimization problem:

$$\begin{aligned} \min_w \quad & \frac{1}{2} \|w\|^2 + C \sum_{i=1}^l \xi_i \\ \text{s.t.} \quad & y_i (w \cdot x_i + b) \geq 1 - \xi_i, (i = 1, \dots, N) \\ & \xi_i \geq 0 \end{aligned} \quad (1)$$

where  $C$  is the penalty factor,  $\xi$  is the slack factor [15].

The dual problem of the Equation (1) is defined as follow.

$$\begin{aligned} \min_{\alpha} \quad & \frac{1}{2} \sum_{i=1}^l \sum_{j=1}^l \alpha_i \alpha_j y_i y_j K(x_i, x_j) - \sum_{i=1}^l \alpha_i \\ \text{s.t.} \quad & \sum_{i=1}^l \alpha_i y_i = 0 \\ & 0 \leq \alpha_i \leq C (i = 1, \dots, l) \end{aligned} \quad (2)$$

where  $\alpha^*$  is the Lagrange multiplier. By solving the Equation (2),  $\alpha^*$  should be obtained, and we have:

$$w = \sum_{i=1}^l \alpha_i y_i x_i \quad (3)$$

Then we get the decision function:

$$y_j = \sum_{i=1}^l \alpha_i y_i K(x_i, x_j) + b \quad (4)$$

where  $b$  is a bias value.

However, in the practical applications, the problems are nonlinear separable. The solution to this problem is to induce a kernel function into the optimization problem, which maps the data into a higher dimensional space. To avoid complicated calculation in the higher dimension, the kernel function that satisfies Mercer's theorem has calculated the data in the original dimension beforehand. Given  $x_i, x_j \in R_+^d$  ( $i, j = 1, 2, \dots, l$ ), the kernel function is defined as:  $K(x_i, x_j) = \langle \phi(x_i) \cdot \phi(x_j) \rangle$ , and  $\phi(x_i)$  is a mapping [16].

Here are some typical kernel functions [17]:

- Linear kernel:  $K(x_i, x_j) = x_i^T x_j$
- Polynomial kernel:  $K(x_i, x_j) = (\gamma x_i^T x_j + r)^d, \gamma > 0$
- Radial Basis Function (RBF) kernel:  $K(x_i, x_j) = \exp(-\gamma \|x_i - x_j\|^2), \gamma > 0$

Here  $\gamma, r$  and  $d$  are kernel parameters.

### 4. Histogram Intersection Kernel Construction

Each image is divided into blocks with the equal size  $B \times B$  on a regular grid, the spatial position can be characterized for each block in an image, and the SIFT descriptors are extracted from each block. The frequency of these visual keywords in an image can be represented as a histogram  $h_i$  ( $i = 1, \dots, l$ ), where  $l$  is the number of

the images. The histogram intersection kernel is defined as:

$$K_{HIS}(x, z) = \sum_{m=1}^d \min(x_m, z_m) \tag{5}$$

This function is a positive definite kernel, so it can be used to quantify the similarity of two images, which also satisfies the Mercer’s theorem.

Histogram intersection kernel function, which contains the spatial location of objects, is robust to many transformations. Such as, distractions in the background of the object, view point changing, occlusion [18].

### 5. Experiment and Analysis

Our PC configuration is: windows 8.1 system, Intel Core i7 2.3 GHz, with 6 GB memory and the programming software is Matlab 2012a. We use the Corel-low images as our experimental data, which contains 59 classes and each class has 100 pictures. The number of classes has an influence on the result of classification. In order to make sure that whether the classification result of Histogram Intersection kernel SVM is robust to different numbers of classes Thus, two groups of experiment were designed. The first group contains 12 classes selected from the 59 classes randomly, and 70 pictures of each class are used for training and the rest for testing randomly too, we name it the group one. The second is to use all the 59 classes and take 80 pictures of each class for training and the rest for testing, we name it the group two.

The size of the regular grids is given  $16 \times 16$ , split the original images into blocks. Then SIFT descriptors were extracted from every block. The size of dictionary has a great influence on the classification accuracy. At the same time the larger size cost more in the calculation. So we get the dictionary size by seeking a tradeoff between the classification accuracy and the calculation cost. Finally the size of the dictionary is 1000 for the group one and 3000 for the group two. Note that RBF kernel is a typical kernel for SVM [14], so RBF kernel function is taken as ground-truth to compare with histogram intersection kernel SVM. RBF kernel function has one kernel parameter  $\gamma$ . In C-Support Vector Machine (C-SVM), there is a penalty parameter C which is the cost of C-SVM. We find the parameter by grid searching method.

**Table 1** shows the size of dictionary, best parameters of SVM and average classification accuracy of the two kernels for both groups of experiments. The average classification accuracy of RBF kernel is about 5.8% lower than Histogram Intersection kernel in group one and 0.8% lower than Histogram Intersection kernel in group two. The RBF kernel costs more in the calculation and needs lots of time to find the best parameters since it requests two parameters.

And **Figure 3** is the confusion matrix of these two kernels for Group One. From the confusion matrix, we can see that except class Flower and Africa, the accuracy of Histogram Intersection kernel is higher than the RBF kernel.

**Figure 4** is the confusion matrix of these two kernels for Group Two. There are 37 classes whose prediction accuracy of Histogram Intersection kernel is higher than or equal to the RBF kernel in the 59 classes.

Comparing histogram intersection kernel with RBF kernel, we made a conclusion that histogram intersection kernel has higher accuracy and requests less computation time than RBF kernel for image classification.

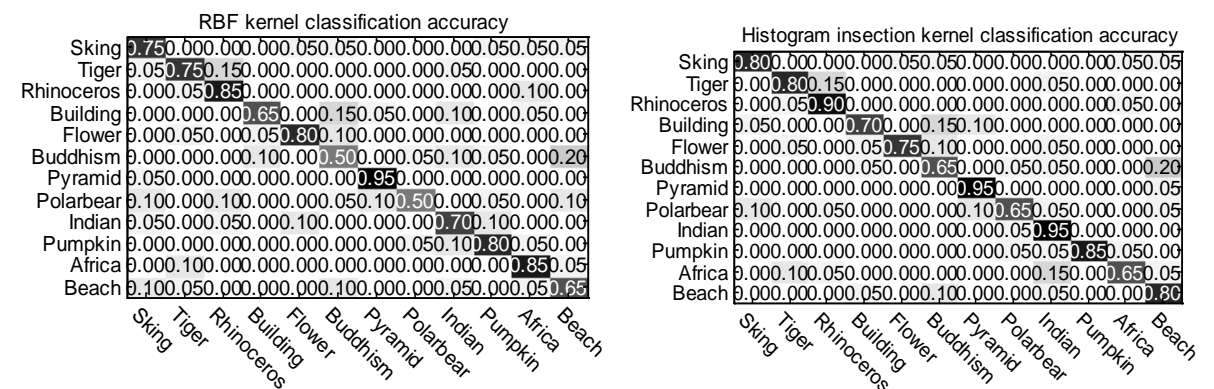


Figure 3. Confusion matrix of group one.

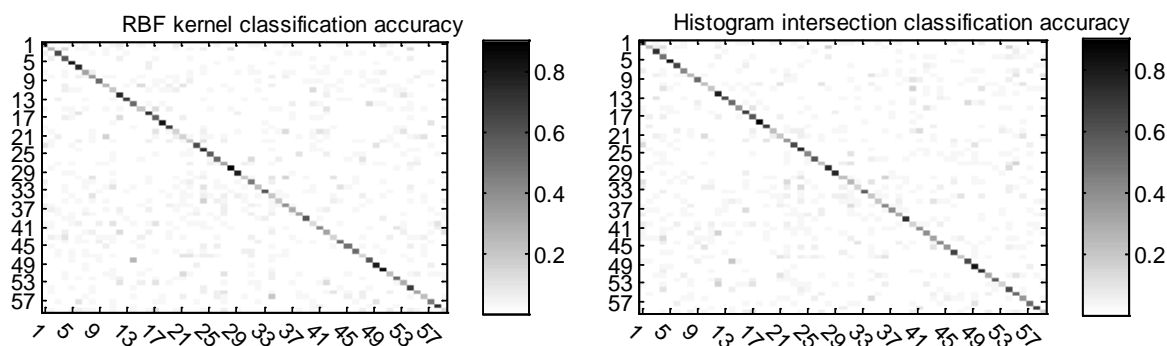


Figure 4. Confusion matrix of group two.

Table 1. The parameters and average classification of the two groups.

	RBF Kernel		Histogram Intersection Kernel	
	Group One	Group Two	Group One	Group Two
Size of Dictionary	1000	3000	1000	3000
Best $\gamma$	2.8284	0	-	-
Best C	64	1024	2	8
Average Classification Accuracy (%)	72.9167	46.7232	78.7500	47.5141

## 6. Conclusions

Image classification is an important research field in pattern recognition; SVM is a good classifier for non-linear classification problem. And the kernel function selection is the core for the classification. To find a right kernel is important to the classification problem. The experimental results show that, the performance of the Histogram Intersection Kernel is better than RBF kernel SVM. In our future work, there are several problems we should consider. 1) The size of dictionary influences on both the classification accuracy and the computation time greatly, therefore a new method that is used to represent an image, needs to be proposed. 2) A kernel whose effect is robust to the increase of the number of classes has to be proposed in the future.

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