

Use of Three Dimensional Conformal Radiation Therapy for Node Positive Breast Cancer Does Not Result in Excess Lung and Heart Irradiation

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Abstract

Purpose: This work evaluates the use of target and organs at risk (OAR) dose-volume goals in 3D conformal radiotherapy (3DCRT) planning for node positive breast cancer (NPBC) patients undergoing regional nodal irradiation after lumpectomy/mastectomy. **Methods:** Dosimetric data for 262 NPBC patients receiving regional nodal and whole breast/chest wall (WB/CW) irradiation from 2000-2009 were analyzed. In all cases, target & OAR volumes were delineated on treatment CT scans for field generation and dose-volume histograms (DVHs) were generated. Cases were analyzed to identify how frequently they met treatment planning institutional dose-volume goals (“institutional guidelines” & standardized in 2005) and how this would affect OAR doses. **Results:** The incidence of cases from 2000-2009 meeting current institutional guidelines improved over the study period. Target coverage improved from 2005-2009, when guidelines were followed as a part of the plan approval. Those cases from 2000-2004 meeting acceptable target goals were found to be significantly different from those cases from 2005-2009 ($p < 0.01$). However, no significant difference between cases meeting OAR goals for plans from 2000-2004 versus 2005-2009 was found. **Conclusions:** The use of institutional guidelines in 3DCRT for WB/CW and regional nodal irradiation for NPBC patients improved target coverage without a statistically significant increase in heart and lung doses.

Keywords

Node Positive Breast Cancer, Dose-Volume Goals, Target Coverage, CT Based Planning

1. Introduction

Historically, breast radiation therapy (RT) treatment planning for node positive disease has involved creating a standard two-dimensional (2DRT) wedged plan on a single transverse contour taken through the center of the breast and then matched to a single anterior field to encompass the supraclavicular-axilla dosed to a depth of 3 cm [1]. Other disease sites have demonstrated an improvement in the therapeutic ratio using three dimensional conformal RT (3DCRT) or intensity modulated RT (IMRT), where the internal/external anatomical tissues/organs are delineated and used in treatment planning. Clinical delivery for breast treatment using 2DRT is still common despite two clinical trials demonstrating a reduction in acute and late adverse effects when using 3DCRT/IMRT compared to 2DRT [2] [3]. More recently, contouring atlases for breast have been developed and the use of dose-volume goals has become a standard element of RT planning for patients on more recent Radiation Therapy Oncology (RTOG) and National Surgical Adjuvant Breast and Bowel Project (NSABP) breast clinical trials [4] [5]. However, the uses of 3DCRT/IMRT with target and organs at risk (OAR) dose-volume goals for NPBC patients undergoing regional nodal irradiation after lumpectomy/mastectomy have yet to be standardized nor has the use of such goals gained widespread use in standard practice. We have reviewed our own experience with 3DCRT for node positive breast cancer (NPBC) and have reported excellent local control, survival, and toxicity [6] [7]. The purpose of this work is to retrospectively evaluate the plan quality of NPBC patients since our institution standardized the use of dose-volume goals (referred to as goals hereafter) in treatment planning of NPBC.

2. Methods

2.1. Patient Selection

A total of 262 NPBC patients were selected for this study and demographically consists of 81.1% (n = 212 cases) Caucasians, 39.4% (n = 40) African Americans, 7.6% (n = 8) Asians and 2 from Southeast Asia/India. Median patient age was 50 (27 - 91), 52.35% were premenopausal, 75.74% had positive estrogen receptors, 66.27% had positive progesterone receptors, and 15.92% were HER-2 positive (3+ Hercept or amplified by FISH). Mean number of all LNs recovered was 17.1 (1 - 46), mean positive LNs: 5 (1 - 29), extra capsular invasion: 47.31%. Mean microscopic tumor size was 3.73 (0.1 - 21) cm. Staging was pII in 43.3% and pIII in 52%. 52.3% underwent lumpectomy and 45.93% mastectomies. 93.0% had systemic chemotherapy (63.4% adjuvant & 29.7% neoadjuvant), with 90.3% anthracycline based regimen.

Dosimetry data for these patients receiving conventionally fractionated (*i.e.* 1.8 - 2.0 Gy per fraction) regional nodal and whole breast/chestwall (WB/CW) irradiation from 2000-2009 at our institution were analyzed without any modifications to contoured structures or plan objects [e.g. ports, blocks, aperture etc. Some exceptions were made in order to faithfully reconstruct the dose dis-

tribution delivered to the patient] and included in our analysis. All patients with biopsy proven breast cancer that spread to regional lymph nodes (*i.e.* pathologically node positive) who received 3DCRT to breast and regional lymph nodes as part of post-operative treatment were included in our analysis. Patients who received initial 3DCRT for recurrence or metastatic spread of cancer were excluded. The target (including axillary node levels 2 - 3) & OAR volumes were delineated on free breathing axial CT scans in all cases. During 2000-2004, evaluation of 3D treatment planning was performed by empirically (see discussion) evaluating the dosimetric coverage to contoured structures but plans were approved per individual physician's preference. Treatment planning goals were institutionally standardized for NPBC in 2005 and subsequently used as part of plan approval. These "institutional guidelines" will be used in our analysis of dosimetric target coverage and OAR doses for all plans generated from 2000-2009.

2.2. Treatment Planning and Contouring

The target volumes were delineated on all cases and the dose prescribed according to the International Commission on Radiation Units and Measurement (ICRU) Report 50 and 62 recommendations. Over the study period the method of contouring the whole breast changed. In this study, 187 of 262 (71.3%) of cases were whole breast, post-lumpectomy and were contoured to reflect the clinical breast tissue wired by the physician at CT simulation, which included the lumpectomy cavity. In 2005 and after, the breast excluded the chest musculature (pectoralis and serratus anterior muscles) and 5 mm from the skin surface on all cases. Typically the breast did not extend laterally past the mid-axillary line or medially past the sternal-costal interface. Seventy-five of 262 (28.7%) cases were treated after mastectomy. The clinical extent of the chestwall and scar were determined by the treating physician with wires placed at the time of CT simulation. The chestwall target volume included surgical changes visualized by the physician on CT and, around 2005 and after, did not extend deeper than ribs. The supraclavicular and axilla nodal targets (levels 2 - 3) were contoured in every case. The internal mammary lymph node chain (IMC) (defined by the intercostal artery and vein in the first 3 intercostal spaces) was contoured on 210 of 262 (80.2%) of cases; however the IMCs were not targeted in the remaining 52 of 262 (19.8%) cases. The contour of the lung was outlined using an auto-threshold segmentation algorithm. The heart was contoured by delineating both ventricles and the left atria. The heart was contoured mainly for left-sided NPBC patients; however the heart was contoured for some right-sided NPBC patients.

All cases over the study period were reconstructed using XiO treatment planning system [Elekta AB. (Stockholm, Sweden)] without modification to the original structure contours. Dose calculations were performed using the fast superposition algorithm with inhomogeneity corrections turned on. The dose was prescribed to the ICRU reference point which was located in the lumpectomy or chestwall volume centroids. Each patient's dose-volume histogram (DVH) was used to calculate the acceptable goals listed in **Table 1** for the WB, CW,

Table 1. Manuscript's institutional dose-volume constraints for 3D conformal radiation therapy treatment planning for node positive breast cancer put in effect in 2005.

Definitions of Constraints	Site/OAR	Ideal dose-volume constraints	Acceptable dose-volume constraints
Targets	Chestwall/whole breast	$D_{95\%} \geq 47.5$ Gy	$D_{90\%} \geq 45.0$ Gy
	Axillary Lymph Node	$D_{95\%} \geq 45.6$ Gy	$D_{90\%} \geq 43.0$ Gy
	Supraclavicular Lymph Node	$D_{95\%} \geq 45.6$ Gy	$D_{90\%} \geq 43.0$ Gy
	Internal Mammary Lymph Nodes	$D_{90\%} \geq 42.0$ Gy	$D_{90\%} \geq 38.0$ Gy
Organs at Risk (OAR)	Ipsilateral Lung	$V_{20} \leq 25\%$	$V_{20} \leq 30\%$
	Heart	$V_{25} \leq 5\%$	$V_{25} \leq 9\%$

regional lymph node structures, lung and heart. This dose-volume analysis was repeated for all patients in order to assess those cases meeting the acceptable institutional guidelines listed in **Table 1**.

2.3. Treatment Planning Goals

In this study, we sought to compare dose-volume analyses from 3D treatment plans for NPBC cases treated from 2000-2004 versus those from 2005-2009 in order to assess the impact of using institutional guidelines (**Table 1**). Specifically, we wanted to evaluate how the standardization of treatment planning goals in 2005 to cover 95% of the target volume by 95% of the prescription dose (46 - 50 Gy to WB/CW and regional nodes) affected the dose to the OARs. An ideal and acceptable goal was established for each target and OAR (**Table 1**). Ideal target coverage was defined as $D_{95\%} \geq 95\%$ of the prescription dose, where $D_{95\%}$ is the dose irradiated to 95% of the volume or more. Acceptable target coverage was defined as $D_{90\%} > 90\%$ of the prescription dose. For the targets, the ideal goals are—95% of the WB/CW volume receiving a dose greater than 47.5 Gy ($D_{95\%} \geq 47.5$ Gy), both the axillary (AX) and supraclavicular (SCL) lymph nodes— $D_{95\%} \geq 45.6$ Gy, and IMC lymph nodes— $D_{90\%} \geq 42$ Gy. The ideal goals for the OARs are 25% of the ipsilateral lung volume receiving 20 Gy ($V_{20} \leq 25\%$), and the heart, $V_{25} \leq 5\%$. Acceptable target coverage was defined as a WB/CW— $D_{90\%} \geq 45$ Gy, AX— $D_{90\%} \geq 43$ Gy, SCL— $D_{90\%} \geq 43$ Gy, and IMC— $D_{90\%} \geq 38$ Gy. The acceptable goals for the OARs were defined as $V_{20} \leq 30\%$ and $V_{25} \leq 9\%$ for the lung and heart, respectively. Note: The heart V_{45} and lung V_{10} were retrospectively collected and presented.

2.4. Analysis and Statistical Methods

We hypothesized that the use of institutional guidelines in treatment planning would lead to a statistically significant difference in target coverage in cases from 2005-2009 compared to 2000-2004. A patient's plan was regarded as having met target goals if any 3 of the WB/CW, SCL, AX or IMC DVHs met the acceptable institutional guidelines in **Table 1** and the patient's plan was scored a value of 1. If the acceptable institutional guidelines were not met, the plan was scored a value of 0. All patient plans were analyzed in this way and the number cases

meeting target goals for each time period was tallied. A two-tailed t -test was performed to determine any statistically significant difference in the proportion of cases meeting target goals from 2000-2004 to those cases from 2005-2009.

Furthermore, we hypothesized that meeting planning target goals would not lead to a statistically significant increase in OAR doses. A patient's plan was regarded as meeting OAR goals if both lung and heart DVHs met the acceptable institutional guidelines in **Table 1** and the patient's plan was scored a value of 1. The plan was scored a value of 0 if the acceptable "institutional guidelines were not met. All patient plans were analyzed in this way and the number of cases meeting OAR goals for period was tallied. A two-tailed t -test was performed to determine any statistically significant difference in the proportion of cases meeting OAR goals from 2000-2004 to those cases from 2005-2009.

3. Results

3.1. Meeting Target Goals

The proportion of cases during the entire study period from 2000-2009 meeting the acceptable institutional guidelines was: 72.1% WB/CW, 83.5% SCL, 72.0% AX, 71.9% IMC, 83.2% lung, and 92.7% heart. The total number of WB/CW, AX, SCLV and IMC cases during 2000-2004 and 2005-2009 were: 130, 130, 130, and 100; and 132, 132, 132, and 110, respectively. There were a total of 72 left and 58 right sided WB/CW cases, respectively, during 2000-2004 and 66 left and 66 right sided WB/CW cases, respectively, during 2005-2009. A significant increase in the proportion of cases meeting target goals is seen during 2005-2009 compared with 2000-2004, as shown in **Table 2**, ($p < 0.01$; 95% confidence interval (CI) for the difference in means of [0.376, 0.581]). This improved target coverage is further demonstrated when looking at the increased mean $D_{90\%}$ for WB/CW, SCL, AX, and IMC cases generated from 2005-2009 compared to 2000-2004 (**Table 3**).

Table 2. Percentage of cases broken down by year meeting acceptable institutional dose-volume constraints in **Table 1**.

Time span	WB/CW	SCLV	AX	IMC
	$D_{90\%}$ (Gy) ≥ 45 Gy	$D_{90\%}$ (Gy) ≥ 43 Gy	$D_{90\%}$ (Gy) ≥ 43 Gy	$D_{90\%}$ (Gy) ≥ 38 Gy
2000-2004	58.5%	71.5%	46.2%	62.0%
2005-2009	85.6%	93.9%	94.7%	80.9%

Time span	Lung	Heart
	$V_{20} \leq 30\%$	$V_{25} \leq 9\%$
2000-2004	87.7%	89.2%
2005-2009	78.8%	96.2%

Table 3. Mean target and organ at risk (OAR) constraints [mean \pm 1 standard deviation] broken down by time period.

Time span	WB/CW	SCLV	AX	IMC
	$D_{90\%}$ (Gy)	$D_{90\%}$ (Gy)	$D_{90\%}$ (Gy)	$D_{90\%}$ (Gy)
2000-2004	44.3 \pm 5.8	42.2 \pm 11.3	38.6 \pm 11.4	33.5 \pm 18.3
2005-2009	47.4 \pm 5.5	47.6 \pm 6.8	47.3 \pm 5.0	41.4 \pm 14.4

Time span	Lung		Heart	
	V_{10} (%)	V_{20} (%)	V_{25} (%)	V_{45} (%)
2000-2004	30.9 \pm 14.4	25.5 \pm 13.5	4.7 \pm 4.7	1.4 \pm 2.3
2005-2009	34.3 \pm 7.4	26.0 \pm 5.0	4.4 \pm 3.6	1.0 \pm 1.4

3.2. Meeting OAR Doses

A total of 80 cases during 2000-2004 had heart contours (72 & 8 cases were left & right breast, respectively), while a total of 72 cases had heart contours from 2005-2009 (66 & 6 cases were left & right breast, respectively). No significant difference was found in the proportion of cases meeting both OAR goals for cases generated from 2000-2004 compared to 2005-2009. Although the proportion of cases from 2005-2009 meeting heart V_{25} increased compared to 2000-2004 (Table 2), this was off-set by a decrease in the proportion of cases meeting lung V_{20} in 2005-2009 compared to 2000-2004. The mean V_{10} & V_{20} for the lung and V_{25} & V_{45} for the heart (Table 3) further demonstrate a trade-off between achieved lung and heart doses.

4. Discussion

In this study, we sought to investigate how the use of institutionally established dose-volume goals for 3DCRT planning of NPBC patients affected the dose delivered to targets and OAR. We demonstrated that using dose-volume goals does not lead to a statistically significant increase in doses to OAR (*i.e.* heart and lung), a concern that we had at the beginning of this analysis. Our clinical experience suggests that acceptable levels of normal tissue toxicity and good local control can be achieved with these 3DCRT goals in treating NPBC. For example, acute dermatitis Grade 1 & 2 was 83.0% and 13.4%, respectively; Telangiectasia Grade 1 and 2 - 3 were 9% and 3.2%, respectively; and only 1 reported case each of both pneumonitis and pericarditis [6] [7]. Furthermore, we have achieved a local control rate of 94.7% and regional lymph node control of 99.4% at a median follow-up of 7 years [6] [7].

Even though recent protocols through the NSABP, Alliance Oncology and RTOG have requirements for 3DCRT planning goals for targets and OARs, there is little published about how this impacts treatment planning. However, other reports have documented that more individualized treatment planning could improve target dose coverage and OAR sparing. Kraisin *et al.* studied 25 patients who underwent 2D treatment planning for post-lumpectomy breast RT with

subsequent reconstruction on a 3D treatment planning system [8]. Dose-volume analysis performed on those reconstructed plans found that 64% of cases achieved adequate coverage of the breast (defined as 95% of the target receiving at least 95% of the prescribed dose). Goodman *et al.* studied 55 patients who had standard 2D fields for supraclavicular and axillary (level 1 - 3) irradiation that then underwent CT and delineation of the supraclavicular and axillary lymph nodes [9]. Evaluation of the CT defined nodal groups demonstrated a significant variation of the included nodes in the 2D defined treatment fields. Therefore, they concluded that nodal groups should be delineated on CT prior to field definition in order to achieve the desired target coverage. More recently, investigators have focused on using intensity-modulated RT (IMRT) techniques to achieve improved target coverage, avoidance of OAR, and as a means for correcting for dose inhomogeneities [10] [11]. For example, Jones *et al.* planned 10 cases using fixed beam versus helical Tomotherapy for NPBC. This dosimetric study used similar target coverage to compare the different techniques available on Tomotherapy; however only V_{20} lung goal was used for plan optimization. In comparison to the IMRT/Tomotherapy reports, our study demonstrated in a large number of cases ($n = 262$) that using established dose-volume goals to meet target coverage and avoidance of OAR is achievable with 3DCRT. Despite a lack of consensus regarding NPBC dose-volume goals in the literature, our OAR institutional guidelines are within or tighter than the recommendations of the Quantitative Analyses of Normal Tissue Effects in the Clinic (QUANTEC) [12].

Conclusions

In conclusion, our study is the first to investigate the efficacy of using dose-volume goals in treatment planning for NPBC patients. Any retrospective analysis for breast RT of this type has limitations: 1) The variability introduced in the RT planning process wasn't discussed in the literature until 2007-2009 (near the end of our study period), which makes it difficult to assess the impact of this effect on our results; 2) Contouring databases, like the RTOG breast atlas, were not published until 2009, making it more difficult to assess differences in contouring in our analysis; and 3) The heart was not contoured for all WB/CW cases. Any institution should use dose-volume goals with caution given this variability in defining clinical and planning target volumes. One limitation of our retrospective study is that there was no consistent use of institutional dose-volume goals over the time period studied. Prior to 2005, plans were evaluated qualitatively slice by slice to visually ensure isodose lines covered targets and OAR were adequately spared. The DVHs were reviewed but not used to assess a plan as acceptable for treatment. A second limitation is that these goals were generated by our institutional experience well before there was much evidence in the literature regarding the optimal dose-volume goals for NPBC. In particular, recent breast cancer trials (e.g. NSABP B-51) have different heart goals for right and left sided WB/CW cases but our study used the same goals for both WB/CW cases [5]. However, the strengths of this study are that it is the first to our know-

ledge to demonstrate in a large number of cases the feasibility of using dose-volume goals on DVHs to achieve an optimal 3DCRT treatment plan for NPBC. In the future, clinical trials and prospective databases evaluating the treatment of node positive breast cancer will be ideally suited for prospective confirmation of these dose-volume goals.

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