

Enhancing the Yields of Phenolic Compounds during Fermentation Using *Saccharomyces cerevisiae* Strain 96581

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Abstract

Phenylethanol, tyrosol, and tryptophol are phenolic compounds or fusel alcohols formed via the Ehrlich pathway by yeast metabolism. These compounds can yield health benefits as well as contribute to the flavors and aromas of fermented food and beverages. This research shows that *Saccharomyces cerevisiae* Strain 96581 is capable of producing significantly higher levels of these three compounds when the precursor amino acids were supplemented into either the Chardonnay concentrate for wine-making or the malt concentrate for brewing English Ale. Strain 96581 can produce phenylethanol, tyrosol, and tryptophol as high as 434 mg/kg, 365 mg/kg, and 129 mg/kg, respectively, in the beer fermentation. The performance of Ale yeast WLP002 from White Labs Inc. was also analyzed for comparison. Strain 96581 outperformed WLP002 in the control beer, the amino acids supplemented beer, and the kiwi-beer background. This shows that Strain 96581 is more effective than WLP002 in converting the malt and the kiwi fruit supplements via its endogenous enzymes.

Keywords

Phenolic Antioxidants, Tyrosol, Tryptophol, Phenylethanol, Fermentation Technology

1. Introduction

Saccharomyces cerevisiae Strain 96581 isolated from spent liquor sulfite drums of pulp-making process was found to produce high amounts of fusel alcohols such as tyrosol, tryptophol, phenylethanol [1] [2]. These phenolic compounds have significant applications in food and beverage manufacturing including wine-making. Ty-

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tyrosol, found abundantly in olive oil, is a natural phenolic compound known to have high anti-oxidative properties [3] [4]. Samuel *et al.* [5] observed that tyrosol treatment in animals significantly reduced heart cell death as a result of protective signaling from Akt, eNOS, FOXO3a, and induced expression of the longevity protein SIRT1 in the heart. This suggests that tyrosol induces myocardial protection against ischemia-related stress by inducing survival and longevity proteins that may be considered as anti-aging therapy for the heart. Similarly, white wine has been reported as having cardioprotective benefits due to the presence of components such as tyrosol and caffeic acids that are believed to not only modulate oxidative stress and inflammation but also activate the cell survival signaling pathway and the FOXO3a longevity associated gene [6] [7].

It is known that tryptophol has a sleep-inducing property similar to the effects of serotonin or melatonin. Tryptophol present in wine and beer as byproducts of fermentation can also be produced in the human liver after disulfiram treatment for chronic alcoholism [8]. The synthesis of tryptophol by yeast was first described by Felix Ehrlich in 1912 [9] as the metabolic conversion of amino acids via the successive steps of transamination, decarboxylation, and reduction [10]. Tryptophol is also used as a precursor in the synthesis of the drug Indoramin, which is used commonly in many applications as an alpha blocker for cardiovascular diseases and high blood pressure treatment [11]. Phenylethanol is an aromatic compound that is commonly found in plants such as rose, carnation and orange blossom. It has a pleasant floral odor which makes it desirable in flavors and perfumes, particularly when the rose smell is desired. Due to its preservative properties, phenylethanol is often used in soap because of its stability in basic conditions. This can allow phenylethanol to act as a natural preservative in wine and beer to prevent spoilage. Phenylethanol has biological applications due to its antimicrobial properties. For example, it is produced by the fungus *Candida albicans* as an autoantibiotic [12].

Like wine, beer contains carbohydrates, amino acids, minerals, vitamins, and phenolic compounds. Many of these phenolic components have been identified as chemopreventive agents, antioxidants, cytochrome P450 1A inhibitors, detoxification enhancers, and anti-inflammatory agents through inhibition of the inducible nitric oxide synthase (iNOS) and the cyclooxygenase I (Cox-1) enzymes. Other potential benefits include estrogenic/anti-estrogenic properties, anti-proliferative and differentiation-inducing mechanisms, anti-angiogenic and anti-viral activities [13]-[16].

Wine and beer also contains flavonoids or polyphenolic compounds, which have potential health benefits such as antiviral, anti-allergic, antiplatelet, anti-inflammatory, antitumor and antioxidant activities. The flavonoid xanthohumol in beer is from the hop whereas the flavonoids in wine are mainly from the fruit. The fruit and the malt are also sources of amino acids. Natural fruit supplements were evaluated for possible application in beer production. Kiwi fruit and banana were among the fruits with the highest amounts of reported tyrosine, while grapes and apples were among the lowest [17]. The purpose of this study is to investigate the possibility of increasing the yield of tyrosol, tryptophol, and phenylethanol in fermentable beverages using two different yeast strains and supplementing the fermentable substrate with the relevant amino acid precursors or fruits high in these amino acids. In addition, the *S. cerevisiae* Strain 96581, which has never been classified as a wine or a beer strain, was tested for its ability to enhance the yields of the three phenolic compounds in both types of the fermentable media.

2. Materials and Methods

2.1. Yeast Strains

The yeast strains used in this study were the *Saccharomyces cerevisiae* ATCC Number 96581 from the American Type Culture Collection, [1] [2] and the English Ale yeast WLP-002 from the White Labs (Nashville, TN).

2.2. Wine Fermentation

The wine fermentation media was prepared with Alexander's Pinot Chardonnay grape juice concentrate (Grape and Granary, Akron, OH, USA) containing sulfite diluted to 16% (w/v) sugar content, minimal media of 0.67% Difco yeast nitrogen base without amino acids (Voigt Global Distribution, Lawrence, KS, USA), and with either one amino acid supplement *i.e.* tyrosine, tryptophan, phenylalanine added to each flask or all three amino acids (TTPaa) added at final concentrations of 0.05 mg/mL, 0.02 mg/mL, 0.05 mg/mL respectively into 50 mL final volume. Also included was the TTPaa NH₄ which contained the fermentation media with 3 amino acid supplements plus 0.89 mg/mL yeast-nutrient-ammonium phosphate salt (Grape and Granary, Akron, OH, USA). The

two controls were the fermentation media without amino acid supplements and the fermentation media with no amino acid supplements but contained 0.89 mg/mL yeast-nutrient-ammonium phosphate salt.

Overnight pre-inoculum cultures in YEPD (1% yeast extract, 2% peptone, 2% dextrose) were incubated in a Barnstead Lab-line MaxQ 4000 incubator at 28°C with shaking at 200 rpm and the optical density (OD) of the culture was determined at the wavelength of 600 nm using a Hitachi U-2000 UV-Vis spectrophotometer. Each aliquot of the 50 mL fermentable media was inoculated with 6.0×10^8 cells based on the conversion factor of 0.50 OD being equal to 1.0×10^7 cells. The flasks were topped with an air lock filled with sterile water to the point where no exchange with ambient air occurred. The cultures were kept in a Barnstead Lab-line MaxQ 4000 incubator at 18°C for 16 days (stirring twice daily). The fermentation was carried out in triplicate sets of experiments.

2.3. Beer Fermentation

The beer fermentation media was prepared using a modification of the English Ale recipe composed of chocolate malt barley grain, dried malt extract or DME and liquid malt extract or LME, supplemented with either the equivalent volume of Malt-kiwi purée or with amino acids. The chocolate malt barley grain (Dimgemams, Belgium), plain light Sparymalt dried extract (Muntons, England) and light barley malt liquid extract (Muntons, England) were purchased from All Seasons Brewing Supply (Nashville, TN). The Malt-DME-LME stock concentrate was prepared by steeping 17.97 grams of crushed chocolate malt barley grain in 600 mL water at 50°C for 1 hour, after which 119.8 grams DME powder and 395.5 grams of LME was added and the mixture boiled for 1 hour with continuous stirring. The entire mixture was reconstituted into a final volume of 1 liter. The “Malt only” stock, which contained only 2.995 grams of crushed barley grain in 500 mL water, was prepared the same way. The Malt-kiwi mixture was prepared using the 2.995 grams of crushed barley grain plus 446 mL of kiwi purée from 8 fruits in a final volume of 500 mL. This mixture was also steeped at 50°C for 1 hour before boiling for 1 hour with stirring.

The fermentation samples consisted of a flask with the 5-fold diluted Malt-DME-LME stock medium supplemented with tyrosine, tryptophan, and phenylalanine at final concentrations of 0.05 mg/mL, 0.02 mg/mL, 0.05 mg/mL, respectively, in a final volume of 50 mL and a flask containing 1:1 volume ratio of the 5-fold diluted Malt-DME-LME stock to Malt-kiwi mixture. The controls were 1) a flask containing only the 5-fold diluted Malt-DME-LME stock medium without the amino acids supplement, and 2) a flask containing 1:1 volume ratio of the 5-fold diluted Malt-DME-LME and “Malt only” stock (*i.e.* No Kiwi). Each aliquot of the 50 mL fermentable media was inoculated with 6.0×10^8 cells from an overnight pre-inoculum. The cultures were kept under an anaerobic condition in a Barnstead Lab-line MaxQ 4000 incubator at 18°C for 14 days (stirring twice daily). The fermentation was carried out in triplicate sets of experiments.

2.4. Sample Preparation and GC-MS Analysis

The amount of glucose left in the fermentation was determined using the Clinitest kit (Fisher Scientific, Fairlawn, NJ, USA). The fermentation was considered complete if the glucose level in the culture dropped to less than 1%, which then required the removal of cells by centrifugation. Exactly 20 mL aliquots of the supernatant were extracted with Merck Li Chrolut EN cartridges with 200 mg resin each (purchased from VWR International, West Chester, PA, USA) using a modified solid-phase extraction procedure described by Ooi *et al.* [2]. For the quantitation of selected fermentation components and for monitoring the consistency of the SPE extraction, a 60 µL standard solution containing 1000 ppm (w/w) of d5-deuterated-phenethyl alcohol (Isotec, Sigma-Aldrich, St. Louis, MO, USA) in ethanol (Pharmaco Inc., Brookfield, CT, USA) was added to 20 mL of the fermentation samples as an internal standard before being loaded onto the SPE cartridges for elution. Sample extracts were eluted from the LiChrolut EN twice with 1.0 mL of 1:1 volume mixture of dichloromethane (Sigma-Aldrich, St. Louis, MO, USA) and methanol (HPLC grade, Fisher Scientific, Fairlawn, NJ, USA). The eluate was filtered through a syringe filter (Pall Life Sciences, Ann Arbor, MI, USA) of 0.22 µm pore size and 13 mm diameter and diluted 1 to 10 fold or 1 to 5 fold in a dichloromethane: methanol (1:1) solvent mixture for GC-MS analysis. Analysis of fermentation components were carried out using the Shimadzu QP2010 S GC-MS equipped with a Phenomenex ZB5-HT, 30-m column with 0.25 mm i.d. and 0.25 µm film thickness. The temperature program used had an initial temperature of 40°C for 2 minutes followed by a heating rate of 12°C/min to reach to 140°C, which was held for 1 min and then increased at 20°C/min to 300°C with a final hold period of 4 minutes. A he-

lium carrier gas flow rate of 1.23 mL/min and split injection mode with split ratio of 1:10 was used for GC analysis of a sample size of 1 μ L. GC-MS analyses were repeated three times for triplicate batches of fermentation from each strain.

2.5. Calibration and Statistical Analysis

The concentrations ($\text{mg}\cdot\text{kg}^{-1}$ or ppm) reported in this paper were calculated using external standard calibration. A standard stock solution containing 1000 ppm (w/w) each of 2-phenethyl alcohol, 4-hydroxyphenethyl alcohol (4-hydroxy-benzeneethanol or tyrosol), 3-indoleethanol (tryptophol) and d5(ring)-phenethyl alcohol (D5) purchased from Sigma-Aldrich, St. Louis, MO, USA were prepared in the dichloromethane-methanol solvent mixture. Serial dilutions of this multicomponent standard were carried out for quantitative analysis. The mean values with standard deviations (SD) are reported. The beer fermentation data from this study was statistically analyzed using the general linear model (GLM) Multivariate Analysis of Variance (MANOVA; SAS 9.3 from the SAS Institute Inc.). The GLM-MANOVA approach was used to test the hypothesis of the significance association between a set of interrelated dependent variables (concentrations of phenolic compounds) and two grouping variables. A univariate analysis was performed to establish whether the supplements and yeast strains were associated significantly with any of the phenolic yields. The Wilks' Lambda technique was used to test the impact of each variable included in the multivariate model on the phenolics. The null hypotheses of no overall statistical significance of yeast strain influence on the yield of the three phenolic compounds and of no overall difference in yields from the amino acids and kiwi supplemented beer fermentation among the two yeast strains was carried out using the MANOVA test criteria at the significance level or " α value" of $P < 0.05$. The subsequent one-way ANOVA test criteria at the significance levels or $P < 0.05$ was performed to determine whether the yeast strain has a significant influence on tyrosol, tryptophol, and phenylethanol production and whether the sample media have a significant effect on the production of each type of phenolic compound [18].

3. Results and Discussion

There was a significant increase in phenylethanol, tyrosol, and tryptophol, when wine fermentation was supplemented with phenylalanine, tyrosine, or tryptophan respectively. The increase in the production of tryptophol by the 96581 strain when tryptophan was supplemented in the media was in the order of 7 to 8-fold and 2-fold for phenylethanol and tyrosol. If all three amino acids were supplemented, then there was increase in all three fusel alcohols as in the fermentation samples labeled as TTPaa (Figure 1). The TTPaa sample plus the yeast nutrient ammonium phosphate salt (TTPaa NH₄) showed about the same level of increase in yield over the TTPaa sample without added ammonium phosphate salts, suggesting that the additional ammonium phosphate salts were not essential for enhancing the synthesis of fusel alcohols from their amino acid precursors.

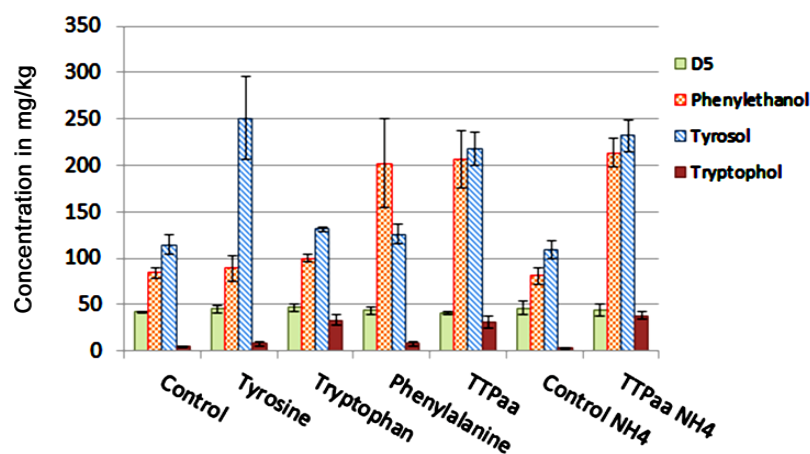


Figure 1. Concentration levels of phenylethanol, tyrosol, and tryptophol produced in wine fermentation. Fermentation was carried out using yeast Stain 96581. Amino acids supplements are indicated on the x-axis. TTPaa stands for the amino acids tyrosine, tryptophan, and phenylalanine supplements in the media. NH₄ indicates that 0.89 mg/mL of yeast-nutrient-ammonium phosphate salt had been added to the media. D5 is the d5-deuterated-phenylethanol.

In the control beer fermentation, Strain 96581 produced more fusel alcohol than the English Ale yeast strain WLP002. When supplemented with all three amino acids precursors both strains were able to increase production of all three fusel alcohols. The total amounts of phenylethanol, tyrosol, and tryptophol produced by Strain 96581 were 434 ± 90 mg/kg, 365 ± 67 mg/kg, and 129 ± 32 mg/kg, respectively. Strain WLP002 under the same fermentation conditions produced 307 ± 2 mg/kg, 305 ± 16 mg/kg, and 110 ± 6 mg/kg, respectively (Figure 2 and Table 1). The higher yields of the three fusel alcohols in the control sample fermented by the 96581 strain

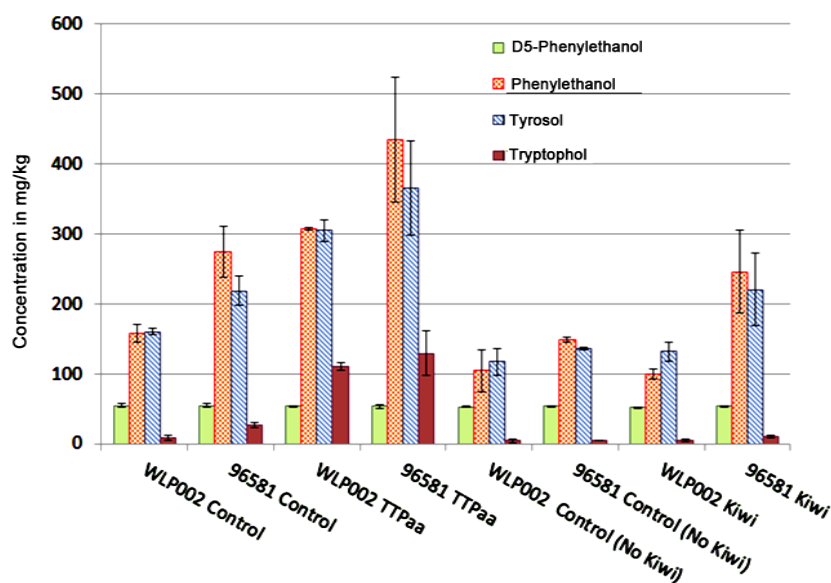


Figure 2. Concentration levels of phenylethanol, tyrosol, and tryptophol produced in beer fermentation. Fermentation was conducted using an ale yeast Strain WLP002 versus a non-beer yeast Strain 96581 for comparison. TTPaa stands for the amino acids tyrosine, tryptophan, and phenylalanine supplements in the media.

Table 1. Concentration of D5-phenylethanol, phenylethanol, tyrosol, and tryptophol in beer sample.

Strain	^a Sample			
	D5	Phenylethanol	Tyrosol	Tryptophol
WLP002 Control	54 ± 3	158 ± 13	160 ± 5	9.0 ± 3.5
96581 Control	54 ± 3	275 ± 37	219 ± 21	27 ± 3
WLP002 TTPaa	53 ± 1	307 ± 2	305 ± 16	110 ± 6
96581 TTPaa	54 ± 3	434 ± 90	365 ± 67	129 ± 32
WLP002 Control (No Kiwi)	52 ± 1	104 ± 30	117 ± 20	4.1 ± 2.2
96581 Control (No Kiwi)	53 ± 1	149 ± 4	136 ± 2	5.0 ± 0.6
WLP002 Kiwi	51 ± 1	100 ± 7	132 ± 13	4.1 ± 2.0
96581 Kiwi	53 ± 1	245 ± 59	220 ± 52	10 ± 2
ANOVA F Value				
^b Strain Effect	-	40.26	18.28	5.15
^c Media Effect	-	38.49	46.05	132.92

^aAll sample concentrations are given in mg/kg units including their corresponding standard deviation values. Statistical calculation was performed for 0.05 level of statistical significance using the one-way ANOVA test and F values for the hypothesis of no effect of yeast strains and no effects of sample media on the concentration of phenylethanol, tyrosol, and tryptophol produced. F values are tabulated in the bottom two rows. ^bThe significant effects of yeast strains are expressed as ($F_{(1,16)} = 40.26$, $P < 0.05$, $R^2 = 0.91$, $MSE = 1753.5$); ($F_{(1,16)} = 18.28$, $P < 0.05$, $R^2 = 0.91$, $MSE = 1049.4$); ($F_{(1,16)} = 5.15$, $P < 0.05$, $R^2 = 0.96$, $MSE = 138.1$) for phenylethanol, tyrosol, and tryptophol, respectively. ^cThe significant effects of sample media are expressed as ($F_{(3,16)} = 38.49$, $P < 0.05$, $R^2 = 0.91$, $MSE = 1753.5$); ($F_{(3,16)} = 46.05$, $P < 0.05$, $R^2 = 0.91$, $MSE = 1049.4$); ($F_{(3,16)} = 132.92$, $P < 0.05$, $R^2 = 0.96$, $MSE = 138.1$) for phenylethanol, tyrosol, and tryptophol, respectively.

suggests that this strain is more effective than the WLP002 strain in utilizing the fermentable malt and malt sugar substrates. This could be attributed to the greater efficiency and/or higher levels of endogenous enzymes to help break down the malt precursors to produce the fusel alcohols in Strain 96581. The WLP002 strain, however, relies more on the supplemented amino acids.

The increased fusel alcohol production in beer by Strain 96581 can be attributed to its highly active and efficient Ehrlich pathway for utilizing nutrients from the fermentable substrate and allowing the yeast to grow and propagate more rapidly. The release of nutrients occurs during the malting process of the barley grain when the partially germinating grain produces specialized enzymes that break down starches and proteins into simple sugars and amino acids, respectively [19]. Researchers at the United States Agricultural Research Service found that the enzymes called serine-class proteases serve the dual purpose of digesting proteins in the grain into amino acids as well as degrading the beta-amylases, which are involved in the conversion of carbohydrates into simple sugars [20], creating an optimal balance between the amount of amino acids and sugars that would influence the malted barley flavor [21]. The availability of additional amino acids and basic sugars in the yeast culture medium allows for faster growth of the fermenting yeast as well as more efficient fusel alcohol production.

The rationale for using kiwi fruit as a fermentation substrate is related to the desirable aroma profile of the aqueous essence and fresh puree. About thirty aroma active components consisting of predominantly alcohols, ketones, and esters have been characterized by the multidimensional gas chromatography-olfactometry technique [22]. In this study, the addition of kiwi fruit purée resulted in about 1.6 to 2-fold increase in the levels of phenylethanol, tyrosol, and tryptophol produced by Strain 96581. However, there was no appreciable increase in the production of these alcohols by the English Ale WLP002 strain (Figure 2, Table 1). The 96581 strain apparently was able to utilize amino acid precursors and simple sugars derived from the kiwi fruits and produce more fusel alcohols. This characteristic was not observed for the English Ale yeast. The F values from the one-way ANOVA statistics for the formation of tyrosol, tryptophol, and phenylethanol shown on Table 1 further support the significance of nutrient supplements as well as the significant influence of yeast strains on the production of the three phenolic compounds. The multivariate analysis of variance also revealed that there is an overall significant influence of yeast strain on the production of tyrosol, tryptophol, and phenylethanol (Wilks' $\lambda = 0.1377$, $F_{(3,14)} = 29.23$, $P < 0.05$) and a significant difference between control, TTPaa, No Kiwi control, and Kiwi samples on the production of the phenolic components (Wilks' $\lambda = 0.0075$, $F_{(9,34)} = 24.54$, $P < 0.05$).

Although phenylethanol may be considered a desirable constituent contributing to wine or beer flavor, high levels of tyrosol and tryptophol may not be desirable due to their bitter yeast bite and bitter almond taste, respectively. Yet, threshold levels as high as 200, 100, and 75 mg/liter for tryptophol, tyrosol, and phenylethanol have been reported in the literature [23] [24] and it is known that ale drinkers prefer lager samples containing 8 times the original level of tryptophol [25]. Szlavko [25] has also shown that inherent differences in metabolic process among yeast strains, raw materials, fermentation media composition, and fermentation conditions can influence the level of each fusel alcohol formed. Despite the inhibitory effects of high levels of phenylethanol to yeast growth, the thermotolerant and multi-stress resistant strains of *S. cerevisiae* have been shown to produce levels of phenylethanol as high as 4.5 g/liter [26].

4. Conclusion

Wine and beer have been known to provide multiple health benefits when consumed in moderation. The goal of improving wine and beer quality with boosted amounts of fusel alcohols such as tyrosol, tryptophol and phenylethanol was to increase the antioxidant levels present in these alcoholic beverages for health benefits as well as enhancing the flavor of these alcoholic beverages. This study showed that the outcomes of flavor enhancement and enrichment of antioxidants could be achieved through supplementing the fermentation media with precursor amino acids as well as careful choices of the appropriate yeast strain. The 96581 strain outperformed the English Ale yeast WLP002 because it could utilize additional nutrients from the malt and kiwi for the production of fusel alcohols. Strain 96581 performed well in all three fermentable substrates for making wine, beer, and fruit-supplemented beer, making it an attractive candidate for commercial applications.

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